

The wrinkling of a twisted ribbon

Ethan O'Brien

Carnegie Mellon University

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Outline

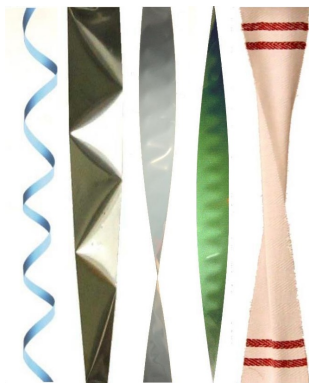
1 Introduction

- The physical system
- Our approach to the problem
- The mathematical model

2 Upper and lower bounds

- Energy scaling law
- The lower bound
- The ansatz

A family of solutions



1 **Left:** experiments; low tension to high tension.

2 **In this paper** we treat small wrinkles (**third** and **fourth** from left).

3 **Right:** experiments; self-intersection



Figure: Chopin and Kudrolli, PRL 2013 and Chopin et al, J. Elasticity 2015

A phase transition diagram

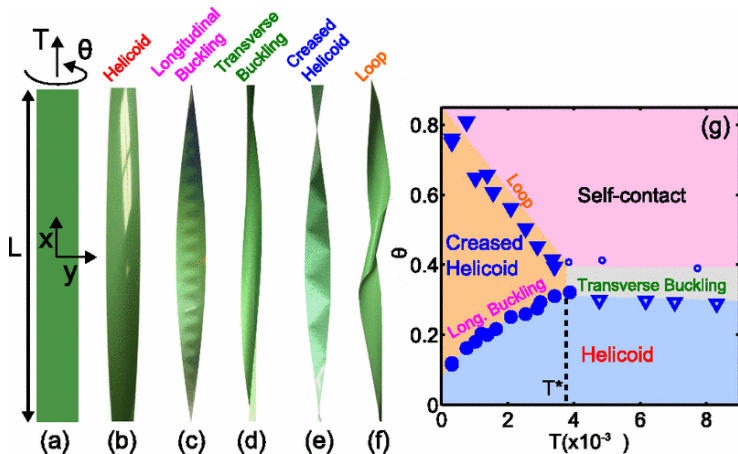


Figure: Chopin and Kudrolli, PRL 2013

Context: the twisted ribbon

- Generally, **tension along wrinkles** sets the direction of the wrinkling.
- Without tension along the wrinkles systems tend to look more ordered (and this helps mathematically).

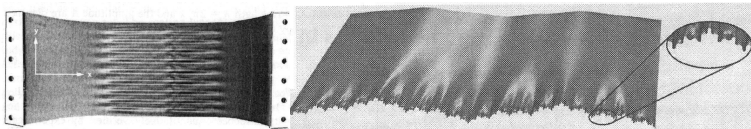


Figure: **Left:** Cerda, Mahadevan [PRL 2003]. **Right:** Audoly, Boudaoud [PRL 2003].

- The twisted ribbon has **no tension** along the wrinkles, **but it is still predictable**.

Intuition

Why the ribbon wrinkles:

- Twisting makes the outside edges get longer.
- If you allow the ribbon to compress, but only a little, then the outside is under tension and the inside under compression.
- A one- or two-dimensional object can wrinkle to avoid compression.



Energy minima and scaling laws

We will have an **elastic energy functional** $E^{(h)}$ representing the state of the ribbon. Of particular importance is the **thickness** h , which is assumed small.

Goal: prove a **scaling law** $E_0 + Ch^{4/3} \leq \min E^{(h)} \leq E_0 + Ch^{4/3}$.

The minimum E_0 : solve the **relaxed problem**: set $h = 0$, take the quasiconvexification and minimize. Often there is no closed-form solution, but we have one.

While proving a scaling law we find bounds on the **size** of the wrinkles.

