

The Optimal Design of Wall-Bounded Heat Transport

Ian Tobasco (Michigan)

Joint work with Charlie Doering (Michigan)

Banff COV Workshop

Funding from NSF gratefully acknowledged

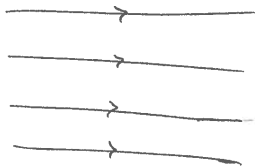
May 21, 2018

I.T. & C. Doering, *Optimal wall-to-wall transport by incompressible flows*, PRL '17

I.T. & C. Doering, *On the optimal design of wall-to-wall heat transport*, submitted

Andre Souza, I.T., & C. Doering, *Optimal 2D wall-to-wall transport — numerics*, in prep

Heat transport in a fluid with velocity $\mathbf{u}(\mathbf{x}, t)$ occurs by two mechanisms:



advection at rate $\|\mathbf{u}\|/L$



diffusion at rate κ/L^2

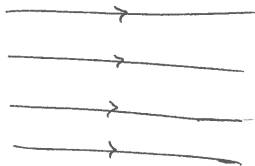
Together, they determine $T(\mathbf{x}, t) =$ temperature through

$$\partial_t T + \text{div}(\mathbf{u}T - \kappa \nabla T) = 0$$

We recognize the *heat flux*

$$\mathbf{J} = \mathbf{u}T - \kappa \nabla T$$

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The Péclet number

$$Pe = \frac{\text{rate of advection}}{\text{rate of diffusion}} = \frac{\|\mathbf{u}\|/L}{\kappa/L^2} \gg 1$$

Heat transport in a fluid layer

$$T = 0, \quad \mathbf{u} = \mathbf{0}$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \Delta \mathbf{u} + \mathbf{f}$$

$$\operatorname{div} \mathbf{u} = 0$$

$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$\mathbf{J} = \mathbf{u}T - \nabla T$$

$$T = 1, \quad \mathbf{u} = \mathbf{0}$$

Question: Which forces \mathbf{f} produce the largest transport of heat,

$$\max_{\mathbf{f}} \langle \mathbf{J} \cdot \hat{\mathbf{k}} \rangle?$$

Notation for averaging:

$$\langle \cdot \rangle = \limsup_{\tau \rightarrow \infty} \frac{1}{\tau |\text{fluid layer}|} \int_0^\tau \int_{\text{fluid layer}} \cdot \, dx dt$$

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To flow \geq not to flow

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The *Nusselt number* is defined as enhancement of heat transport

$$Nu(\mathbf{u}) = \frac{\text{total vertical heat flux}}{\text{conductive vertical heat flux}} = \frac{\langle \mathbf{J} \cdot \hat{\mathbf{k}} \rangle}{\langle -\nabla T \cdot \hat{\mathbf{k}} \rangle} \geq 1$$

We seek to **maximize** it... the answer is $+\infty$ w/o constraints

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Enstrophy budget

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$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$T = 1, \quad \mathbf{u} = \mathbf{0}$$

A natural constraint is on the **power** expended to sustain fluid flow

From the momentum eqn.,

$$\langle \mathbf{f} \cdot \mathbf{u} \rangle = \langle |\nabla \mathbf{u}|^2 \rangle$$

average power expended = average “enstrophy”

The wall-to-wall optimal transport problem

$$T = 0, \quad \mathbf{u} = \mathbf{0}$$

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$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$Nu = \langle \mathbf{J} \cdot \hat{\mathbf{k}} \rangle$$

Problem: Maximize the wall-to-wall heat transport Nu amongst all incompressible flows sat. a given enstrophy budget,

$$\begin{array}{l} \max \\ \mathbf{u}(\mathbf{x}, t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \text{b.c.s} \end{array} \quad Nu(\mathbf{u})$$

What do optimizers look like?

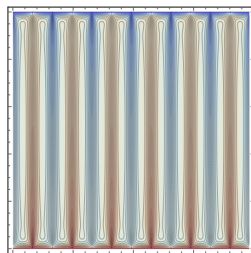
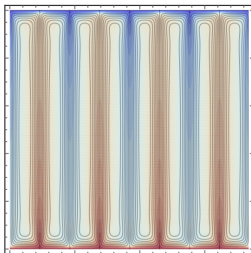
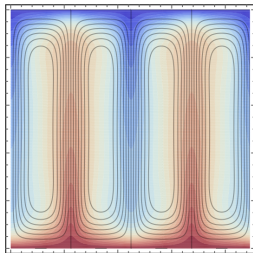
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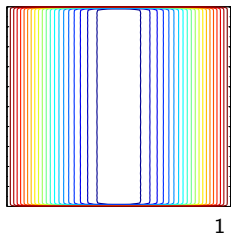


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What do optimizers look like?

