

1) KS SCE

what it does right for models in physics (lattice hamiltonians?)

serious limitations (hopeless) for chemistry

2) beyond KS SCE

next leading orders: do they contain the right physics? too difficult?

spin

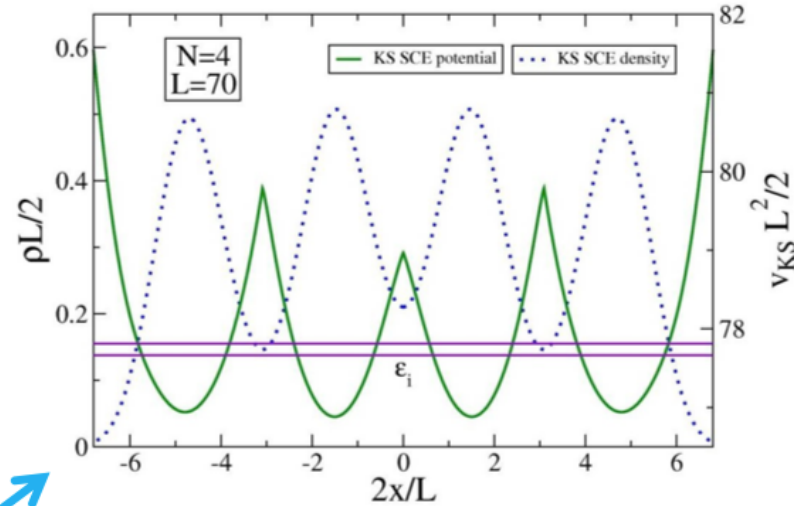
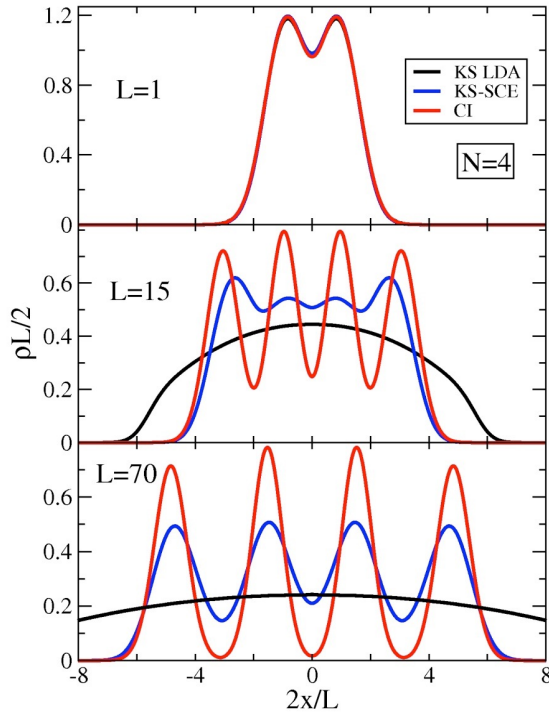
3) chemistry/solid state physics

how to turn what we have learned into useful approximations?

KS SCE in 1D: $2k_F - 4k_F$ crossover without magnetic order

1D harmonic confinement:

$$v_{\text{ext}}(x) = \frac{1}{2}\omega^2 x^2 \quad \omega = \frac{4}{L^2} \quad L: \text{effective length}$$



Previous attempts include self-interaction corrections (SIC) and GGA:
 S. H. Abedinpour, M. Polini, G. Xianlong, and M. P. Tosi, *Eur. Phys. J. B* 56, 127 (2007)
 D. Vieira and K. Capelle, *J. Chem. Theory Comput.* 6, 3319 (2010)
 D. Vieira, *Phys. Rev. B* 86, 075132 (2012)

Spherically symmetric systems

ansatz: 1D solution for the radial part + relative angles minimization

Seidl, Gori-Giorgi and Savin, PRA 75, 042511 (2007)

not always the lowest solution (it depends on the density)

Colombo & Stra, arXiv:1507.08522

Seidl, Di Marino, Gerolin, Nenna, Giesbertz & Gori-Giorgi, arXiv:1702.05022

however, the 1D-like solution is very close to the true minimum, and the potential computed from it is the functional derivative of the 1D-like SCE functional

Seidl, Di Marino, Gerolin, Nenna, Giesbertz & Gori-Giorgi, arXiv:1702.05022

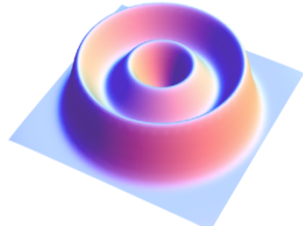
$$\nabla v_{\text{SCE}}(\mathbf{r}) = - \sum_{i=2}^N \frac{\mathbf{r} - \mathbf{f}_i(\mathbf{r})}{|\mathbf{r} - \mathbf{f}_i(\mathbf{r})|^3}$$

