# Reconfiguring vertex colourings of graphs



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#### The 14-15 Puzzle:

Starting with a configuration with the 14 and 15 swapped (left image), use allowed moves to reconfigure the blocks from 1 to 15 (right image).



 $\rightarrow$  ... ? ...  $\rightarrow$ 



- ► 1870's: Puzzlemaker Sam Loyd asked the public if anyone could solve the 14-15 sliding puzzle and offered a \$1,000 prize (≈\$22,000 today).
- He writes of how he "drove the entire world crazy":

"People became infatuated with the puzzle and ludicrous tales are told of shopkeepers who neglected to open their stores; of a distinguished clergyman who stood under a street lamp all through a wintry night trying to recall the way he had performed the feat. The mysterious feature of the puzzle is that none seem able to remember the sequence of moves whereby they feel sure they have succeeded in solving the puzzle. Pilots are said to have wrecked their ships, and engineers rush their trains past stations." (Source: S. Loyd, Mathematical Puzzles of Sam Loyd: Selected and Edited by Martin Gardner, Dover, New York, 1959, pp. 19-20) Consider the "3 sliding puzzle."



- **Vertices:** Each possible permutation is a vertex in the reconfiguration graph.
- Edges: If one state of the puzzle can be obtained from another by sliding a single square, then join the states by an edge.

Question: What is the reconfiguration graph for this problem?

If the blank is considered to be a 4, then there are 4! = 24 possible permutations.



The 15 sliding puzzle: Approximately 21 trillion vertices of degrees 2, 3 and 4 with two components. The "15-14 configuration" and "solved state" are in different components!

# Change Ringing

- > The art of ringing tuned bells; common on church bells in English churches.
- Each bell has its own ringer.
- Due to weight, bells cannot be easily stopped/started giving limitations (e.g., we want to avoid ringing a bell twice in a row).



#### Some terminology:

- A piece of music for bells is called a **peal**.
  - In each row, every bell must ring once.
- Passing from one row to the next is a change.

The fundamental rule of change ringing:

Each change must consist of transpositions of adjacent bells (i.e., a bell cannot move more than one position during a change).

#### More terminology:

- When only one bell is swapped with its neighbour it is a plain change.
- When all possible orderings of bells is used (once) we call it a full peal (or extent).

Example of call changes on eight bells Showing bells being called "down" towards the lead			
🖌 Direction of called bell		Each swapped pair is shaded	
Row name	Row	Call	Strategy
Rounds Whittingtons	1 2 3 4 5 6 7 8 1 2 3 4 5 7 6 8 1 2 3 4 7 5 6 8 1 2 3 7 4 5 6 8 1 2 3 7 4 5 6 8 1 2 7 3 4 5 6 8 1 2 7 3 5 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 3 4 6 8 1 2 7 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	- 7 to 5 - 7 to 4 - 7 to 3 - 7 to 2 - 5 to 3 - 5 to 7	Hunt 7, then 5, down: for ascending odds and descending evens at the back
Queens	1 2 5 7 3 4 6 8 1 2 5 3 7 4 6 8 1 2 3 5 7 4 6 8 1 3 2 5 7 4 6 8 1 3 2 5 7 4 6 8 1 3 5 7 4 6 8 1 3 5 7 4 6 8	- 3 to 2 - 3 to 2 - 3 to 1 - 5 to 3 - 7 to 5	Hunt 3,5,7, down: - to intersperse odds and evens
	:		D

(Source: Modification of work by Dougism)

#### Example

The graph for three bells using plain changes:



- ▶ The longest ever full peal was rung in England in 1963 on eight bells.
- lt consisted of 8! = 40,320 changes and took about 18 hours.

A truncated octahedran or the  $S_4$  permutohedron.



(Source: Tilman Piesk)

- A reconfiguration problem asks if a feasible solution to a problem can be transformed to another through a sequence of allowable steps.
- Feasibility must be maintained at each step.
- Reconfiguration problems have been studied for various graph problems:
  - colouring
  - dominating sets
  - vertex covers
  - cliques
  - independent sets

# The chromatic number of a graph

 $\chi(G)$  is the minimum number of colors required to colour the vertices of G so that no two adjacent vertices share the same colour.



# *k*-colourings of a graph

#### **Definition:** *k*-colourings of a graph

Given a graph G = (V, E) and a positive integer k, a k-colouring of G is a function  $f: V(G) \rightarrow \{1, 2, ..., k\}$  for which  $f(x) \neq f(y)$  for any  $xy \in E(G)$ .