K.E. budget
 $\langle |\mathbf{u}|^2 \rangle^{1/2} = Pe$

stress-free b.c.
 $\partial_z u = w = 0$

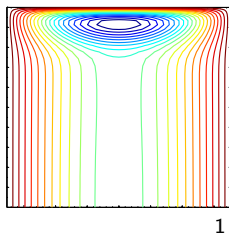


$$\max Nu \sim Pe$$

$$l_{bulk} \sim Pe^{-1/2}$$

enstrophy budget
 $\langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe$

stress-free b.c.
 $\partial_z u = w = 0$

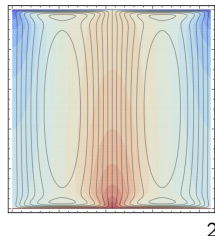


$$Nu \sim Pe^{0.58}$$

$$l_{bulk} \sim Pe^{-0.36}$$

enstrophy budget
 $\langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe$

no-slip b.c.
 $u = w = 0$



$$Nu \sim Pe^{0.54}$$

$$l_{bulk} \sim Pe^{-0.37}$$

¹P. Hassanzadeh, G. P. Chini, & C. R. Doering, JFM 2014

²A. Souza, PhD thesis 2016

What must optimizers obey?

$$T = 0, \quad \mathbf{u} = \mathbf{0}$$

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$$Nu = \langle \mathbf{J} \cdot \hat{\mathbf{k}} \rangle$$

Theorem (Souza & Doering, '16)

$$\max_{\substack{\mathbf{u}(\mathbf{x}, t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \text{b.c.s}}} Nu(\mathbf{u}) \leq C Pe^{2/3}$$

∃ multiple proofs:

- ▶ a modification of the “background method” (C. Doering & P. Constantin, Phys Rev E '96)
- ▶ an elementary “conservation law” argument (C. Seis, JFM '15)

So what's the optimal rate?

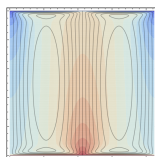
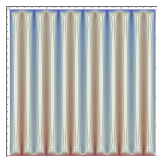
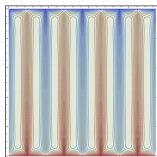
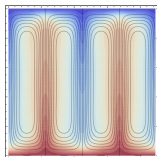
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$$T = 1, \quad \mathbf{u} = \mathbf{0}$$

$$\max_{\mathbf{u}(\mathbf{x}, t)} Nu(\mathbf{u})$$
$$\langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe$$

b.c.s



... ??

$$Nu \sim Pe^{1/2}$$

$$Nu \sim Pe^{0.54}$$

$$Pe = 4 \times 10^2$$

$$5 \times 10^3$$

$$1.3 \times 10^4$$

$$4 \times 10^4$$

Main result

Theorem (T. & Doering, '17)

Up to logarithmic corrections, the optimal rate of heat transport satisfies

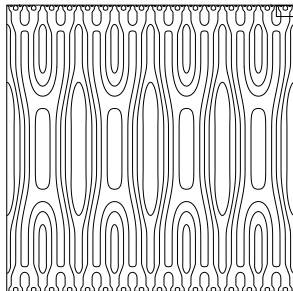
$$\max_{\substack{\mathbf{u}(\mathbf{x},t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u}) \sim Pe^{2/3} \quad \text{as } Pe \rightarrow \infty.$$

More precisely, there exist constants C, C' depending only on the domain such that

$$C \frac{Pe^{2/3}}{\log^{4/3} Pe} \leq \max_{\substack{\mathbf{u}(\mathbf{x},t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u}) \leq C' Pe^{2/3}$$

for $Pe \gg 1$.