Example: 10 electrons in 2D harmonic potential

Self-consistent KS densities and potential with the SCE functional

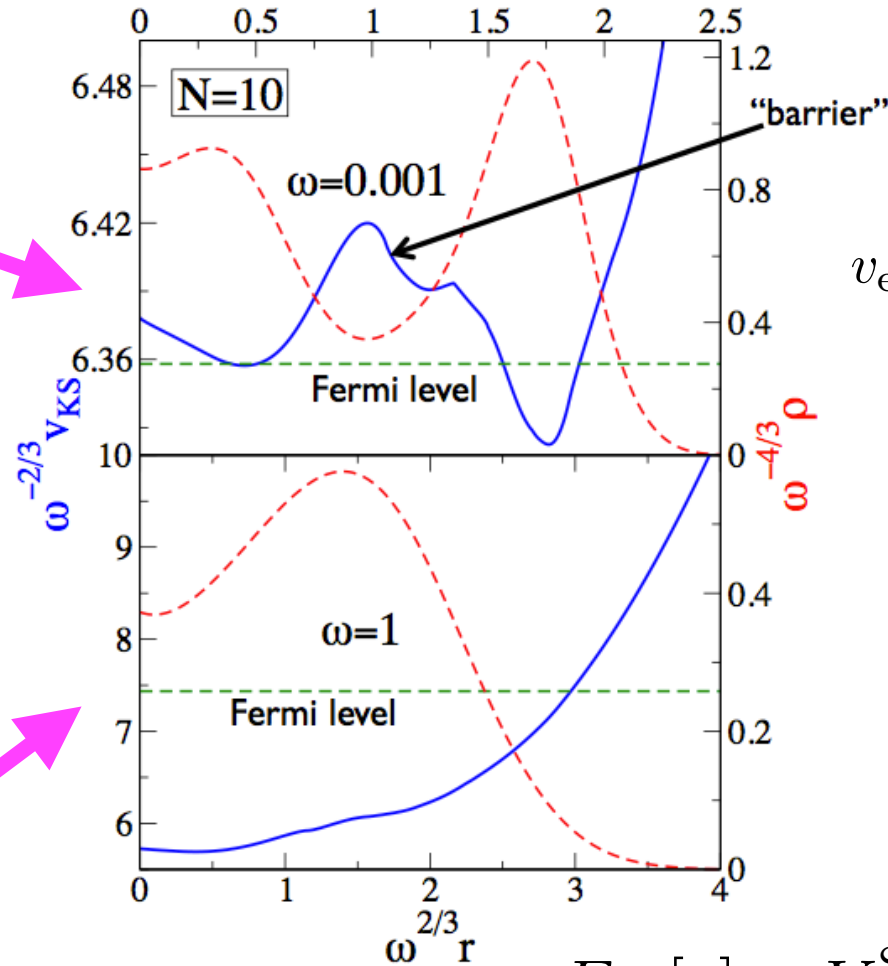
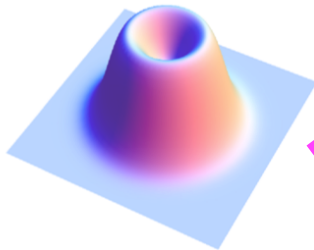
strong correlation
(Wigner rings)

$$\omega = 0.001$$



weak correlation
(Fermi-liquid like)

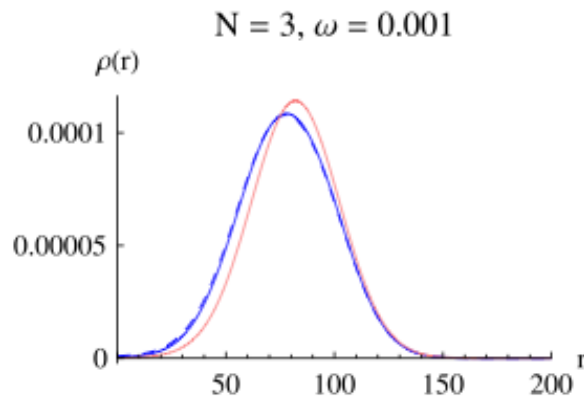
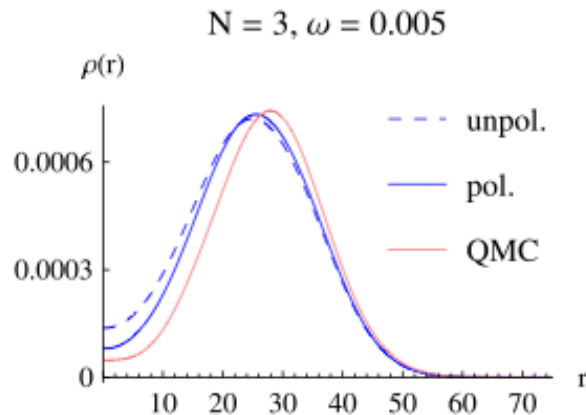
$$\omega = 1$$



$$v_{\text{ext}}(\mathbf{r}) = \frac{1}{2}\omega^2 r^2$$

$$E_{xc}[\rho] \approx V_{ee}^{\text{SCE}}[\rho] - U[\rho]$$

Accuracy of KS SCE for low-density



In this case the SGS maps are not optimal...

Approximate maps can be good enough...

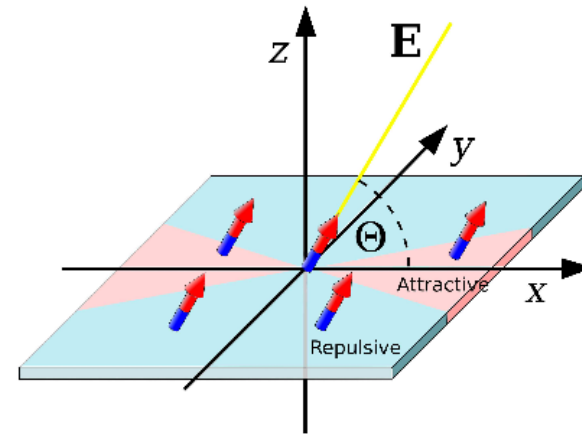
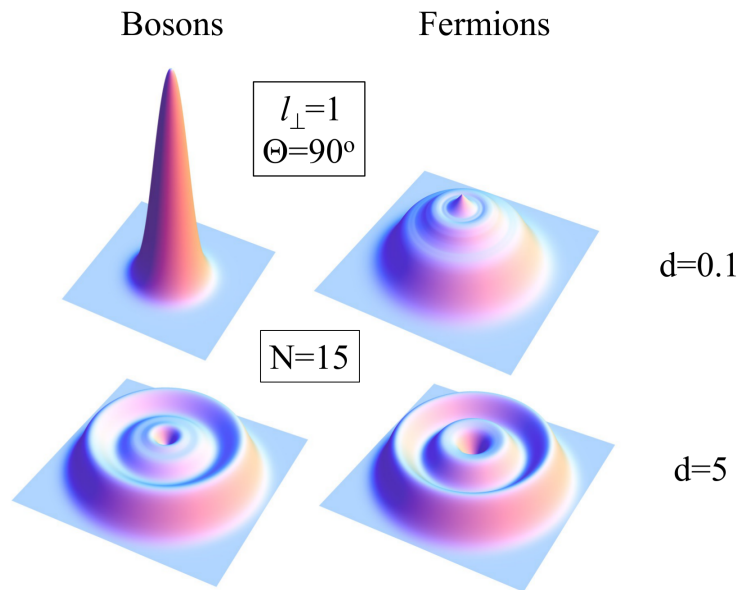
energy accuracy
of $\sim 1 \text{ mH}^*$ ($\sim 4\%$)

QMC: *D. Guclu and C.J. Umrigar*

Mendl, Malet & Gori-Giorgi, PRB 89, 125106 (2014)