The form of the energy

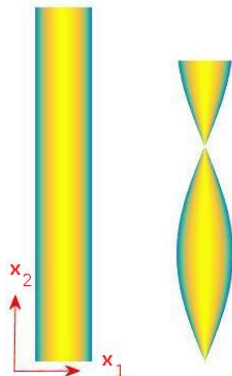
The form of the energy

$$E^{(h)} = \int_{\Omega} |\mathbf{M}|^2 + h^2 |\mathbf{B}|^2 dx$$

- The **membrane** term \mathbf{M} measures the amount of stretching. Specifically, $\langle \mathbf{a}, \mathbf{M}(\mathbf{x}) \mathbf{a} \rangle$ is the amount of stretching in a direction \mathbf{a} at a point \mathbf{x} .
- \mathbf{B} measures the amount of **bending**.

Variables for a twisted ribbon

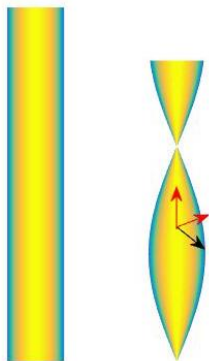
- The **domain** $\Omega = (-1/2, 1/2) \times (0, l)$ is a rectangle. Points are parameterized by $(x_1, x_2) \in \Omega$.
- The **tangential displacement** $\mathbf{u} : \Omega \rightarrow \mathbb{R}^2$ and **normal displacement** $v : \Omega \rightarrow \mathbb{R}$.
- **Twist** per unit length ω .
- **Displacement of the top:** $-\frac{1}{2}\omega^2\xi^2$.
Assume: $\xi < 1/2$.
- **Wrinkled zone:** for $|x_1| < \xi$, the ribbon is compressed in its reference state.



This energy is from Chopin et al, J. Elasticity 2015.

Variables for a twisted ribbon

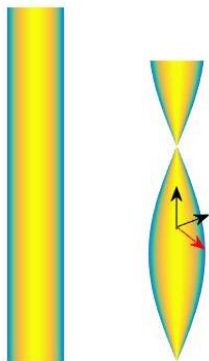
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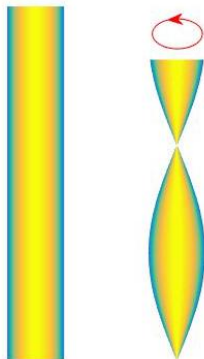
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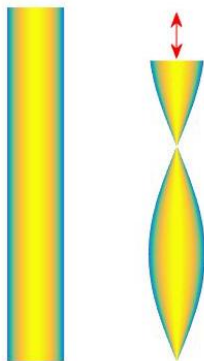
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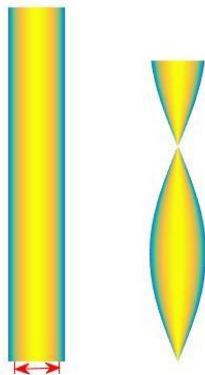
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Nonlinear energy of an elastic sheet

Let $\mathbf{y}^{(h)} : \Omega_h \rightarrow \mathbb{R}^3$ be the **position** of the sheet. We start with the energy

$$E_{NL}^{(h)}(\mathbf{y}^{(h)}) = \int_{\Omega_h} \left| \sqrt{(\nabla \mathbf{y}^{(h)})^T (\nabla \mathbf{y}^{(h)})} - \text{Id} \right|^2 + h^2 \left| A_{\mathbf{y}^{(h)}} \right|^2 dx.$$

- Interpret this as **membrane** plus **bending** energy $\int |\mathbf{M}|^2 + |\mathbf{B}|^2 dx$, but
- the resemblance to a Landau theory (**lower order non-convex** energy regularized by **small, higher-order term**) is not so clear.

We follow [Chopin et al, J Elas 2015] and linearize around a helicoid.