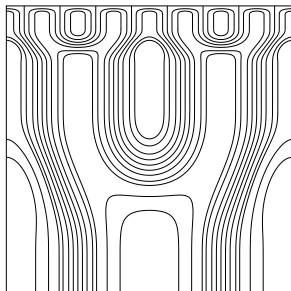
What do our flows look like?



$$\mathbf{u} = \nabla^\perp \psi$$

$$l_k \lesssim \delta_k$$

$$|b_l| \sim \delta_{bl}$$

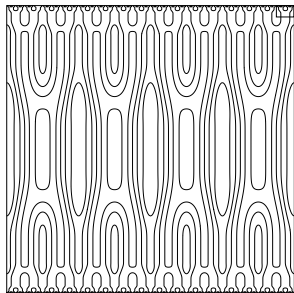


Streamlines refine self-similarly from bulk to boundary layer

In the k th stage of refinement,

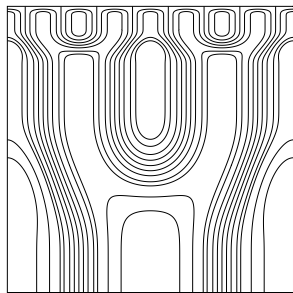
$$\psi(x, z) = f\left(\frac{z - z_k}{\delta_k}\right) \cdot l_k \Psi\left(\frac{x}{l_k}\right) + g\left(\frac{z - z_k}{\delta_k}\right) \cdot l_{k+1} \Psi\left(\frac{x}{l_{k+1}}\right)$$

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$$\mathbf{u} = \nabla^\perp \psi$$

$$\ell(z)$$



Horizontal lengthscales satisfy

$$l_{bl} \sim \frac{\log^{1/3} Pe}{Pe^{2/3}} \quad l_{bulk} \sim \frac{\log^{1/6} Pe}{Pe^{1/3}}$$

and

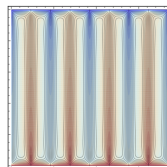
$$\ell(z) \sim \frac{\log^{1/6} Pe}{Pe^{1/3}} (1-z)^{1/2}$$

Brief sketch of the proof

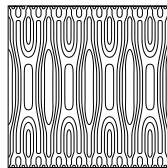
Main challenges

As $Pe \rightarrow \infty$, our designs feature

- ▶ increasingly fine lengthscales
- ▶ an increasing number of distinct lengthscales



Simplify by taking $\mathbf{u}(\mathbf{x})$ indpt. of time
(and why should time-dependence help?)



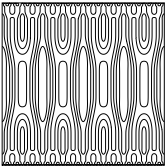
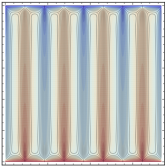
Main goals: Motivate our “branched” flow designs, and estimate their heat transport Nu in the advection-dominated limit $Pe \rightarrow \infty$.

Punchline: The analysis of optimal heat transport is analogous to pattern formation in micromagnetics, elasticity theory, etc.

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Step 1: Obtain a general variational principle
for heat transport

A non-local Dirichlet principle for heat transport

$$T = 0, \quad \mathbf{u} = \mathbf{0}$$

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Lemma

There exist dual variational principles for heat transport by a steady divergence-free flow.

$$\begin{aligned} Nu(\mathbf{u}) - 1 &= \min_{\eta: \eta|_{\partial\Omega} = 0} \int |\nabla \eta|^2 + |\nabla \Delta^{-1}(-w + \mathbf{u} \cdot \nabla \eta)|^2 \\ &= \max_{\xi: \xi|_{\partial\Omega} = 0} \int 2w\xi - |\nabla \Delta^{-1} \mathbf{u} \cdot \nabla \xi|^2 - |\nabla \xi|^2 \end{aligned}$$

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Step 2: Recognize optimal heat transport
as “energy-driven pattern formation”

... what plays the role of “free energy”?

A useful change of variables

Consider the general class of steady wall-to-wall problems,

$$\max_{\substack{\mathbf{u}(\mathbf{x}) \\ \|\mathbf{u}\|=Pe \\ \text{b.c.s}}} Nu(\mathbf{u})$$

where, e.g.,

$$\|\mathbf{u}\|^2 = \int_{\Omega} |\mathbf{u}|^2 \quad \text{in the energy-constrained case}$$

$$\|\mathbf{u}\|^2 = \int_{\Omega} |\nabla \mathbf{u}|^2 \quad \text{in the enstrophy-constrained case}$$

Now we know the variational principle

$$\max_{\substack{\mathbf{u}(\mathbf{x}) \\ \|\mathbf{u}\|=Pe \\ \text{b.c.s}}} Nu(\mathbf{u}) = 1 + \max_{\substack{\mathbf{u}(\mathbf{x}), \xi(\mathbf{x}) \\ \|\mathbf{u}\|=Pe \\ \text{b.c.s}}} \left\{ \int 2w\xi - |\nabla \Delta^{-1} \text{div} \mathbf{u} \xi|^2 - |\nabla \xi|^2 \right\}$$

