

# Siblings of Countable $NE$ -Free Posets

Davoud Abdi

University of Calgary

**Alberta-Montana Combinatorics and Algorithms Days  
Banff International Research Station**

June 5, 2022

# Embedding and Sibling

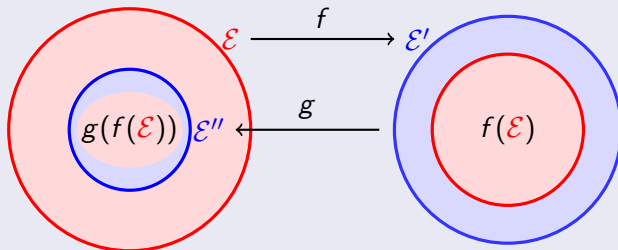
## Embedding

An injective map preserving the structure.

## Sibling

Two structures  $\mathcal{E}$  and  $\mathcal{E}'$  are called *siblings* (or equimorphic), denoted by  $\mathcal{E} \approx \mathcal{E}'$ , when there are mutual embeddings between them.

$$\mathcal{E} \approx \mathcal{E}' \cong \mathcal{E}'', g(\mathcal{E}') = \mathcal{E}'' \supseteq (g \circ f)(\mathcal{E}) \cong \mathcal{E}.$$



# Siblings in Some Categories

## Cantor-Schröder-Bernstein Theorem (Sets)

If there exist injective maps  $f : A \rightarrow B$  and  $g : B \rightarrow A$  between two sets  $A$  and  $B$ , then there exists a bijection (isomorphism)  $h : A \rightarrow B$ .

## Vector Spaces

If there are injective linear transformations between two vector spaces over a fixed field, then they are isomorphic.

## Rational Numbers

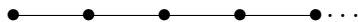
$\mathbb{Q}$  as a chain: there are mutual injective and order preserving maps between  $\mathbb{Q}$  and  $\mathbb{Q} + \infty$ , nonetheless,  $\mathbb{Q} \not\cong \mathbb{Q} + \infty$ .

# Thomassé's Conjecture

## Sibling Number

The number of isomorphism classes of siblings of a structure  $\mathcal{R}$ , denoted by  $Sib(\mathcal{R})$ .

If  $R$  is a ray,  $Sib(R) = 1$  in the category of trees,



and  $Sib(R) = \aleph_0$  in the category of graphs.



## Thomassé's Conjecture (2000)

For a countable relation  $\mathcal{R}$ ,  $Sib(\mathcal{R}) = 1$  or  $\aleph_0$  or  $2^{\aleph_0}$ .

## The Alternate Thomassé Conjecture

For a relation  $\mathcal{R}$  of any cardinality,  $Sib(\mathcal{R}) = 1$  or  $\infty$ .

## The Bonato-Tardif (BT) Conjecture

If  $T$  is a tree, then  $Sib(T) = 1$  or  $\infty$  in the category of trees.

## The BT conjecture holds for:

- rayless trees [Bonato, Tardif] (2006)
- rooted trees [Tyomkyn] (2009)
- scattered trees [Laflamme, Pouzet, Sauer] (2017)

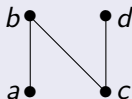
## The Alternate Thomassé conjecture holds for:

- rayless graphs [Bonato, Bruhn, Diestel, Sprüssel] (2011)
- chains [Laflamme, Pouzet, Woodrow] (2017)
- countable  $\aleph_0$ -categorical structures [Laflamme, Pouzet, Sauer, Woodrow] (2021)
- countable cographs [Hahn, Pouzet, Woodrow] (2021)
- countable universal theories [Braunfeld, Laskowski] (2021)

# NE-Free Posets

$N$

' $N$ ' is the following poset on four elements  $\{a, b, c, d\}$ :  $a < b$ ,  $c < b$ ,  $c < d$ ,  $a \perp c$ ,  $b \perp d$  and  $a \perp d$ .



## NE-Free Poset

An *NE-free poset* is a poset which does not embed an induced  $N$ .