Ultracold dipolar quantum gases

Bosons and fermions: change the kinetic energy functional
 Easy to treat tunable interactions with the SCP functional



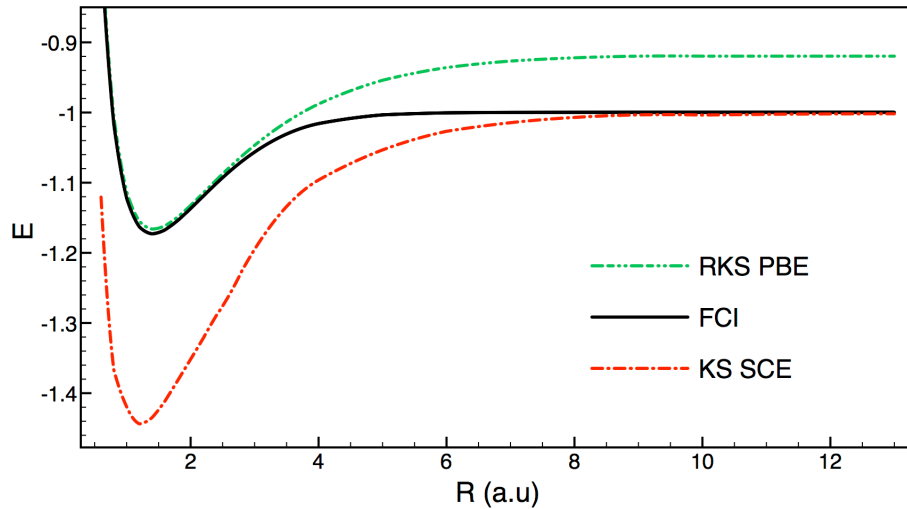
$$V_{\text{int}}^{\text{SCP}}[n] = \frac{1}{2} \int d\mathbf{r} n(\mathbf{r}) \sum_{i=2}^N v_{\text{int}}(\mathbf{r} - \mathbf{f}_i(\mathbf{r}))$$

$$\nabla v_{\text{SCP}}([n]; \mathbf{r}) = \sum_{i=2}^N \nabla v_{\text{int}}(\mathbf{r} - \mathbf{f}_i(\mathbf{r}))$$

KS SCE on a lattice interesting for (model many-body) physics?

Malet, Mirschink, Mendl, Bjerlin, Karabulut, Reimann & PG-G, PRL **115**, 033006 (2015)

H₂ molecule (SCE from Kantorovich formulation)



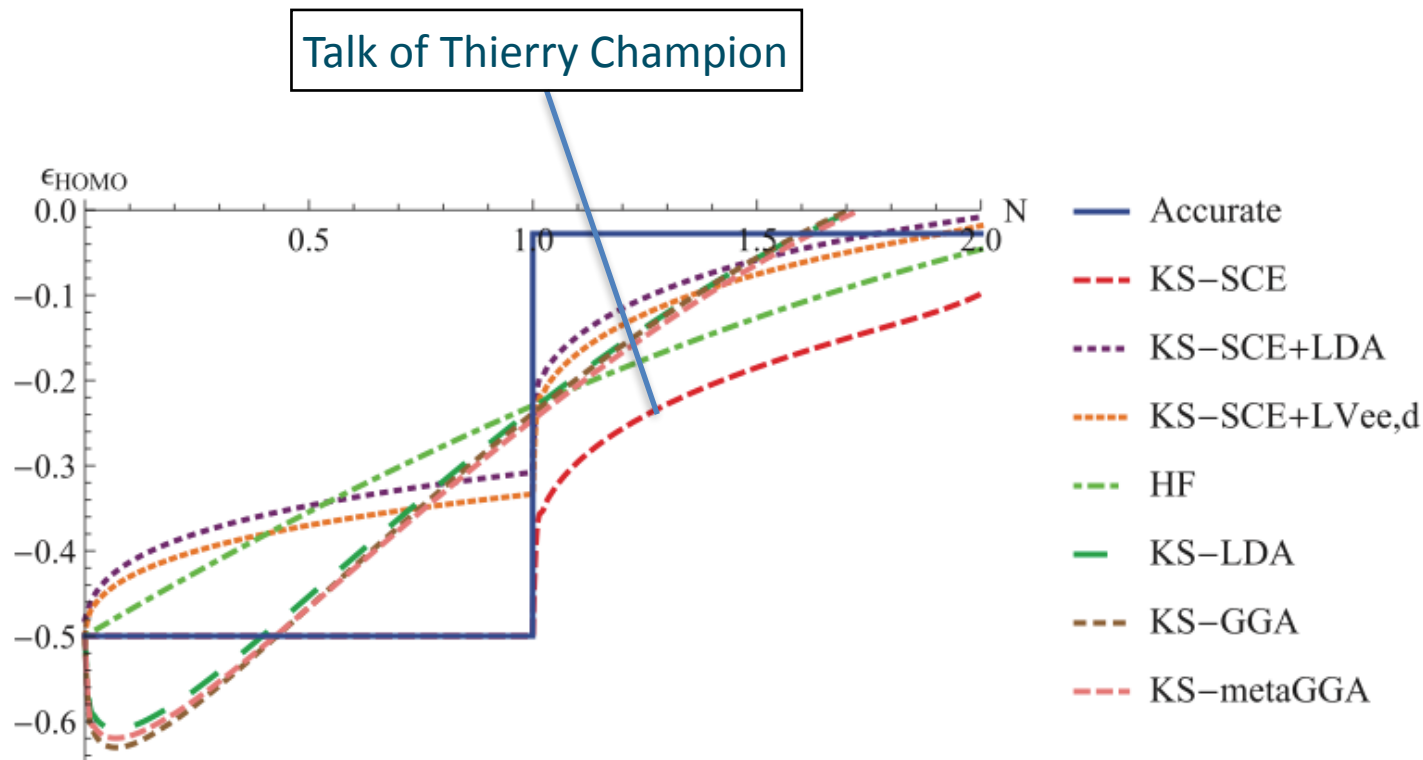
- KS SCE dissociates correctly
- horrible at equilibrium and beyond
- higher order terms improve the results

$$F[\rho] = T_s[\rho] + V_{ee}^{\text{SCE}}[\rho]$$

Chen, Friesecke, Mendl, JCTC 10, 4360 (2014)