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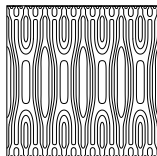
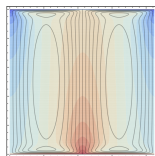
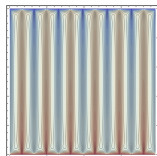
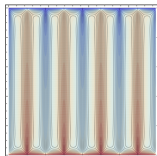
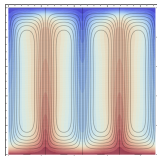
A useful change of variables

Application: The enstrophy-constrained wall-to-wall problem

$$\begin{aligned} \max_{\mathbf{u}(\mathbf{x})} \quad & Nu(\mathbf{u}) \\ \int_{\Omega} |\nabla \mathbf{u}|^2 = Pe^2 \quad & \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0} \quad & \end{aligned}$$

is equivalent to solving

$$\begin{aligned} \min_{\mathbf{u}(\mathbf{x}), \xi(\mathbf{x})} \quad & \int_{\Omega} |\nabla \Delta^{-1} \operatorname{div} \mathbf{u} \xi|^2 + \frac{1}{Pe^2} \int_{\Omega} |\nabla \mathbf{u}|^2 \cdot \int_{\Omega} |\nabla \xi|^2 \\ \int_{\Omega} w \xi = 1 \quad & \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}, \xi|_{\partial\Omega} = 0 \quad & \end{aligned}$$



$$Nu \sim Pe^{1/2}$$

$$Nu \sim Pe^{0.54}$$

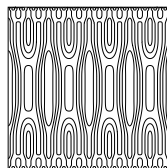
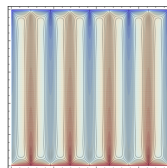
$$Nu \sim Pe^{2/3}$$

Step 3: The heat transport of branched flow designs

The branching construction

Recall: Our main result states that

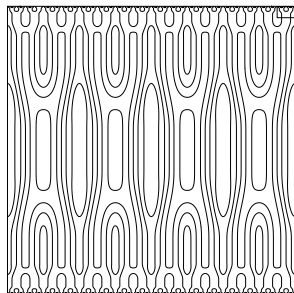
$$\max_{\substack{\mathbf{u}(\mathbf{x},t) \\ \int_{\Omega} |\nabla \mathbf{u}|^2 = Pe^2 \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u}) \sim Pe^{2/3} \quad \text{up to logs}$$



We just showed: It is equivalent to prove

$$\min_{\substack{\mathbf{u}(\mathbf{x}), \xi(\mathbf{x}) \\ \int_{\Omega} w \xi = 1 \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}, \xi|_{\partial\Omega} = 0}} \int_{\Omega} |\nabla \Delta^{-1} \operatorname{div} \mathbf{u} \xi|^2 + \frac{1}{Pe^2} \int_{\Omega} |\nabla \mathbf{u}|^2 \cdot \int_{\Omega} |\nabla \xi|^2 \sim \frac{1}{Pe^{2/3}}$$

The branching construction

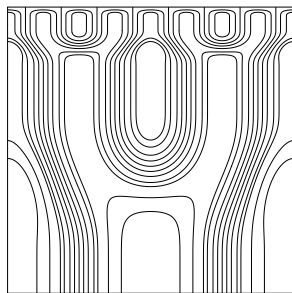


$$\mathbf{u} = \nabla^\perp \psi$$

$$\xi = w$$

$$l_k \lesssim \delta_k$$

$$l_{bl} \sim \delta_{bl}$$



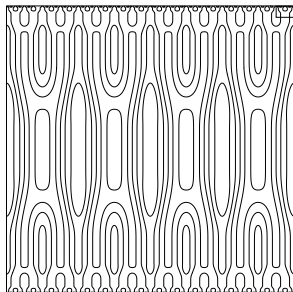
Claim: Constructions such as above can be made to satisfy

the “net flux” constraint $\int_{\Omega} w \xi = 1$

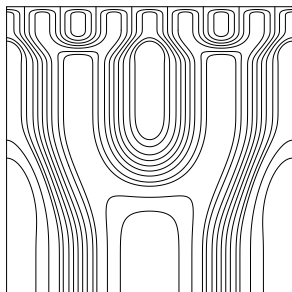
and to achieve

$$\int_{\Omega} |\nabla \Delta^{-1} \operatorname{div} \mathbf{u} \xi|^2 + \frac{1}{Pe^2} \int_{\Omega} |\nabla \mathbf{u}|^2 \cdot \int_{\Omega} |\nabla \xi|^2 \lesssim \frac{\log^{4/3} Pe}{Pe^{2/3}}$$