Small-slope energy of a twisted ribbon

We want to find out how the minimum of the energy scales with h :

$$E^{(h)}(\mathbf{u}, v) = \int_{\Omega} |\mathbf{M}(\mathbf{u}, v)|^2 + h^2 |\mathbf{B}(\mathbf{u}, v)|^2$$

$$\mathbf{M}(\mathbf{u}, v) = \mathbf{e}(\mathbf{u}) + \frac{1}{2} \begin{pmatrix} \partial_1 v \\ \partial_2 v + \omega x_1 \end{pmatrix} \otimes \begin{pmatrix} \partial_1 v \\ \partial_2 v + \omega x_1 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 0 & \omega v \\ \omega v & \omega^2 \xi^2 \end{pmatrix}$$

$$\mathbf{B}(\mathbf{u}, v) = \nabla \nabla v + \begin{pmatrix} 0 & \omega \\ \omega & 0 \end{pmatrix}$$

with boundary data:

$$\mathbf{u}(x_1, 0) = \mathbf{u}(x_1, l) = 0$$

$$v(x_1, 0) = v(x_1, l) = 0$$

Heuristics

Vertical stretching:

$$\begin{aligned}
 m_{22} &= \partial_2 u_2 + \frac{1}{2}(\partial_2 v + \omega x_1)^2 - \frac{1}{2}\omega^2 \xi^2 \\
 &= \partial_2 u_2 + \omega x_1 \partial_2 v + \frac{1}{2} \left((\partial_2 v)^2 - \omega^2 (\xi^2 - x_1^2) \right)
 \end{aligned}$$

Red: Mean-0 in x_2 . **Blue:** Positive. **Green:** Sign depends on x_1 .

- Vertical lines are stretched if $|x_1| > \xi$ and (in the reference state) compressed if $|x_1| < \xi$.
- Wasting arc length: choose $(\partial_2 v)^2$ to cancel out (on average) $\omega^2 (\xi^2 - x_1^2)$ in $|x_1| < \xi$. Choose $\partial_2 u_2$ to cancel out oscillations around average.

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The main result

Theorem (Kohn, O.)

There exists constants E_0 , C , C' such that

$$E_0 + Ch^{4/3} \leq \min_{\mathbf{u}, v} E^{(h)}(\mathbf{u}, v) \leq E_0 + C'h^{4/3}.$$

The minimum is over $\mathbf{u} \in W^{1,2}(\Omega, \mathbb{R}^2)$, $v \in W^{2,2}(\Omega, \mathbb{R}^2)$ vanishing on $x_2 = 0$ and l .

Two parts of the proof:

- The **lower bound** requires an argument for any \mathbf{u} and v .
- The **upper bound** is an ansatz (a choice of \mathbf{u} and v).

The leading-order energy E_0

Main point: the zones under vertical tension always contribute energy E_0 , and making \mathbf{u} , \mathbf{v} nonzero can only increase the energy.

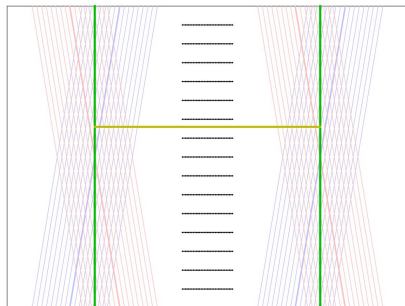
$$\begin{aligned}
 E^{(h)}(\mathbf{u}, \mathbf{v}) &= \int_{-1/2}^{1/2} \int_0^l |\mathbf{M}|^2 + h^2 |\mathbf{B}|^2 dx_2 dx_1 \\
 &\geq \int_{-1/2}^{1/2} \left(\int_0^l m_{22} dx_2 \right)_+^2 dx_1 \\
 &\geq \frac{1}{2} \int_{\xi}^{1/2} \omega^4 (x_1^2 - \xi^2)^2 dx_1 = E_0
 \end{aligned}$$

Remark: We are minimizing the relaxed problem.

An outline of the lower bound

We assume that $E^{(h)}(\mathbf{u}, \mathbf{v}) < E_0 + \varepsilon$ and find a **contradiction** if ε is too small. This proof has two main steps:

- 1 The outer edges contain **rigid lines**: displacements are small.
- 2 **Horizontal lines** are stretched if the wrinkles have large amplitude, but bending resistance keeps the amplitude from being too small.



Sources: Strauss, Proc. Sympos. Pure Math. 1973; Bella and Kohn, Comm. Pure Applied Math 2014.

