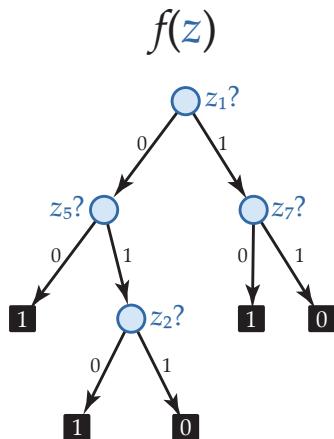




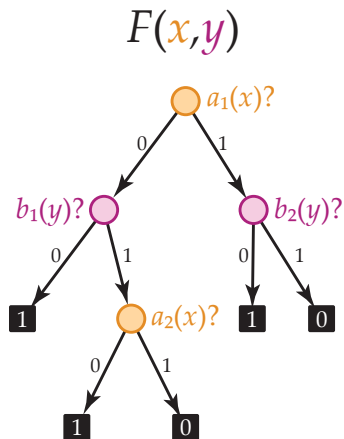
Query-to-Communication Lifting

Mika Göös
Harvard & Simons Institute

Query vs. Communication

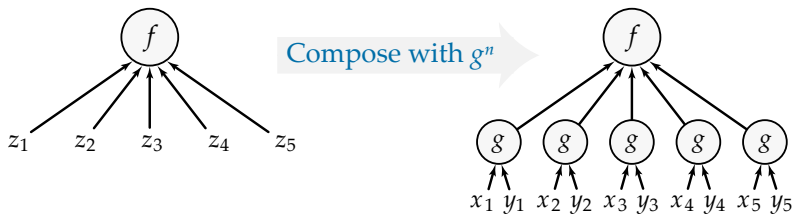


Decision trees



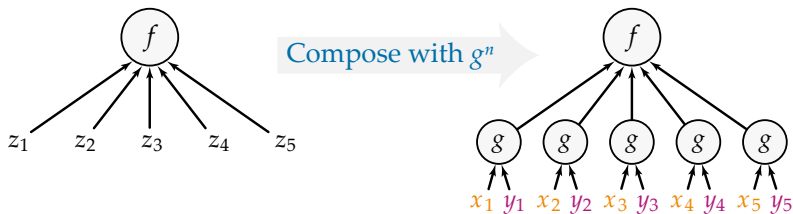
Communication protocols

Composed functions $f \circ g^n$



- Examples:**
- Set-disjointness: $\text{OR} \circ \text{AND}^n$
 - Inner-product: $\text{XOR} \circ \text{AND}^n$
 - Equality: $\text{AND} \circ \neg \text{XOR}^n$

Composed functions $f \circ g^n$

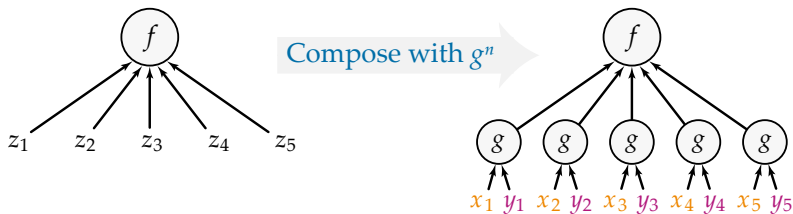


In general: $g: \{0,1\}^m \times \{0,1\}^m \rightarrow \{0,1\}$ is a small gadget

■ **Alice** holds $x \in (\{0,1\}^m)^n$

■ **Bob** holds $y \in (\{0,1\}^m)^n$

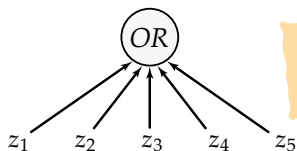
Composed functions $f \circ g^n$



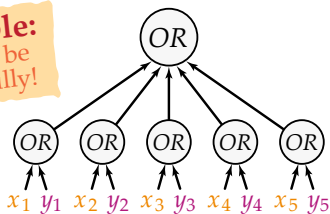
Lifting Theorem Template

$$M^{\text{cc}}(f \circ g^n) \approx M^{\text{dt}}(f)$$

Composed functions $f \circ g^n$



Bad example:
Gadget must be
chosen carefully!