## Simple Examples

Chains, Antichains, Antichains Substituted with Chains (direct sums of chains)

# Poset Substitution



## Poset Substitution

Let  $Q$  be a poset and  $\{P_u\}_{u \in Q}$  a pairwise disjoint family of posets. The poset obtained by replacing each  $u \in Q$  with a poset  $P_u$  is called *poset substitution*, denoted by  $P := Q[P_u/u : u \in Q]$ .

## Direct Sum and Linear Sum

$Q$  antichain  $\implies P$  is called a *direct sum*, denoted by  $P = \bigoplus_{u \in Q} P_u$ , each  $P_u$  is called a *component*.

$Q$  chain  $\implies P$  is called a *linear sum*, denoted by  $P = \sum_{u \in Q} P_u$ , and each  $P_u$  is called a *summand*.

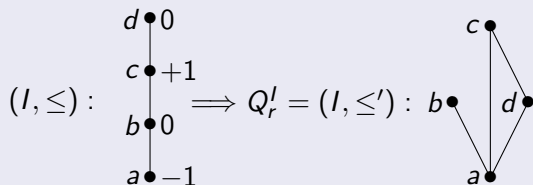


# Context Poset of Poset Labelled Sum

Let  $(I, \leq)$  be a chain and  $r : I \rightarrow \{-1, 0, +1\}$ . Define  $Q_r^I = (I, \leq')$  as follows: for  $i < j$ ,

- $i \perp j$  if  $r(i) = 0$ ,
- $i <' j$  if  $r(i) = -1$ ,
- $j <' i$  if  $r(i) = +1$ .

$I \Rightarrow Q_r^I$



## Proposition

For any map  $r$ ,  $Q_r^I = (I, \leq')$  is an *NE*-free poset.

# Poset Labelled Sum

## Poset Labelled Sum

$I$  a chain,

$r : I \rightarrow \{-1, 0, +1\}$  a map,

$\{(P_i, \leq_i)\}_{i \in I}$  a pairwise disjoint family of non-empty posets.

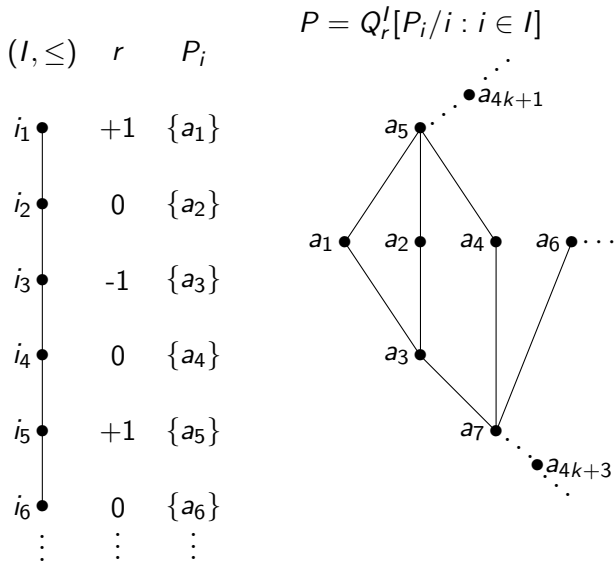
The poset substitution  $P = Q_r^I[P_i/i : i \in I]$  is called the *poset labelled sum* of the  $P_i$ .

## Proposition

A poset substitution  $P = Q[P_i/i : i \in I]$  is *NE-free* if and only if  $Q$  and each  $P_i$  are *NE-free*.

Thus, the poset labelled sum of *NE-free* posets is *NE-free*.

# An Example of Poset Labelled Sum



# Classification of *NE*-Free Posets

## Dense Mapping

$r : I \rightarrow \{-1, 0, +1\}$  takes 0 and  $\pm 1$  *densely* if the following holds: for  $i < k$  there is  $j$  with  $i < j \leq k$  such that  $|r(i)| \neq |r(j)|$ .

For instance, suppose  $I = \mathbb{Z}$ ,  $r(i) = 0$  for  $i = 2k$ ,  $r(i) = -1$  for  $i = 4k + 1$  and  $r(i) = +1$  for  $i = 4k + 3$ .