That is, a <u>k-colouring</u> is an assignment of one of k possible labels (called colors) to the vertices of a graph such that no two adjacent vertices share the same color.



# The *k*-colouring graph (i.e., reconfiguration graph of vertex colourings)

#### **Definition:** The *k*-colouring graph

- The k-colouring graph of G is denoted by  $C_k(G)$ :
  - vertices: all proper k-colourings of G
  - edges: two k-colourings of G are adjacent if they differ on exactly one vertex of G

When  $G = C_4$  then  $C_2(G)$  is  $2K_1$ .



# Analysis of $C_2(G)$ when G is bipartite

Remark (Cereceda, van den Heuvel and Johnson, 2008)

If G is bipartite with p isolated vertices and q other connected components, then

 $\mathcal{C}_2(G) \cong 2^q Q_p,$ 

that is,  $C_2(G)$  has  $2^q$  components each of which is a *p*-dimensional cube.



#### Question

Given a graph G, for which values of k is  $C_k(G)$  connected?

Definition: k-mixing	
If $\mathcal{C}_k(G)$ is connected then we say that $G$ is	k-mixing.

- The set of proper k-colourings of a graph G has been studied extensively by the Glauber dynamics Markov chain (i.e., a type of Markov Chain Monte Carlo algorithm) for k-colourings.
- Algorithms for random sampling of k-colourings and approximating the number of k-colourings arise from these Markov chains.
- For such a Markov chain to be rapidly mixing, we require the k-colouring graph of G to be connected.

#### Definition: mixing number

The **mixing number of** G is the minimum integer  $m_0(G)$  such that G is k-mixing whenever  $k \ge m_0(G)$ .

- Clearly:  $m_0(G) \ge \chi(G)$ .
- ▶ m<sub>0</sub>(G) is well-defined: When k is sufficiently large, we may find a path between a colouring c<sub>1</sub> and a colouring c<sub>2</sub> by recolouring each vertex of c<sub>1</sub> with unused colours not appearing yet and then recolouring them to their target colour.

$$c_1 \bigoplus^{\circ} \rightarrow \bigoplus^{\circ} \rightarrow \bigoplus^{\circ} c_2$$

For a graph G, the k-colouring graph  $C_k(G)$  is connected when k is sufficiently large.

#### Questions

- ▶ Is there a graph *G* such that  $C_k(G)$  is connected for every  $k \ge \chi(G)$ ?
- Is there an expression φ(χ) in terms of the chromatic number χ such that for all graphs G and integers k ≥ φ(χ), the graph C<sub>k</sub>(G) is connected?
- Is it possible for  $C_k(G)$  to be connected but  $C_{k+1}(G)$  to be disconnected?

# There is a graph G with $C_k(G)$ connected for every $k \ge \chi(G)$ if $\chi(G) \ge 4$

#### Theorem (Cereceda, van den Heuvel and Johnson, 2008)

- If  $\chi(G) = 2$  then G is not 2-mixing (i.e.,  $C_2(G)$  is not connected).
- If  $\chi(G) = 3$  then G is not 3-mixing (i.e.,  $C_3(G)$  is not connected).

Their proof uses a lemma that describes how we can recognize that two 3-colourings of a graph are not connected by looking only at the colours of the vertices on a cycle.

## Example: A graph that is k-mixing for all $k \ge \chi(G)$ (Cereceda et al., 2008)

Fix  $m \ge 4$ . Let  $H_m$  be obtained from two copies of  $K_{m-1}$  with vertex sets  $\{v_1, \ldots, v_{m-1}\}$ and  $\{w_1, \ldots, w_{m-1}\}$  by adding a vertex u and edges  $v_1w_1$  and  $\{uv_i, uw_i | 2 \le i \le m-1\}$ .

Below is  $H_4$  which has chromatic number  $\chi(H_4) = 4$  (generally  $\chi(H_m) = m$ ).



Then  $H_m$  is k-mixing for every  $k \ge m$ , that is,  $m_0(H_m) = \chi(H_m)$ .

(See Theorem 7 and Lemmas 8 - 11 in their paper for details.)

## Definition: frozen colourings

A *k*-colouring of *G* is **frozen** if each vertex of *G* is adjacent to at least one vertex of every other colour (a frozen *k*-colouring is an isolated vertex of  $C_k(G)$ ).