Vuckovic, Wagner, Mirtschink & Gori-Giorgi, JCTC 11, 3153 (2015)

H atom (and chemistry) is far from SCE limit



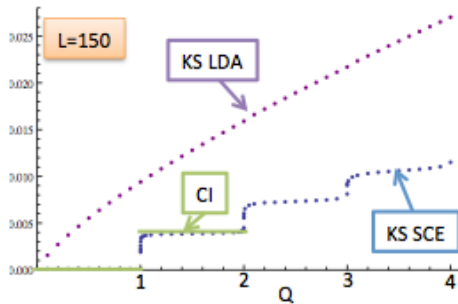
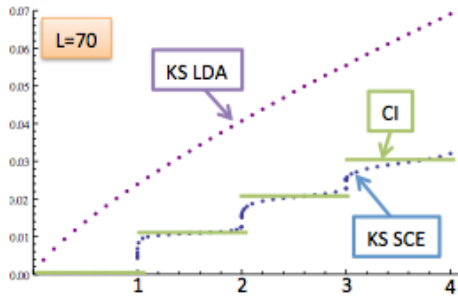
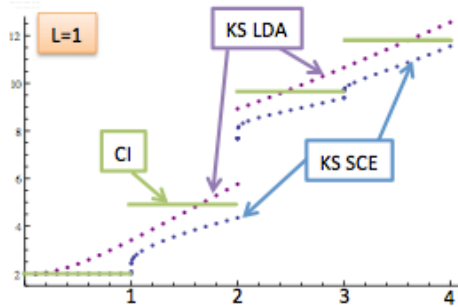
Mirtschink, Umrigar, Morgan III & PG-G, *JCP* **140** 18A532 (2014)

KS SCE behaves well only at extreme low density

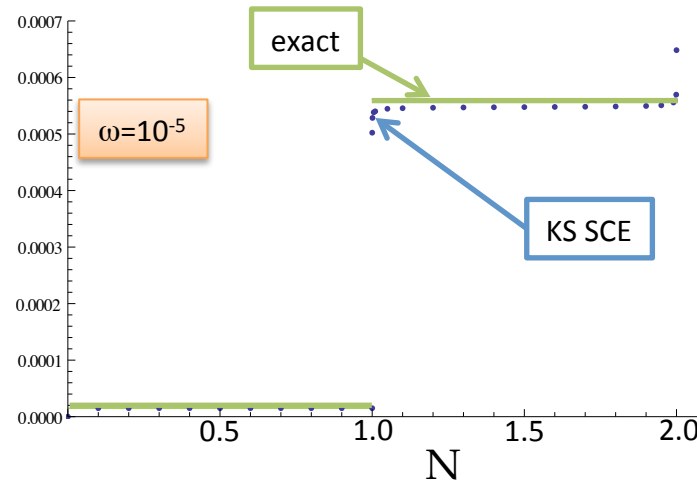
Highest occupied eigenvalue as a function of N

$$v_{\text{ext}}(x) = \frac{1}{2}\omega^2 x^2 \quad \omega = \frac{4}{L^2}$$

quasi 1D



3D (Hooke's atom)



Mirtschink, Seidl & Gori-Giorgi, PRL **111**, 126402 (2013)

SCE and chemistry

- SCE itself must be approximated (too expensive for routine calculations)
[but local or semi-local approximations of SCE miss the right physics;
we need some non-locality]

non-local radius (NLR) model

Wagner & PG-G, PRA **90**, 052512 (2014)

Zhou, Bahmann & Ernzerhof, JCP **143**, 124013 (2015)

shell model

Bahmann, Zhou & Ernzerhof, JCP **145**, 124014 (2016)

- use input from the weakly correlated regime

local interpolation along the adiabatic connection?

Matthias Ernzerhof's talk

how to retain the usual limits of successful xc functionals?

Kieron Burke's review

- build approximations for the physical coupling strength rescaling SCE

multi-radii functional (MRF)

Vuckovic & PG-G, J. Phys. Chem. Lett. **8**, 2799 (2017)

Functionals inspired to the SCE form

Full non-locality compatible with the exact exchange energy density

$$w_{\infty}(\mathbf{r}) = \frac{1}{2} \sum_{i=2}^N \frac{1}{\underbrace{|\mathbf{r} - \mathbf{f}_i([\rho]; \mathbf{r})|}_{R_i^{\text{SCE}}([\rho]; \mathbf{r})}} - \frac{1}{2} v_{\text{H}}(\mathbf{r}) \quad \text{from SCE theory}$$

distances (or radii)

$$w_{\lambda}^{\text{MRF}}(\mathbf{r}) = \frac{1}{2} \sum_{i=2}^N \frac{1}{R_i^{\lambda}([\rho]; \mathbf{r})} - \frac{1}{2} v_{\text{H}}(\mathbf{r})$$

$$W_{\lambda}[\rho] = \int \rho(\mathbf{r}) w_{\lambda}([\rho]; \mathbf{r}) d\mathbf{r}$$

key ingredients:

$$\tilde{\rho}(\mathbf{r}, u) = \int \frac{1}{4\pi} \rho(\mathbf{r} + \mathbf{u}) d\Omega_{\mathbf{u}}$$

$$N_e(\mathbf{r}, u) = \int_0^u 4\pi x^2 \tilde{\rho}(\mathbf{r}, x) dx$$

Coordinate Scaling

$$\rho_\gamma(\mathbf{r}) = \gamma^3 \rho(\gamma \mathbf{r})$$

$$\lim_{\gamma \rightarrow \infty} E_{xc}[\rho_\gamma] \rightarrow \gamma E_x[\rho]$$

$$\lim_{\gamma \rightarrow 0} E_{xc}[\rho_\gamma] \rightarrow \gamma W_\infty[\rho]$$

$$N_e(\mathbf{r}, u) = \int_0^u 4\pi x^2 \tilde{\rho}(\mathbf{r}, x) dx$$

$$w_\lambda^{\text{MRF}}(\mathbf{r}) = \frac{1}{2} \sum_{i=2}^N \frac{1}{R_i^\lambda([\rho]; \mathbf{r})} - \frac{1}{2} \nu_{\text{H}}(\mathbf{r})$$