## Theorem

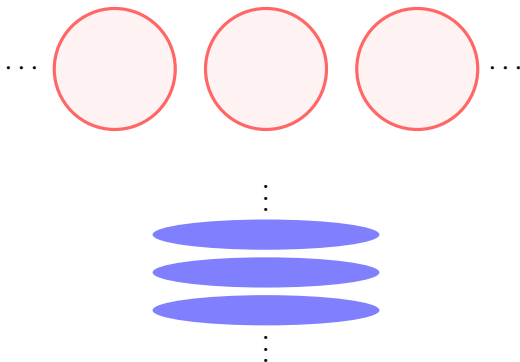
Let  $P$  be an *NE*-free poset with more than one element. Then either

- 1 a direct sum i.e.  $P = \bigoplus_i P_i$ ; or
- 2 a linear sum i.e.  $P = \bigoplus_i P_i$ ; or
- 3  $P = Q_r^I[P_i/i : i \in I]$  where  $(I, \leq)$  is a chain with no first element and the  $P_i$  are *NE*-free and  $r$  is a mapping on the chain  $(I, \leq)$  taking 0 and  $\pm 1$  *densely*.

# Siblings of Direct and Linear Sums

## Proposition (1)

If  $P$  is a countable direct, resp linear, sum of  $NE$ -free posets, then  $Sib(P) = 1$  or  $\infty$  on condition that this property holds for each component, resp summand, of  $P$ .



# Siblings of Poset Labelled Sums

## Theorem (2)

Let  $P = Q_r^I[P_i/i : i \in I]$  be countable where  $(I, \leq)$  is a chain with no first element, the  $P_i$  are non-empty NE-free posets and  $r : I \rightarrow \{-1, 0, +1\}$  takes 0 and  $\pm 1$  densely. Then  $\text{Sib}(P) = 2^{\aleph_0}$ .

## How to obtain?

$P$  can be represented as  $P = \sum C$  where  $C = (I, \leq, \ell)$  such that  $\ell(i) = (P_i, r(i))$ .

For each  $f \in \{0, 1\}^{\mathbb{N}}$ , we construct a labelled chain  $C_f$  such that  $\sum C \approx \sum C_f$ , and it is proven that there are continuum many functions  $f \in \{0, 1\}^{\mathbb{N}}$  such that  $\sum C_f \not\approx \sum C_g$  for  $f \neq g$ .

## Theorem (Abdi)

If  $P$  is a countable  $NE$ -free poset, then  $Sib(P) = 1$  or  $\infty$ .

## Sketch of Proof

We can use induction because embeddability is a well-founded relation by Thomassé's theorem (The class of countable  $NE$ -free posets is wqo under embeddability).

- If  $P = Q_r^I[P_i/i : i \in I]$ , then  $Sib(P) = 2^{\aleph_0}$  by theorem (2).
- Otherwise,  $P = \bigoplus_i P_i$  or  $P = +_i P_i$ . In either case, if  $P \hookrightarrow P_i$  for some  $i$ , then  $Sib(P) = \infty$ . If  $P_i \hookrightarrow P$  strictly, then by  $Sib(P) = 1$  or  $\infty$  by induction hypothesis and proposition (1).

# Counterexamples

Counterexample to the Bonato-Tardif Conjecture [Claimed by Tateno, Rigorous Exposition by Abdi, Laflamme, Tateno, Woodrow, preprint]

There are locally finite trees having arbitrary finite number of siblings.

Counterexample to Thomassé's Conjecture [Abdi, Laflamme, Tateno, Woodrow, preprint]

Tateno's example can be adapted to construct posets contradicting Thomassé's conjecture.

<https://arxiv.org/abs/2205.14679>



## Thomassé's Conjecture for $NE$ -Free Posets

For a countable  $NE$ -free poset  $P$ , is it true that  $Sib(P) = 1$  or  $\aleph_0$  or  $2^{\aleph_0}$ ?

## Boundaries

For which classes of relations the conjectures of Bonato-Tardif and Thomassé (both the original and the alternate form) are true and for which ones they are false?

# Thank You for Your Attention