The branching construction



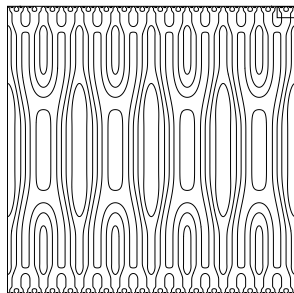
$$\begin{aligned}\mathbf{u} &= \nabla^\perp \psi \\ \xi &= w \\ \ell &= \ell(z)\end{aligned}$$



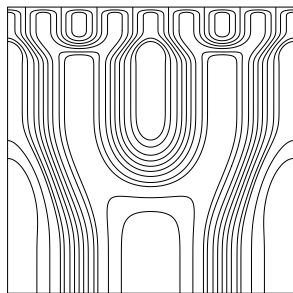
$$\begin{aligned}\int_{\Omega} |\nabla \Delta^{-1} \operatorname{div} \mathbf{u} \xi|^2 + \frac{1}{Pe^2} \int_{\Omega} |\nabla \mathbf{u}|^2 \cdot \int_{\Omega} |\nabla \xi|^2 \\ \lesssim l_{bl} + \int_{z_{bulk}}^{z_{bl}} (\ell')^2 dz + \frac{1}{Pe^2} \left(\frac{1}{l_{bulk}^2} + \int_{z_{bulk}}^{z_{bl}} \frac{1}{\ell^2} dz + \frac{1}{l_{bl}} \right)^2\end{aligned}$$

where $\ell = \ell(z) =$ horizontal lengthscale

The branching construction



$$\begin{aligned} \mathbf{u} &= \nabla^\perp \psi \\ \xi &= w \\ \ell(z) \end{aligned}$$



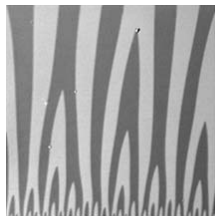
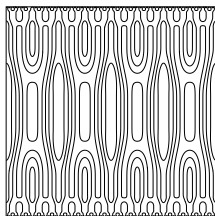
$$\min_{\substack{\ell(z) \\ \ell(z_{bulk})=l_{bulk} \\ \ell(z_{bl})=l_{bl}}} \left\{ l_{bl} + \int_{z_{bulk}}^{z_{bl}} (\ell')^2 dz + \frac{1}{Pe^2} \left(\frac{1}{l_{bulk}^2} + \int_{z_{bulk}}^{z_{bl}} \frac{1}{\ell^2} dz + \frac{1}{l_{bl}} \right)^2 \right\} \sim \frac{\log^{4/3} Pe}{Pe^{2/3}}$$

$$\ell(z) \sim \frac{\log^{1/6} Pe}{Pe^{1/3}} (1-z)^{1/2}$$

$$l_{bulk} \sim \frac{\log^{1/6} Pe}{Pe^{1/3}} \quad l_{bl} \sim \frac{\log^{1/3} Pe}{Pe^{2/3}}$$

Concluding remarks

- ▶ For enstrophy-constrained transport
 $\max Nu \sim Pe^{2/3}$ up to logs
- ▶ Extensive 2D numerics finds
 $Nu \sim Pe^{0.54} \approx Pe^{6/11}$
- ▶ Proof combines
 1. The old *a priori* upper bound
 $\max Nu \lesssim Pe^{2/3}$
 2. A new functional analytic framework for optimal heat transport
 3. A new branching construction achieving
 $Nu \gtrsim Pe^{2/3-}$
- ▶ We were inspired by the analysis of branching in materials science, e.g., micromagnetics



1

Other examples of branching flows in fluid dynamics?

An old scientific question...

Does nature achieve optimal transport?