$$\tilde{\rho}(\mathbf{r}, u) = \int \frac{1}{4\pi} \rho(\mathbf{r} + \mathbf{u}) d\Omega_{\mathbf{u}}$$

$$\nu_i^\lambda[\rho] = i - 1 + \sigma_i^\lambda([\rho]; \mathbf{r})$$

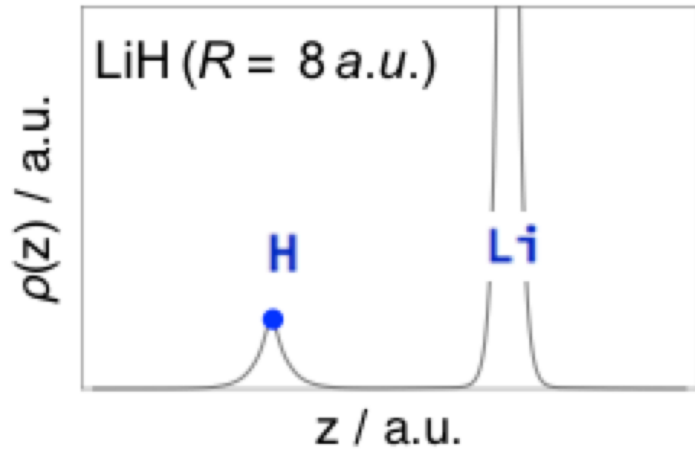
$$R_i^\lambda([\rho]; \mathbf{r}) = N_e^{-1}(\mathbf{r}, \nu_i^\lambda[\rho])$$

if these are set to a constant, $\sigma_i^\lambda = \sigma$

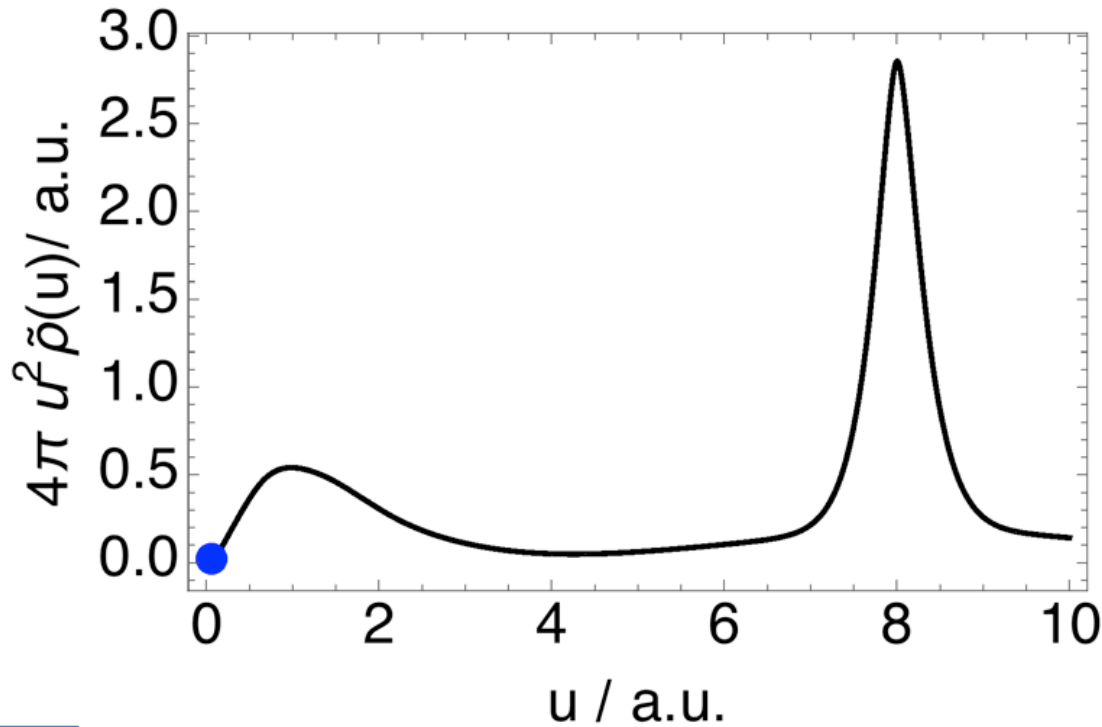
$$W_1^{\text{MRF}}[\rho_\gamma] = \gamma W_1^{\text{MRF}}[\rho]$$

with σ_i^λ varying between two constants we can cover the whole scaling

Full non-locality: MRF



- - reference e^-
- - remaining $(N-1) e^-$



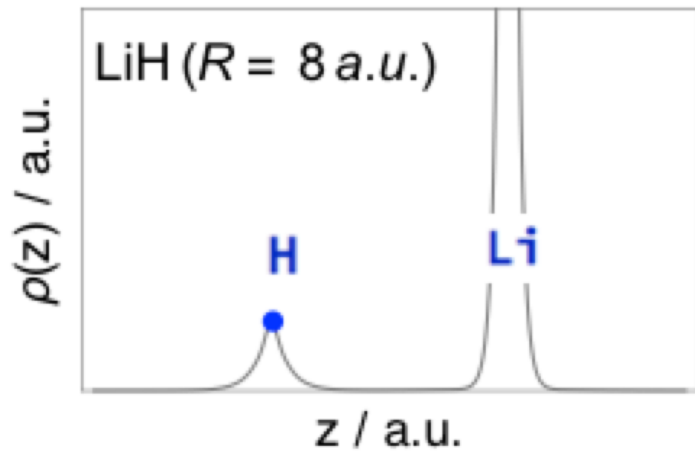
$$R_i^1(\mathbf{r}) = N_e^{-1}(\mathbf{r}, i - 1 + \sigma_i(\mathbf{r})),$$