Lifting Theorem Template

$$M^{\text{cc}}(f \circ g^n) \approx M^{\text{dt}}(f)$$

Composed functions $f \circ g^n$

M	Query	Communication	
P	deterministic	deterministic	[RM99, GPW15, dRNV16, HHL16, WYY17, CKLM17]
NP	nondeterministic	nondeterministic	[GLM ⁺ 15, G15]
<i>many</i>	poly degree	rank	[SZ09, She11, RS10, RPRC16]
<i>many</i>	conical junta deg.	nonnegative rank	[GLM ⁺ 15, KMR17]
	Sherali–Adams	LP complexity	[CLRS16, KMR17]
	sum-of-squares	SDP complexity	[LRS15]
BPP	randomised	randomised	<i>new</i> , [AGJKM17]
P ^{NP}	decision list	rectangle overlay	<i>new</i>

Lifting Theorem Template

$$M^{\text{cc}}(f \circ g^n) \approx M^{\text{dt}}(f)$$

Lifting for BPP

with Toniann Pitassi & Thomas Watson

Lifting theorem for BPP

Index gadget $g: [m] \times \{0,1\}^m \rightarrow \{0,1\}$

$$g(x, y) = y_x$$

$\text{BPP}^{\text{dt}}(f)$ = randomised query complexity of f

$\text{BPP}^{\text{cc}}(F)$ = randomised communication complexity of F

Our result

also [AGJKM17]

For $m = n^{100}$ and every function $f: \{0,1\}^n \rightarrow \{0,1\}$,

$$\text{BPP}^{\text{cc}}(f \circ g^n) = \text{BPP}^{\text{dt}}(f) \cdot \Theta(\log n)$$

New applications

$$\text{BPP}^{\text{dt}}(f) \gg \text{M}^{\text{dt}}(f)$$



$$\text{BPP}^{\text{cc}}(f \circ g^n) \gg \text{M}^{\text{cc}}(f \circ g^n)$$

~~New applications~~

$$\text{BPP}^{\text{dt}}(f) \gg \text{M}^{\text{dt}}(f)$$



$$\text{BPP}^{\text{cc}}(f \circ g^n) \gg \text{M}^{\text{cc}}(f \circ g^n)$$

~~New applications~~

Classical vs. Quantum

- 2.5-th power total function gap [ABK16,ABB⁺16]
- *Conjecture*: 2.5 improves to 3 [AA15]
- exponential partial function gap [Raz99,KR11]

BPP vs. Partition numbers

- 1-sided (= Clique vs. Independent Set) [GJPW15]
- 2-sided [AKK16,ABB⁺16]

Approximate Nash equilibria [BR17]

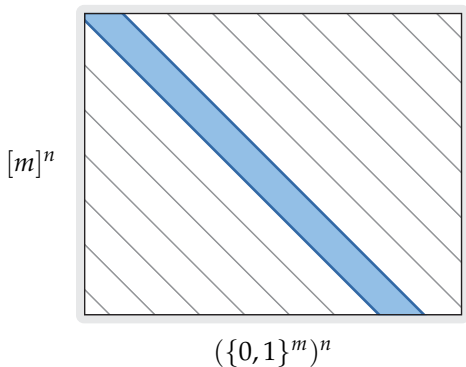
$$\text{BPP}^{\text{cc}}(f \circ g^n) \geq \text{BPP}^{\text{dt}}(f) \cdot \Omega(\log n)$$

...how to begin?