#### Example (Cereceda, van den Heuvel and Johnson, 2008)

- Fix  $m \ge 3$  and define the graph  $L_m = K_{m,m} mK_2$  obtained from the complete bipartite graph  $K_{m,m}$  by deleting a perfect matching.
- Observe  $\chi(L_m) = 2$ .





The 5-colouring of L₅ shown is frozen (i.e., an isolated vertex in C₅(L₅)).
 Thus, Cm(Lm) is disconnected but χ(Lm) = 2.

It is possible for  $C_k(G)$  to be connected but  $C_{k+1}(G)$  to be disconnected

#### Theorem (Cereceda, van den Heuvel and Johnson, 2008)

Fix  $m \ge 4$ . Then  $C_k(L_m)$  is connected if  $3 \le k \le m-1$  or  $k \ge m+1$ , but  $C_k(L_m)$  is disconnected if k = 2 or k = m.

#### Outline for $3 \le k \le m - 1$ :



For  $3 \le k \le m - 1$ , consider a k-colouring of  $L_m$ .

- There is at least one colour that appears on more than one vertex of the left side, say it is blue.
- This colour cannot appear on the right side.
- Now recolour every vertex on the left side with blue one at a time, then choose a second colour, say green, and recolour all vertices on the right side green.
- This shows every colouring is has a path to a 2-colouring of  $L_m$ .
- lt is easy to show all 2-colourings of  $L_m$  are connected in  $C_k(L_m)$ .

## Theorem (Jerrum, 1995)

For any graph G,

$$m_0(G) \leq \Delta(G) + 2$$

where  $\Delta(G)$  is the maximum degree of G.

Theorem (Dyer, Flaxman, Frieze and Vigoda, 2006)

For any graph G,

$$m_0(G) \leq \operatorname{col}(G) + 2$$

where col(G) is the colouring number of G.

Theorem (Cereceda, van den Heuvel and Johnson, 2008)

For any graph G,

$$m_0(G) \leq \operatorname{col}(G) + 1$$

where col(G) is the colouring number of G.

(<u>Note</u>: Cereceda et al. use an alternate definition of col(G) which is adjusted in this statement.)

Definition: colouring number

The colouring number of G , denoted by col(G), is  $col(G) = max\{\delta(H) | H \subseteq G\} + 1$ .

#### Definition: colouring number

$$\operatorname{col}(G) = \max\{\delta(H) \,|\, H \subseteq G\} + 1.$$

#### Example

- Trees: col(T) = 2 Every subgraph H is a forest and thus δ(H) = 1 (unless H has an isolated vertex).
  Cycles: col(C<sub>n</sub>) = 3 Every subgraph is either C<sub>n</sub> with δ(H) = 2, a forest with δ(H) = 1, or has an isolated vertex with δ(H) = 0.
- **Complete graphs:**  $col(K_n) = n$
- Unicyclic graphs:  $col(U_n) = 3$

#### Example

Using  $\chi(G) \leq m_0(G) \leq \operatorname{col}(G) + 1$  and that G is not  $\chi(G)$ -mixing when  $\chi(G) \in \{2,3\}$ :

- Trees:  $m_0(T) = 3$  for a tree T on at least two vertices;
- **Complete graphs:**  $m_0(K_n) = n + 1$  since  $C_n(K_n)$  is disconnected;
- Cycles:  $m_0(C_n) = 4$  when n is odd and  $3 \le m_0(C_n) \le 4$  when n is even.
  - Cereceda et al. (2008) show that  $C_4$  is the only 3-mixing cycle.

# Definition: Grundy colouring

A proper k-colouring of G using colours  $\{1, 2, ..., k\}$  is a **Grundy colouring** if, for  $1 \le i \le k$ , every vertex with colour i is adjacent to vertices of colours less than i.

#### **Definition: Grundy number**

The maximum number of colours required among all Grundy colourings of G is the **Grundy number** of G, denoted by  $\chi_g(G)$ .

 $\chi_g(G)$  represents the greatest number of colors in a greedy coloring of G.

#### Theorem (Bonamy and Bousquet, 2018)

For any graph G,

$$m_0(G) \leq \chi_g(G) + 1.$$

If  $k \geq \chi_g(G) + 1$  then diam $(\mathcal{C}_k(G)) \leq 4n\chi(G)$ .

## Question

Given a graph G, for which values of k is  $C_k(G)$  Hamiltonian?

# Choo and MacGillivray (2011):

For a graph G, the k-colouring graph  $C_k(G)$  is Hamiltonian when k is sufficiently large.

