# Siblings of Countable NE-Free Posets 

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## Embedding and Sibling

## Embedding

An injective map preserving the structure.

## Sibling

Two structures $\mathcal{E}$ and $\mathcal{E}^{\prime}$ are called siblings (or equimorphic), denoted by $\mathcal{E} \approx \mathcal{E}^{\prime}$, when there are mutual embeddings between them. $\mathcal{E} \approx \mathcal{E}^{\prime} \cong \mathcal{E}^{\prime \prime}, g\left(\mathcal{E}^{\prime}\right)=\mathcal{E}^{\prime \prime} \supseteq(g \circ f)(\mathcal{E}) \cong \mathcal{E}$.


## Siblings in Some Categories

## Cantor-Schröder-Bernstein Theorem (Sets)

If there exist injective maps $f: A \rightarrow B$ and $g: B \rightarrow A$ between two sets $A$ and $B$, then there exists a bijection (isomorphism) $h: A \rightarrow B$.

## Vector Spaces

If there are injective linear transformations between two vector spaces over a fixed field, then they are isomorphic.

## Rational Numbers

$\mathbb{Q}$ as a chain: there are mutual injective and order preserving maps between $\mathbb{Q}$ and $\mathbb{Q}+\infty$, nonetheless, $\mathbb{Q} \not \equiv \mathbb{Q}+\infty$.

## Thomassé's Conjecture

## Sibling Number

The number of isomorphism classes of siblings of a structure $\mathcal{R}$, denoted by $\operatorname{Sib}(\mathcal{R})$.

If $R$ is a ray, $\operatorname{Sib}(R)=1$ in the category of trees,

and $\operatorname{Sib}(R)=\aleph_{0}$ in the category of graphs.

## Thomassé's Conjecture (2000)

For a countable relational structure $\mathcal{R}, \operatorname{Sib}(\mathcal{R})=1$ or $\aleph_{0}$ or $2^{\aleph_{0}}$.

## The Alternate Thomassé Conjecture

For a relational structure $\mathcal{R}$ of any cardinality, $\operatorname{Sib}(\mathcal{R})=1$ or $\infty$.

## The Bonato-Tardif Conjecture, Positive Results

The Bonato-Tardif (BT) Conjecture
If $T$ is a tree, then $\operatorname{Sib}(T)=1$ or $\infty$ in the category of trees.
The BT conjecture holds for:

- rayless trees [Bonato, Tardif] (2006)
- rooted trees [Tyomkyn] (2009)
- scattered trees [Laflamme, Pouzet, Sauer] (2017)


## The Alternate Thomassé Conjecture, Positive Results

The Alternate Thomassé conjecture holds for:

- rayless graphs [Bonato, Bruhn, Diestel, Sprüssel] (2011)
- chains [Laflamme, Pouzet, Woodrow] (2017)
- countable $\aleph_{0}$-categorical structures [Laflamme, Pouzet, Sauer, Woodrow] (2021)
- countable cographs [Hahn, Pouzet, Woodrow] (2021)
- countable universal theories [Braunfeld, Laskowski] (2021)


## NE-Free Posets

## N

' $N$ ' is following poset on four elements $\{a, b, c, d\}: a<b, c<b, c<d$, $a \perp c, b \perp d$ and $a \perp d$.


## NE-Free Poset

An NE-free poset is a poset which does not embed an induced $N$.

## Simple Examples

Chains, Antichains, Antichains Substituted with Chains (direct sums of chains)

## Poset Substitution



## Poset Substitution

Let $Q$ be a poset and $\left\{P_{u}\right\}_{u \in Q}$ a pairwise disjoint family of posets. The poset obtained by replacing each $u \in Q$ with a poset $P_{u}$ is called poset substitution, denoted by $P:=Q\left[P_{u} / u: u \in Q\right]$.

## Direct Sum and Linear Sum

$Q$ antichain $\Longrightarrow P$ is called a direct sum, denoted by $P=\bigoplus_{u \in Q} P_{u}$, each $P_{u}$ is called a component.
$Q$ chain $\Longrightarrow P$ is called a linear sum, denoted by $P=+_{u \in Q} P_{u}$, and each $P_{u}$ is called a summand.

## Context Poset of Poset Labelled Sum

Let $(I, \leq)$ be a chain and $r: I \rightarrow\{-1,0,+1\}$. Define $Q_{r}^{\prime}=\left(I, \leq^{\prime}\right)$ as follows: for $i<j$,

- $i \perp j$ if $r(i)=0$,
- $i<^{\prime} j$ if $r(i)=-1$,
- $j<^{\prime} i$ if $r(i)=+1$.


## $I \Longrightarrow Q_{r}^{\prime}$

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(I, \leq): \begin{aligned}
& d \bullet 0 \\
& b \bullet 0 \\
& a \bullet-1
\end{aligned} \longrightarrow Q_{r}^{\prime}=\left(I, \leq^{\prime}\right): b \cdot{ }^{c \cdot 1} d
$$

## Proposition

For any map $r, Q_{r}^{\prime}=\left(I, \leq^{\prime}\right)$ is an $N E$-free poset.

## Poset Labelled Sum

## Poset Labelled Sum

I a chain,
$r: I \rightarrow\{-1,0,+1\}$ a map,
$\left\{\left(P_{i}, \leq_{i}\right)\right\}_{i \in I}$ a pairwise disjoint family of non-empty posets.
The poset substitution $P=Q_{r}^{\prime}\left[P_{i} / i: i \in I\right]$ is called the poset labelled sum of the $P_{i}$.