$$\sigma_i(\mathbf{r}) = \frac{1}{2} e^{-b S_i(\mathbf{r})^2}$$

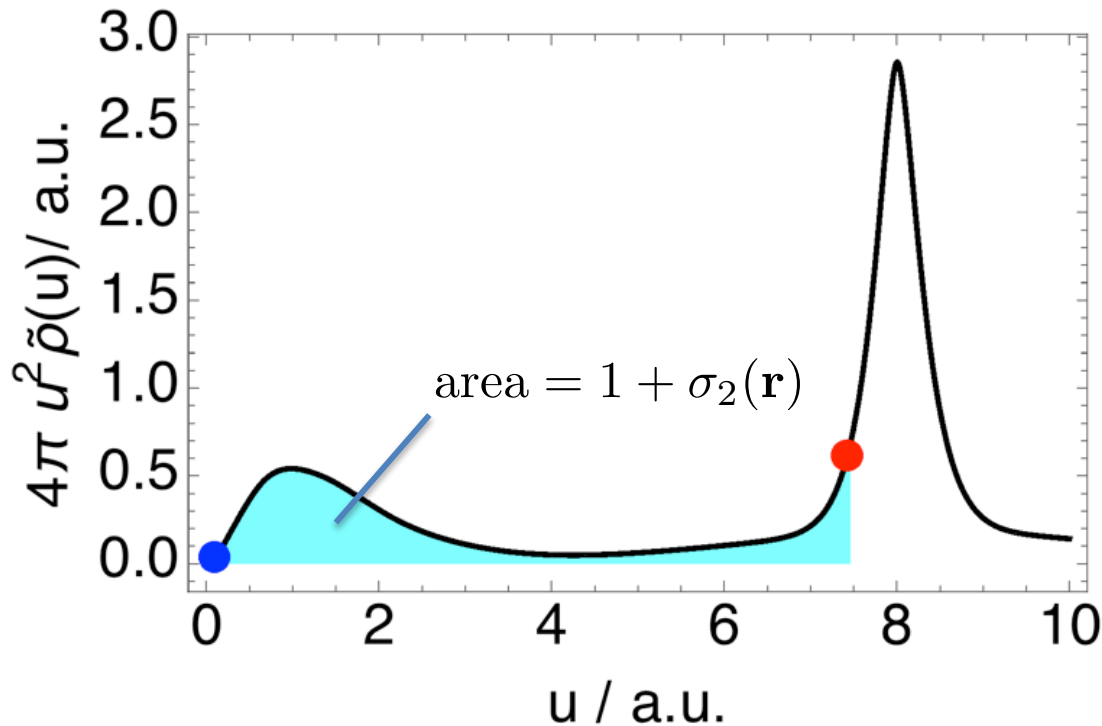
$$S_i(\mathbf{r}) = \left. \frac{\partial N_e(\mathbf{r}, u)}{\partial u} \right|_{u=N_e^{-1}(\mathbf{r}, i-1)}$$

$$w_\lambda^{\text{MRF}}(\mathbf{r}) = \frac{1}{2} \sum_{i=2}^N \frac{1}{R_i^\lambda([\rho]; \mathbf{r})} - \frac{1}{2} \nu_H(\mathbf{r})$$