Proof of the lower bound (1.1)

$$E^{(h)} - E_0 = \int_{\Omega} \left| \mathbf{M}^{(\text{ex})} \right|^2 + h^2 |\mathbf{B}|^2 + \frac{1}{2} \omega^2 (x_1^2 - \xi^2)_+ (\partial_2 v)^2 < \varepsilon$$

where $\mathbf{M}^{(\text{ex})}$ is the excess strain:

$$\mathbf{M}^{(\text{ex})} = \mathbf{M} - \frac{1}{2} \omega^2 (x_1^2 - \xi^2)_+ \mathbf{e}^{(2)} \otimes \mathbf{e}^{(2)}$$

- 1 Tension in the vertical direction: for any $R > \xi$,
 $\|\partial_2 v\|_{L^2(|x_1| > R)} \lesssim \varepsilon^{1/2}$.
- 2 Small displacement: $\|v\|_{L^2(|x_1| > R) L^\infty(x_2)} \lesssim \varepsilon^{1/2}$.
- 3 There exist $\xi'_{\text{left}} < R$ and $\xi'_{\text{right}} > R$ such that
 $\|v(\xi', x_2)\|_{L^\infty(x_2 \in [0, l])} \lesssim \varepsilon^{1/2}$

Next: Control ∇v in the outer zones.

Proof of the lower bound (1.2)

$$\mathbf{M}^{(\text{ex})} = \mathbf{e}(\mathbf{u}) + \frac{1}{2} \nabla \mathbf{v} \otimes \nabla \mathbf{v} + \omega \operatorname{sym} \left(\nabla(x_1 \mathbf{v}) \otimes \mathbf{e}^{(2)} \right) + \dots$$

$$E^{(h)} - E_0 = \int_{\Omega} \left| \mathbf{M}^{(\text{ex})} \right|^2 + h^2 |\mathbf{B}|^2 + \frac{1}{2} \omega^2 (x_1^2 - \xi^2)_+ (\partial_2 \mathbf{v})^2 < \varepsilon$$

- **An observation:** Tension in direction \mathbf{a} (unit vector) gives control on $\langle \mathbf{a}, \mathbf{M}^{(\text{ex})} \mathbf{a} \rangle$, which gives control on $\langle \mathbf{a}, \mathbf{e}(\mathbf{u}) \mathbf{a} \rangle$.
- **A Problem:** We have vertical, but not horizontal, tension ($\mathbf{a} = \mathbf{e}^{(2)}$). We have control on $u_2(\xi', x_2)$, but not $u_1(\xi', x_2)$.
- **The resolution:** Use tension in two diagonal directions \mathbf{a}^{\pm} .

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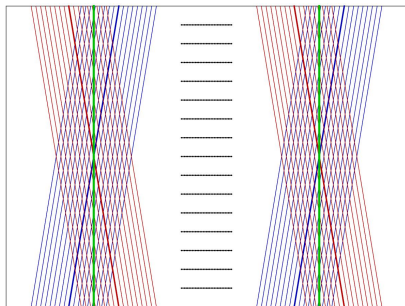
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Proof of the lower bound (1.3)

Take vectors \mathbf{a}^\pm , sets Ω^\pm as shown. $\Omega^0 = \Omega^+ \cap \Omega^-$.

Goal: Show that \mathbf{u} is small on the green lines.



- **Blue:** Lines parallel to \mathbf{a}^+ shading region Ω^+ .
- **Red:** Lines parallel to \mathbf{a}^- shading region Ω^- .
- **Green:** Lines $x_1 = \xi'$.