## Definition: Gray code number

The Gray code number of G is the least integer  $k_0(G)$  for which  $C_k(G)$  has a Hamilton cycle for all  $k \ge k_0(H)$ .

• Clearly, 
$$k_0(G) \ge m_0(G)$$
.

• Recall that  $m_0(G) \leq \operatorname{col}(G) + 1$ .

#### Theorem (Choo and MacGillivray, 2011)

For any graph G,

$$k_0(G) \leq \operatorname{col}(G) + 2.$$

That is, for every  $k \ge col(G) + 2$ , the graph  $C_k(G)$  is Hamiltonian.

# $k_0(G)$ for some graphs

Choo and MacGillivray (2011) prove that

▶ Complete graphs:  $k_0(K_n) = n + 1$  for  $n \ge 2$ . (Note:  $col(K_n) = n$ .) Proof Idea:  $C_n(K_n)$  has n! vertices and no edges.  $C_{n+1}(K_n)$  is isomorphic to  $Cay(X : S_{n+1})$  where X is the generating set of transpositions  $\{(1, 2), (1, 3), \dots, (1, n + 1)\}$ . This Cayley graph is shown to be Hamiltonian by Slater (1978).

• Cycles: 
$$k_0(C_n) = 4$$
 for  $n \ge 3$ .  
(Note:  $col(C_n) = 3$ .)

Trees: k<sub>0</sub>(T) = 3 with one exception: If T is a star with an odd number of vertices (greater than one) then k<sub>0</sub>(T) = 4. (Note: col(T) = 2.)

Celaya, Choo, MacGillivray and Seyffarth (2016) prove that

• Complete bipartite graphs:  $k_0(K_{\ell,r}) = 3$  when  $\ell$  and r are both odd, and  $k_0(K_{\ell,r}) = 4$  otherwise.

Bard (2014) proves that

▶ Complete multipartite graphs: Fix  $a_1, \ldots, a_t \in \mathbb{N}$ . If  $k \ge 2t$ , then  $C_k(K_{a_1,\ldots,a_t})$  is Hamiltonian; Bard also provided improvements in special cases.

Cavers and Seyffarth (2019) prove that

▶ 2-trees: If *H* is a 2-tree then  $k_0(H) = 4$ , unless  $H \cong T \lor \{u\}$  for some tree *T* and vertex *u*, where *T* is a star on at least three vertices or the bipartition of V(T) has two even parts; in these cases,  $k_0(H) = 5$ .

Cavers, Seyffarth and Shaw (preprint) prove that

• Unicyclic graphs: If *H* is a unicylic graph then  $k_0(H) = 4$ , unless *H* has girth four and at least five vertices, in which case  $k_0(H) = 3$ .

## 2-trees

#### **Definition:** *k*-trees

A <u>k-tree</u> is constructed recursively by starting with a complete graph on k + 1 vertices and connecting each new vertex to an existing clique on k vertices (hence a 1-tree is simply a connected acyclic graph). A vertex of degree k is called a leaf.



Let H be a k-tree.

- Then  $\chi(H) = k + 1$  and  $\mathcal{C}_{k+1}(H)$  is disconnected.
- Since col(H) = k + 1, we have  $k + 2 \le k_0(H) \le k + 3$ .
- When k = 2 this gives  $4 \le k_0(H) \le 5$ .

## 2-trees: Naive approach

- ▶ Induction with base case K<sub>3</sub>.
- Let *H* be a 2-tree (with at least four vertices) and *u* be a leaf.
- Apply induction hypothesis to H' = H u to get a Hamilton cycle in  $C_4(H')$ , denote it by  $f_0 f_2 \dots f_{N-1} f_0$  (each  $f_i$  is a 4-colouring of H').
- ▶ Let  $F_j \subseteq V(C_4(H))$  be the set of 4-colourings of H that agree with  $f_j$  on V(H').
- ▶ Then  $H[F_j] \cong K_2$  and  $\{F_0, F_1, \ldots, F_{N-1}\}$  partitions the vertices of  $C_4(H)$ .



(Source: Image by K. Seyffarth.)

#### Theorem (Cavers and Seyffarth, 2019)

If *H* is a 2-tree then  $k_0(H) = 4$ , unless  $H \cong T \lor \{u\}$  for some tree *T* and vertex *u*, where *T* is a star on at least three vertices or the bipartition of V(T) has two even parts; in these cases,  $k_0(H) = 5$ .