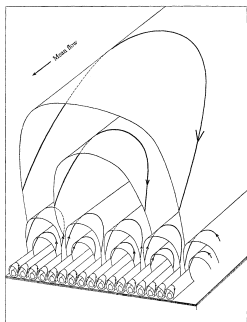
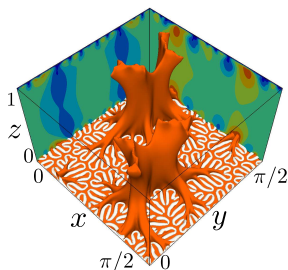


FIGURE 3. Qualitative sketch of the boundary-layer region of the vector field yielding maximum transport of momentum.

F. H. Busse, *Bounds for turbulent shear flow*, JFM '70



S. Motoki, G. Kawahara, & M. Shimizu, *Maximal heat transfer between two parallel plates*, arxiv 1801.04588

Thanks for listening

A non-local Dirichlet principle for heat transport

Lemma

For divergence-free $\mathbf{u}(\mathbf{x})$,

$$\begin{aligned} Nu(\mathbf{u}) - 1 &= \min_{\eta: \eta|_{\partial\Omega}=0} \int |\nabla\eta|^2 + |\nabla\Delta^{-1}(-w + \mathbf{u} \cdot \nabla\eta)|^2 \\ &= \max_{\xi: \xi|_{\partial\Omega}=0} \int 2w\xi - |\nabla\Delta^{-1}\mathbf{u} \cdot \nabla\xi|^2 - |\nabla\xi|^2 \end{aligned}$$

Proof: Let $T = 1 - z + \theta$ and consider

$$\pm \mathbf{u} \cdot \nabla\theta_{\pm} = \Delta\theta_{\pm} + w$$

In the new variables

$$\xi = \frac{1}{2}(\theta_+ + \theta_-) \quad \text{and} \quad \eta = \frac{1}{2}(\theta_+ - \theta_-)$$

these become

$$\mathbf{u} \cdot \nabla\eta = \Delta\xi + w$$

$$\mathbf{u} \cdot \nabla\xi = \Delta\eta$$

A non-local Dirichlet principle for heat transport

Lemma

For divergence-free $\mathbf{u}(\mathbf{x})$,

$$\begin{aligned} Nu(\mathbf{u}) - 1 &= \min_{\eta: \eta|_{\partial\Omega}=0} \int |\nabla\eta|^2 + |\nabla\Delta^{-1}(-w + \mathbf{u} \cdot \nabla\eta)|^2 \\ &= \max_{\xi: \xi|_{\partial\Omega}=0} \int 2w\xi - |\nabla\Delta^{-1}\mathbf{u} \cdot \nabla\xi|^2 - |\nabla\xi|^2 \end{aligned}$$

Proof: Let $T = 1 - z + \theta$ and consider

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$$\xi = \frac{1}{2}(\theta_+ + \theta_-) \quad \text{and} \quad \eta = \frac{1}{2}(\theta_+ - \theta_-)$$

these become

$$\mathbf{u} \cdot \nabla \eta = \Delta \xi + w$$

$$\mathbf{u} \cdot \nabla \xi = \Delta \eta$$

A non-local Dirichlet principle for heat transport

Equivalently,

$$\mathbf{u} \cdot \nabla \Delta^{-1} \mathbf{u} \cdot \nabla \eta = \Delta \eta + \mathbf{u} \cdot \nabla \Delta^{-1} w$$

$$\mathbf{u} \cdot \nabla \Delta^{-1} \mathbf{u} \cdot \nabla \xi = \Delta \xi + w$$

and these are symmetric!

They express optimality for the dual variational principles

$$\min_{\eta: \eta|_{\partial\Omega}=0} \int |\nabla \eta|^2 + |\nabla \Delta^{-1}(-w + \mathbf{u} \cdot \nabla \eta)|^2$$

$$\max_{\xi: \xi|_{\partial\Omega}=0} \int 2w\xi - |\nabla \Delta^{-1} \mathbf{u} \cdot \nabla \xi|^2 - |\nabla \xi|^2$$

After i.b.p., one finds that the optimal values = $Nu(\mathbf{u}) - 1$. □

Turbulent heat transport

Rayleigh-Bénard Convection

Rayleigh-Bénard Convection

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\frac{1}{Pr}(\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u}) = -\nabla p + \Delta \mathbf{u} + \hat{\mathbf{k}} Ra T$$

$$\text{div } \mathbf{u} = 0$$

$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$Nu = 1 + \langle Tw \rangle$$

$$T = 1$$

Question: What is the dependence of

$$Nu = Nu(Pr, Ra)$$

in the turbulent regime, $Ra \gg 1$?