Full non-locality: MRF



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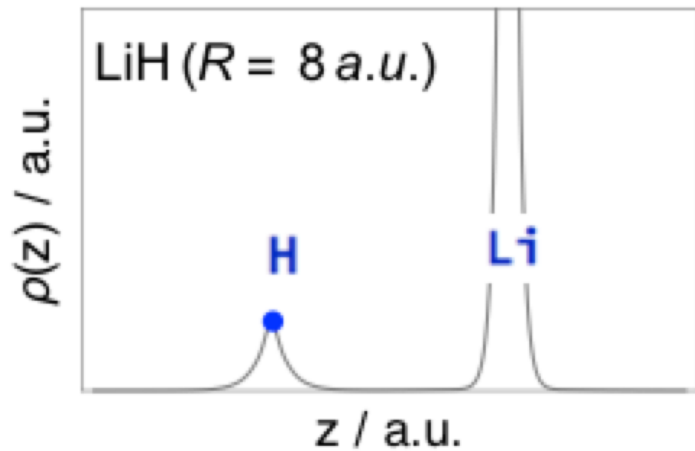
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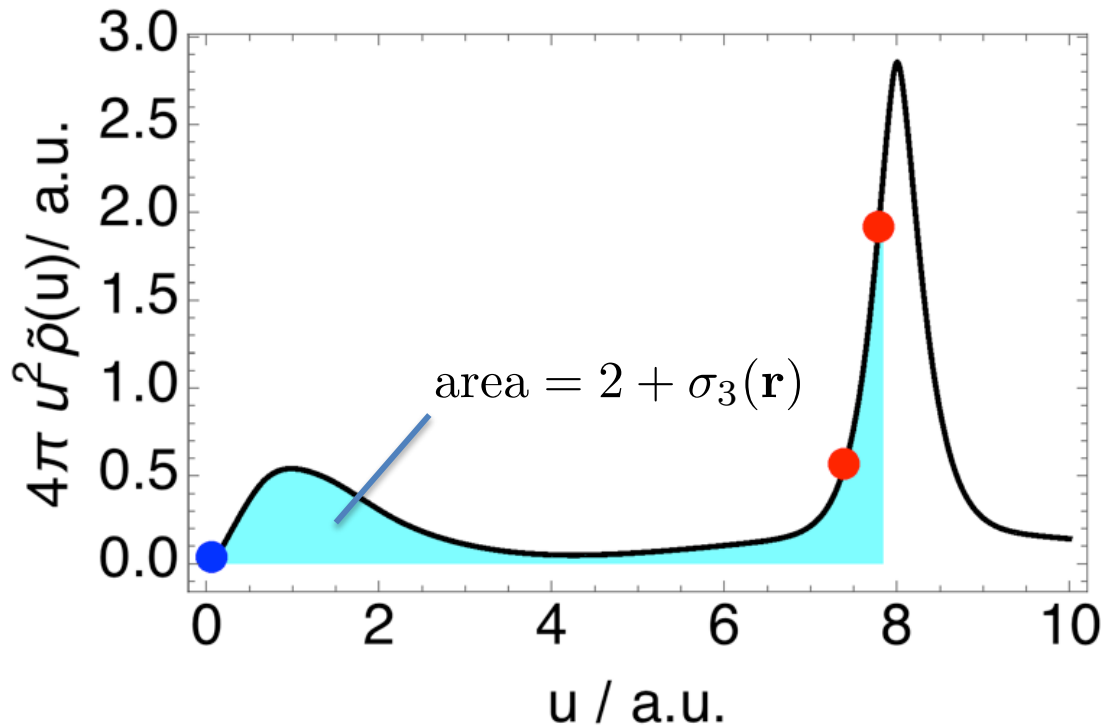
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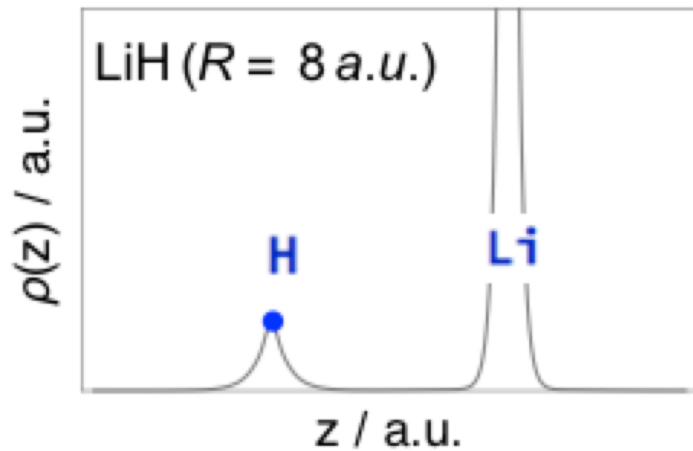
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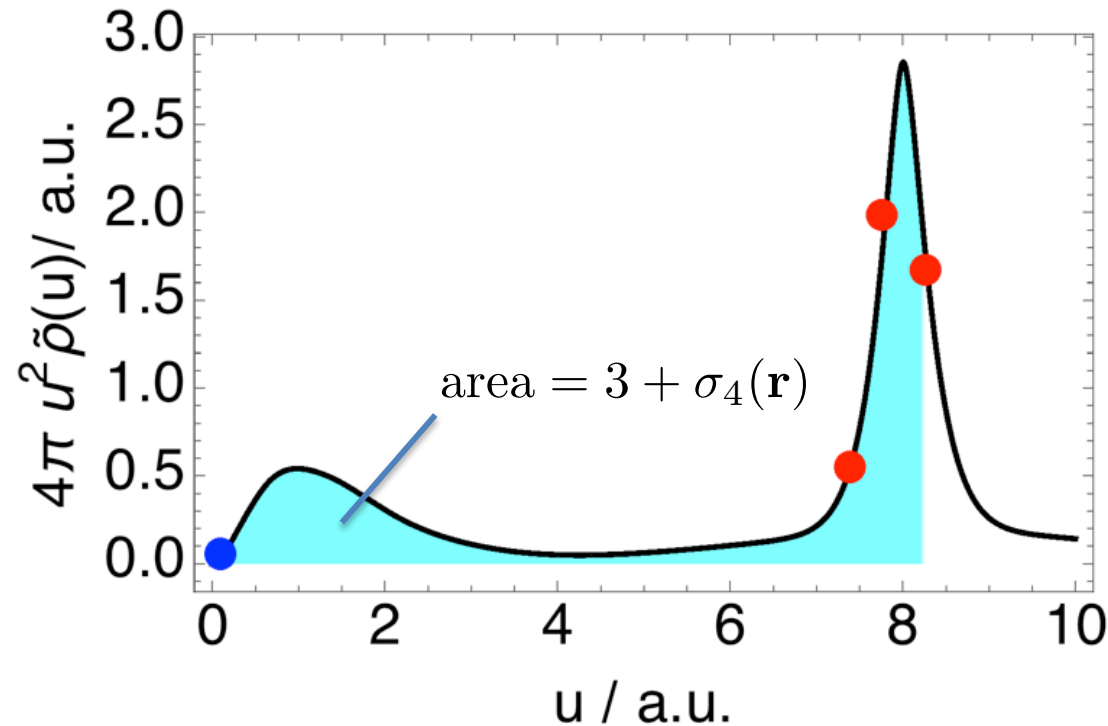
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Full non-locality: MRF



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$$w_\lambda^{\text{MRF}}(\mathbf{r}) = \frac{1}{2} \sum_{i=2}^N \frac{1}{R_i^\lambda([\rho]; \mathbf{r})} - \frac{1}{2} \nu_H(\mathbf{r})$$

Full non-locality: MRF for atoms

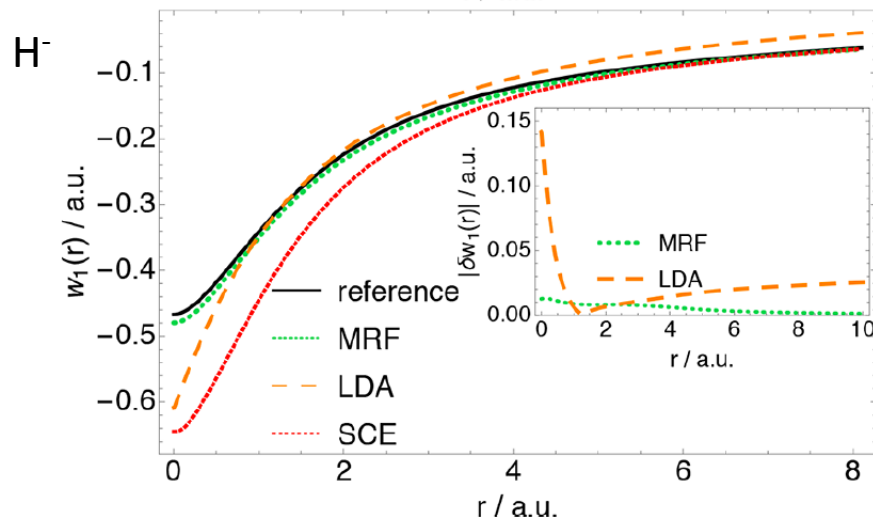
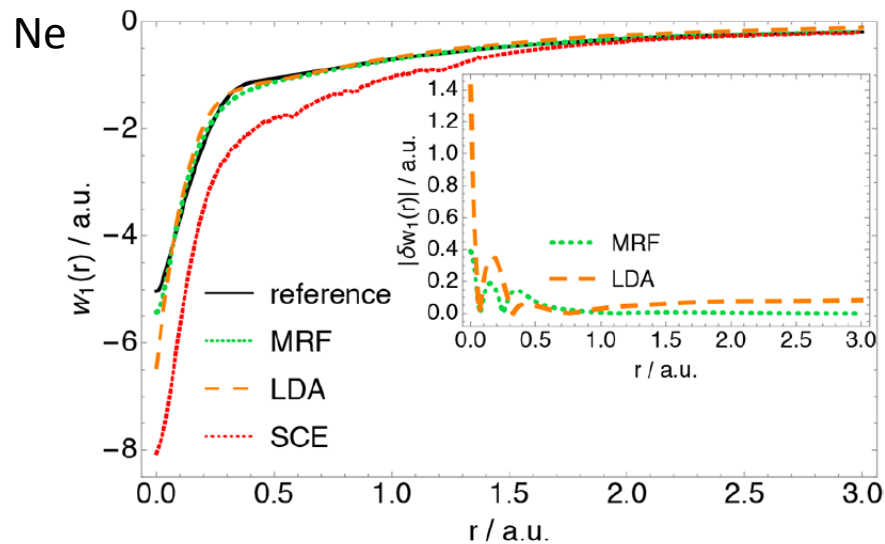
$$W_1[\rho] = \langle \Psi_1[\rho] | \hat{V}_{ee} | \Psi_1[\rho] \rangle - U[\rho] \quad (\text{in Hartree})$$