## Proof Outline.

- We first characterize 2-trees of diameter two.
- We next determine the diameter two 2-trees H for which  $C_4(H)$  is not Hamiltonian.
- Finally, we show that for every 2-tree H with diameter at least three, the graph C<sub>4</sub>(H) is Hamiltonian. To accomplish this, we specify a recursive procedure for constructing 2-trees of diameter at least three.

## Lemma (Cavers and Seyffarth, 2019)

A graph *H* is a 2-tree of diameter two if and only if *H* has a dominating vertex or  $H \cong T(p, q, r)$  for p, q, r > 0.



It turns out for p, q, r > 0, the graph  $C_4(T(p, q, r))$  has a Hamilton cycle.

# Adaptation of *C*-graphs

▶ To prove  $k_0(G) \leq \operatorname{col}(G) + 2$ , Choo and MacGillivray introduced "C-graphs".

We adapt this concept to construct Hamilton cycles in graphs.



#### Lemma (Cavers and Seyffarth, 2019)

Let G be a graph with vertex partition  $\{F_0, F_1, \ldots, F_{N-1}\}$ , and let T be a tree with  $V(T) := \{f_0, f_1, \ldots, f_{N-1}\}$ . Suppose there is a function, h, from a spanning subgraph of G to T such that  $h(u) = f_i$  for all  $u \in F_i$ ,  $0 \le i \le N-1$ . Furthermore, suppose that for each  $f_i f_j \in E(T)$ ,  $0 \le i, j \le N-1$ , there exist edges  $e_{i,j}$  in  $G[F_i]$  and  $e_{j,i}$  in  $G[F_j]$  such that

(i) if 
$$j \neq k$$
 and  $f_i f_j, f_i f_k \in E(T)$ , then  $e_{i,j} \neq e_{i,k}$ ;

(ii) if 
$$e_{i,j} = ac$$
 and  $e_{j,i} = bd$ , then  $G[\{a, b, c, d\}]$  contains a 4-cycle;

(iii)  $G[F_i]$  has a Hamilton cycle  $C_i$  such that

$$M_i := \{e_{i,j} \mid f_i f_j \in E(T)\} \subseteq E(C_i).$$

Then G has a Hamilton cycle C such that

$$\bigcup_{i=0}^{N-1} (E(C_i) \setminus M_i) \subseteq E(C).$$

# Definition: Unicyclic graph

A graph G is called unicyclic if G contains exactly one cycle.

- Let  $U_n$  be a unicyclic graph containing a cycle  $C_n$  of length n.
- ▶ Recall for cycles:  $k_0(C_n) = 4$  for  $n \ge 3$  $m_0(C_n) = 4$  except when n = 4 in which case  $m_0(C_4) = 3$ .



**Theorem** (Cavers, Seyffarth and Shaw, preprint): If *H* is a unicylic graph then  $k_0(H) = 4$ , unless *H* has girth four and at least five vertices, in which case  $k_0(H) = 3$ .

#### Question: Which graphs can be colouring graphs?

Given a graph H, do there exist G and k such that H is the k-colouring graph of G?

Beier, Fierson, Haas, Russell, Shavo (2016) proved that

- ▶ *K*<sub>1</sub> and *P*<sub>2</sub> are the only trees that are colouring graphs;
- every tree is a subgraph of a colouring graph (thus, there is no finite forbidden subgraph characterization of colouring graphs);
- $C_3$ ,  $C_4$  and  $C_6$  are the only cycles that are colouring graphs;
- If  $C_k(G)$  is a complete graph then it must be  $K_k$ ;
- If k > 1 then  $C_k(G) = K_k$  if and only if  $G = K_1$ .

- Compute Gray code numbers of k-trees.
- Compute Gray code numbers of chordal graphs.
- Bard (2014):

Is  $K_{2,2,2}$  the only complete 3-partite graph whose 5-colouring graph is non-Hamiltonian?

Bard (2014): If C<sub>k</sub>(G) is Hamiltonian, is C<sub>k+1</sub>(G) always Hamiltonian?

Bonamy and Bousquet (2018): Given r, k ∈ N, does there exist c<sub>r,k</sub> such that for any P<sub>r</sub>-free graph G of order n that is k-mixing, the diameter of C<sub>k</sub>(G) is at most c<sub>r,k</sub> · n?

▶ Bonsma and Cereceda (2009): Conjecture. For a graph G of order n and  $k \ge col(G) + 1$ , diam $(C_k(G)) = O(n^3)$ .

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