Scaling laws vs. bounds

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\frac{1}{Pr} (\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u}) = -\nabla p + \Delta \mathbf{u} + \hat{\mathbf{k}} Ra T$$

$$\text{div } \mathbf{u} = 0$$

$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$Nu = 1 + \langle Tw \rangle$$

$$T = 1$$

Scaling law predictions:

$$\text{Malkus, '54} \quad Nu \sim Ra^{1/3}$$

$$\text{Kraichnan '62, Spiegel '71} \quad Nu \sim Pr^{1/2} Ra^{1/2} \quad \text{“ultimate scaling”}$$

Rigorous bounds:

$$\text{Howard, '63} \quad Nu \lesssim Ra^{1/2} \quad \text{with stat. hypotheses}$$

$$\text{Doering \& Constantin, '96} \quad Nu \lesssim Ra^{1/2} \quad \text{fully rigorous, 3D}$$

$$\text{Whitehead \& Doering, '11} \quad Nu \lesssim Ra^{5/12} \quad \text{2D + stress-free b.c.}$$

A new bounding method

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\frac{1}{Pr} (\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u}) = -\nabla p + \Delta \mathbf{u} + \hat{\mathbf{k}} Ra T$$

$$\operatorname{div} \mathbf{u} = 0$$

$$\mathbf{u} = \hat{\mathbf{i}}u + \hat{\mathbf{j}}v + \hat{\mathbf{k}}w$$

$$Nu = 1 + \langle Tw \rangle$$

$$T = 1$$

Wall-to-wall transport gives new bounds on RBC:¹

The momentum eqn. implies

$$\langle |\nabla \mathbf{u}|^2 \rangle = Ra \cdot \langle Tw \rangle = Ra \cdot (Nu - 1)$$

A new bounding method

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\operatorname{div} \mathbf{u} = 0$$

$$T = 1$$

$$F(Pe) = \max_{\substack{\mathbf{u}(\mathbf{x}, t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u})$$

Choosing Pe by

$$Pe^2 = Ra \cdot (Nu - 1),$$

one concludes for RBC

$$Nu \leq F(Ra \cdot (Nu - 1))$$

E.g.,

$$Nu \lesssim \frac{Pe^{2/3}}{\log^\alpha Pe} \text{ for wall-to-wall} \implies Nu \lesssim \frac{Ra^{1/2}}{\log^{3\alpha/2} Ra} \text{ for RBC}$$

A new bounding method

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\operatorname{div} \mathbf{u} = 0$$

$$T = 1$$

$$F(Pe) = \max_{\substack{\mathbf{u}(\mathbf{x}, t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u})$$

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A new bounding method

$$\begin{array}{c} T = 0 \\ \hline \partial_t T + \mathbf{u} \cdot \nabla T = \Delta T \\ \operatorname{div} \mathbf{u} = 0 \\ \hline T = 1 \end{array}$$

$$F(Pe) = \max_{\substack{\mathbf{u}(\mathbf{x}, t) \\ \langle |\nabla \mathbf{u}|^2 \rangle^{1/2} = Pe \\ \mathbf{u}|_{\partial\Omega} = \mathbf{0}}} Nu(\mathbf{u})$$

Our result

$$F(Pe) \gtrsim \frac{Pe^{2/3}}{\log^{4/3} Pe}$$

limits improvements to

$$Nu \lesssim Ra^{1/2}$$

by this method to *logarithmic corrections*

Regarding 2D RBC

$$T = 0$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = \Delta T$$

$$\frac{1}{Pr} (\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u}) = -\nabla p + \Delta \mathbf{u} + \hat{\mathbf{k}} Ra T$$

$$\operatorname{div} \mathbf{u} = 0$$

$$\mathbf{u} = \hat{\mathbf{i}} u + \hat{\mathbf{j}} v + \hat{\mathbf{k}} w$$

$$Nu = 1 + \langle Tw \rangle$$

$$T = 1$$

The Whitehead-Doering bound states

$$Nu \lesssim Ra^{5/12} \ll Ra^{1/2} \quad \text{in 2D w/ stress-free b.c.}$$

Our result is that

$$\max Nu \sim Ra^{1/2} \quad \text{up to logs}$$

Thus: 2D RBC achieves *strongly* sub-optimal heat transport