## Proposition

A poset substitution $P=Q\left[P_{i} / i: i \in I\right]$ is $N E$-free if and only if $Q$ and each $P_{i}$ are $N E$-free.

Thus, the poset labelled sum of $N E$-free posets is $N E$-free.

## An Example of Poset Labelled Sum

| $(1, \leq)$ | $r$ | $P_{i}$ | $P=Q_{r}^{\prime}\left[P_{i} / i: i \in I\right]$ |
| :---: | :---: | :---: | :---: |
|  |  |  | ..$^{\bullet} \dot{a}_{4 k+1}$ |
| $i_{1} \bullet$ | +1 | $\left\{a_{1}\right\}$ |  |
| $i_{2}$ | 0 | $\left\{a_{2}\right\}$ | , |
| $i_{3}{ }^{\circ}$ | -1 | $\left\{a_{3}\right\}$ |  |
| $i_{4} \bullet$ | 0 | $\left\{a_{4}\right\}$ | $a_{3}$ |
| $i_{5}$ 。 | +1 | $\left\{a_{5}\right\}$ | $a_{7}$ |
| $i_{6}{ }^{\circ}$ | 0 | $\left\{a_{6}\right\}$ |  |
|  | $\vdots$ | $\vdots$ |  |

## Classification of NE-Free Posets

## Dense Mapping

$r: I \rightarrow\{-1,0,+1\}$ takes 0 and $\pm 1$ densely if the following holds: for $i<k$ there is $j$ with $i<j \leq k$ such that $|r(i)| \neq|r(j)|$.
For instance, suppose $I=\mathbb{Z}, r(i)=0$ for $i=2 k, r(i)=-1$ for $i=4 k+1$ and $r(i)=+1$ for $i=4 k+3$.

## Theorem

Let $P$ be an NE-free poset with more than one element. Then either
(1) a direct sum i.e. $P=\bigoplus_{i} P_{i}$; or
(2) a linear sum i.e. $P=+{ }_{i} P_{i}$; or
(3) $P=Q_{r}^{\prime}\left[P_{i} / i: i \in I\right]$ where $(I, \leq)$ is a chain with no first element and the $P_{i}$ are NE-free and $r$ is a mapping on the chain $(I, \leq)$ taking 0 and $\pm 1$ densely.

## Siblings of Direct and Linear Sums

## Proposition (1)

If $P$ is a countable direct, resp linear, sum of $N E$-free posets, then $\operatorname{Sib}(P)=1$ or $\infty$ on condition that this property holds for each component, resp summand, of $P$.


## Siblings of Poset Labelled Sums

## Theorem (2)

Let $P=Q_{r}^{\prime}\left[P_{i} / i: i \in I\right]$ be countable where $(I, \leq)$ is a chain with no first element, the $P_{i}$ are non-empty NE-free posets and $r: I \rightarrow\{-1,0,+1\}$ takes 0 and $\pm 1$ densely. Then $\operatorname{Sib}(P)=2^{\aleph_{0}}$.

## How to obtain?

$P$ can be represented as $P=\sum C$ where $C=(I, \leq, \ell)$ such that $\ell(i)=\left(P_{i}, r(i)\right)$.
For each $f \in\{0,1\}^{\mathbb{N}}$, we construct a labelled chain $C_{f}$ such that $\sum C \approx \sum C_{f}$, and it is proven that there are continuum many functions $f \in\{0,1\}^{\mathbb{N}}$ such that $\sum C_{f} \not \equiv \sum C_{g}$ for $f \neq g$.

## Main Result

## Theorem

If $P$ is a countable NE-free poset, then $\operatorname{Sib}(P)=1$ or $\infty$.

## Sketch of Proof

We can use induction because embeddability is a well-founded relation by Thomassé's theorem (The class of countable NE-free posets is wqo under embeddability).

- If $P=Q_{r}^{\prime}\left[P_{i} / i: i \in I\right]$, then $\operatorname{Sib}(P)=2^{\aleph_{0}}$ by theorem (2).
- Otherwise, $P=\bigoplus_{i} P_{i}$ or $P={ }_{i} P_{i}$. In either case, if $P \hookrightarrow P_{i}$ for some $i$, then $\operatorname{Sib}(P)=\infty$. If $P_{i} \hookrightarrow P$ strictly, then by $\operatorname{Sib}(P)=1$ or $\infty$ by induction hypothesis and proposition (1).


## Counterexamples

Counterexample to the Bonato-Tardif Conjecture [Claimed by Tateno, Rigorous Exposition by Abdi, Laflamme, Tateno, Woodrow]
There are locally finite trees having arbitrary finite number of siblings.
Counterexample to Thomassé's Conjecture [Abdi, Laflamme, Tateno, Woodrow]
Tateno's example can be adapted to construct posets contradicting Thomassé's conjecture.

## Future Directions

## Thomasse's Conjecture for NE-Free Posets

For a countable $N E$-free poset $P$, is it true that $\operatorname{Sib}(P)=1$ or $\aleph_{0}$ or $2^{\aleph_{0}}$ ?

## Boundaries

For which classes of relations the conjectures of Bonato-Tardif and Thomassé (both the original and the alternate form) are true and for which ones they are false?

## Thank You for Your Attention