atom/ion	reference	MRF-1	PBE	SCE
He	-1.1029	-1.1844	-1.1047	-1.4982
H ⁻	-0.4532	-0.4681	-0.4413	-0.5689
Be	-2.8341	-2.8044	-2.8430	-4.0195
Li ⁻	-1.9462	-2.1170	-1.9617	-2.7308
F ⁻	-10.889	-10.741	-10.997	-16.940
Ne	-12.765	-12.823	-12.876	-20.041
Mg	-16.701	-16.365	-16.913	-26.709
Cl ⁻	-28.89	-28.48	-29.19	-47.26
Ar	-31.35	-31.19	-31.68	-51.49
Ca	-35.60	-35.92	-36.85	-60.34
MAE	-	0.17	0.24	-

$$W_1^{\text{DFA}}[\rho] = E_x^{\text{DFA}}[\rho] + 2E_c^{\text{DFA}}[\rho] - \left. \frac{\partial E_c^{\text{DFA}}[\rho_\gamma]}{\partial \gamma} \right|_{\gamma=1}$$

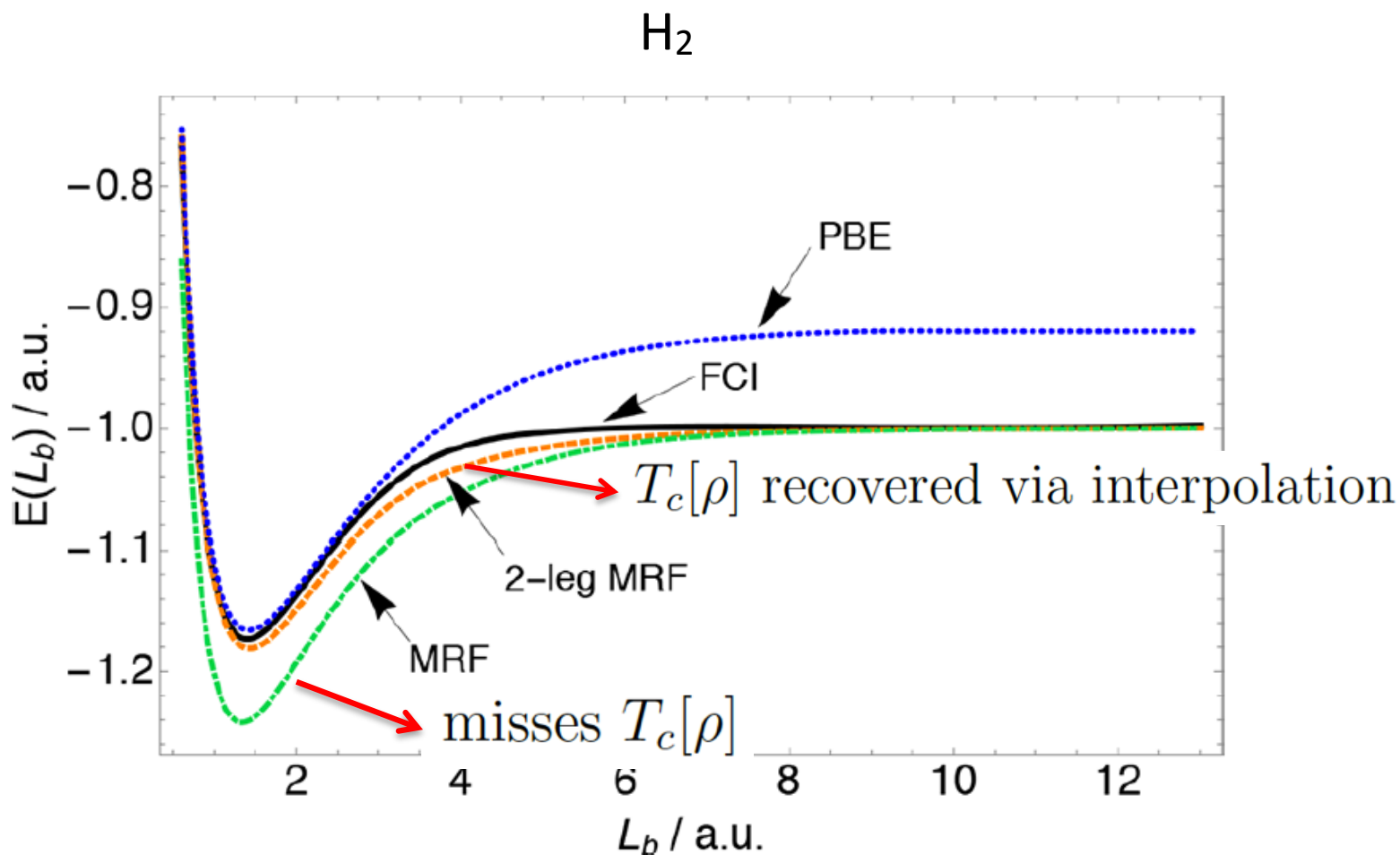
Vuckovic & PG-G, *J. Phys. Chem. Lett.* **8**, 2799 (2017)

MRF energy densities are locally accurate



$$w_1(\mathbf{r}) = \frac{1}{2} \int \frac{h_{xc}(\mathbf{r}, \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}'$$

Static correlation from MRF



MRF is exact for H_2^+

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