Proof of the lower bound (1.4)

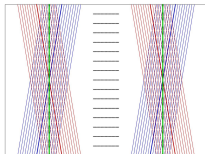
1 Integrate along diagonal lines:

$$\begin{aligned} \varepsilon &\gtrsim \int_{\Omega^\pm} |\mathbf{M}^{(\text{ex})}|^2 dx \gtrsim \int_{\Omega^\pm} \langle \mathbf{a}^\pm, \mathbf{M}^{(\text{ex})} \mathbf{a}^\pm \rangle^2 dx \\ &\gtrsim \left(\int_{\Omega^\pm} \left\langle \mathbf{a}^\pm, \left[\frac{1}{2} \nabla v \otimes \nabla v - \begin{pmatrix} 0 & \omega v \\ \omega v & 0 \end{pmatrix} \right] \mathbf{a}^\pm \right\rangle dx \right)^2 \end{aligned}$$

2 Conclude that $\|\nabla v\|_{L^2(\Omega^0)} \lesssim \varepsilon^{1/4}$.

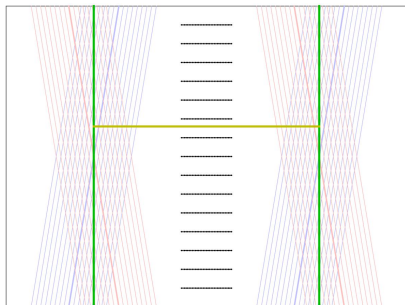
3 Triangle Inequality: $\|e(\mathbf{u})\|_{L^1(\Omega^0)} \lesssim \varepsilon^{1/2}$.

4 Another diagonal line argument: $\left| \int_0^l u_1(\xi', x_2) dx_2 \right| \lesssim \varepsilon^{1/2}$.



Lower bound part 2: picture

Goal: Show that v is small along the gold line (the wrinkles have small amplitude).



- **Green:** Lines $x_1 = \xi'$. Displacements are small.
- **Gold:** Line across wrinkles. Cannot be stretched much.

Proof of the lower bound (2.1)

We control the horizontal stretching across the wrinkles to show that v cannot be too large. First: Jensen's Inequality on the $(1, 1)$ membrane term. Let $\Omega' = \{|x_1| < \xi'\}$.

$$\begin{aligned}\varepsilon &\geq \int_{\Omega} \frac{1}{2} (m_{11}^{(\text{ex})})^2 \geq \frac{1}{2} \left(\int_{\Omega'} \partial_1 u_1 + \frac{1}{2} \partial_1 v^2 \right)^2 \\ &\gtrsim \left(\int_{\Omega'} \partial_1 v^2 \right)^2 - \left| \int_{\Omega'} \partial_1 u_1 \right|^2\end{aligned}$$

so $\|\partial_1 v\|_{L^2(\Omega')} \lesssim \varepsilon^{1/4}$, and therefore $\|v\|_{L^2(\Omega')} \lesssim \varepsilon^{1/4}$.

Proof of the lower bound (2.2)

The membrane term prefers that v be small. The bending term prefers to have $\partial_{22}v$ small:

$$h^2 \int_{\Omega} (\partial_{22}v)^2 \leq \varepsilon$$

By interpolation, the slopes must be small:

$$\|\partial_2 v\|_{L^2(\Omega')} \leq \|v\|_{L^2(\Omega')}^{1/2} \|\partial_{22}v\|_{L^2(\Omega')}^{1/2} \lesssim \left(\varepsilon^{3/4} h^{-1}\right)^{1/2}$$

Proof of the lower bound (2.3)

$$m_{22} = \partial_2 u_2 + \omega x_1 \partial_2 v + \frac{1}{2} \left((\partial_2 v)^2 - \omega^2 (\xi^2 - x_1^2) \right)$$

We now have a contradiction: the wrinkles must waste an $O(1)$ amount of arclength.

$$\begin{aligned} \varepsilon^{1/2} &\gtrsim \int_{\Omega'} |\partial_2 v^2 - \omega^2 (\xi^2 - x_1^2)_+| \\ &\geq \int_{\Omega'} |\omega^2 (\xi^2 - x_1^2)_+| - \int_{\Omega'} |\partial_2 v^2| \end{aligned}$$

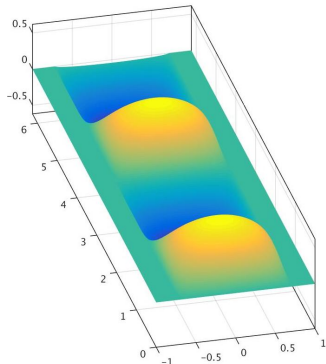
This gives a contradiction if $\varepsilon < Ch^{4/3}$ for some C .

The main point: this proves a lower bound for the energy. Along the way we showed inequalities about any low energy state.

Ansatz sketch: first attempt

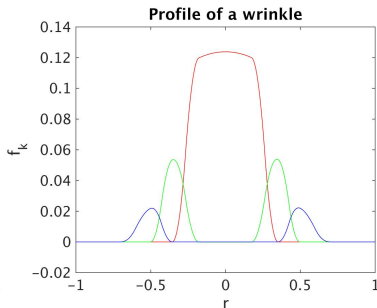
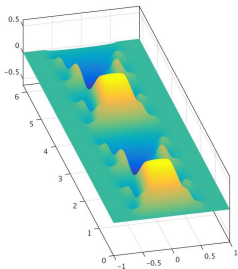
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- The basic idea:** Wrinkling can waste arclength to avoid compression. The lower bound suggests the wavelength.
- A natural first attempt:**
 $v(x_1, x_2) = \lambda f(x_1) \sin\left(\frac{x_2}{\lambda}\right)$ where λ is the wavelength and $f(x_1)$ controls the amplitude.
- Choosing u :** pick u to cancel out the two highest-order membrane terms m_{11} and m_{12} .
- The problem:** The optimal $f(x_1) = \omega \sqrt{2(\xi^2 - x_1^2)_+}$ is not $W^{2,2}$, which gives infinite energy.



Ansatz sketch: refinement

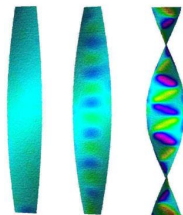
- **Idea:** We have two parameters to play with: the wavelength and the amplitude. Varying both with x_1 allows us to make f less singular.
- **The old ansatz (reminder):** $v(x_1, x_2) = \lambda f(x_1) \sin\left(\frac{x_2}{\lambda}\right)$
- **Idea:** $v(x_1, x_2) = \lambda(x_1) f(x_1) \sin\left(\frac{x_2}{\lambda(x_1)}\right)$
- **The new ansatz:** $v(x_1, x_2) = \sum_{k=0}^N \lambda_k f_k(x_1) \sin\left(\frac{x_2}{\lambda_k}\right)$



Do we expect refinement?

There is **no physical evidence** for refinement of wrinkles. However, they do change shape.

- This is a **small- h** theory. In regimes studied, $h \approx 0.05$. The difference between $O(h)$ energy (no refinement) and $O(h^{4/3})$ energy (refinement) is not too large. Prefactors might be more significant.
- The ansatz should not be taken too seriously. We needed to change the frequency from place to place. We took only two non-zero frequencies at each x_1 for convenience.

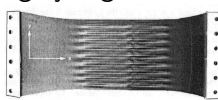


Source: Chopin
Kudrolli PRL 2013.

Other morphologies for the twisted ribbon



- 1 The **creased ribbon** resembles **crumpling due to confinement**. The triangular facets are highly regular.



- 2 The **stretched ribbon** resembles the Cerda-Mahadevan experiment [PRL 2003].



Some open questions about twisted ribbons



- **Ground state:** are wrinkles horizontal with refinement (as in ansatz), diagonal (suggested by experiments) or something else?
- **Creases** are probably found if tension is low ($\frac{1}{2} - \xi \ll 1$). Similar results with two small parameters (thickness and tension)? Phase transitions?
- **Nonlinear version:** solving the relaxed problem (finding E_0 and identifying the wrinkled zone) seems hard.

Summary (twisted ribbons)

- We proved a lower bound for our energy and found a matching ansatz.
- The energy scales as $E_0 + Ch^{4/3}$, which indicates that some zone is stretched (E_0) and that there is microstructure ($h^{4/3}$).
- The lower bound does not identify the shape of the wrinkles, or tell us if there are multiple length scales.
- In proving the lower bound, we showed that low energy states are rigid near the edges and wrinkle in the center.
- The ansatz uses a cascade of wrinkles.

Thanks for your attention!