What we actually prove

Input domain partitioned into **slices**

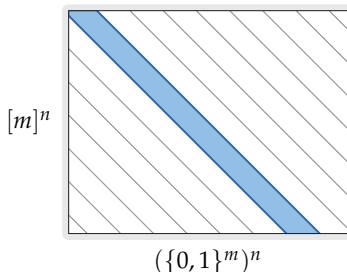
$$[m]^n \times (\{0,1\}^m)^n = \bigcup_{z \in \{0,1\}^n} (g^n)^{-1}(z)$$



What we actually prove

Simulation

- \forall deterministic protocol Π
 \exists randomised decision tree of height $|\Pi|$ outputting a random transcript of Π such that $\boxed{1} \approx \boxed{2}$
- $\boxed{1}$ output of randomised decision tree on input z
 - $\boxed{2}$ transcript generated by Π on input $(x, y) \sim (g^n)^{-1}(z)$



What we actually prove

Simulation

\forall deterministic protocol Π

\exists randomised decision tree of height $|\Pi|$ outputting a random transcript of Π such that **1** \approx **2**

1 output of randomised decision tree on input z

2 transcript generated by Π on input $(\mathbf{x}, \mathbf{y}) \sim (g^n)^{-1}(z)$

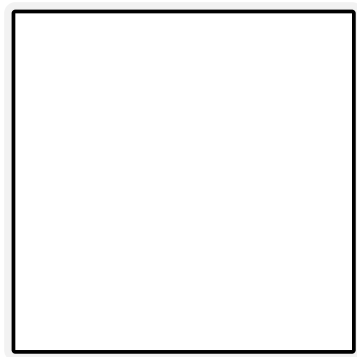
- Main theorem:**
1. pick $\Pi \sim \Pi$
 2. simulate Π via query access to z
 3. output value of leaf

$$\mathbb{E}_{(\mathbf{x}, \mathbf{y}) \sim (g^n)^{-1}(z)} \overbrace{\Pr_{\Pi}[\Pi(\mathbf{x}, \mathbf{y}) \text{ correct}]}^{> 2/3} = \mathbb{E}_{\Pi \sim \Pi} \Pr_{(\mathbf{x}, \mathbf{y}) \sim (g^n)^{-1}(z)}[\Pi(\mathbf{x}, \mathbf{y}) \text{ correct}]$$

Goal in pictures

Goal: **1** \approx **2**

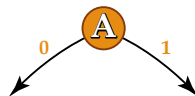
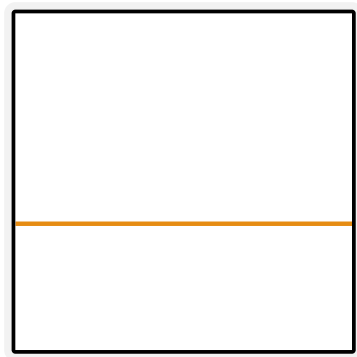
- 1** output of randomised decision tree on input z
- 2** transcript generated by Π on input $(x, y) \sim (g^n)^{-1}(z)$



Goal in pictures

Goal: 1 \approx 2

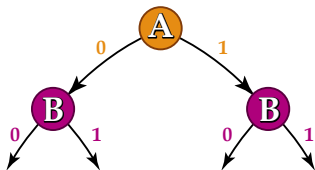
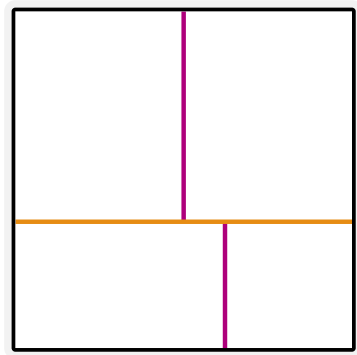
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Goal in pictures

Goal: 1 \approx 2

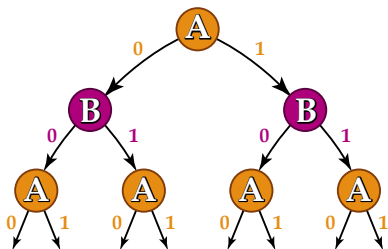
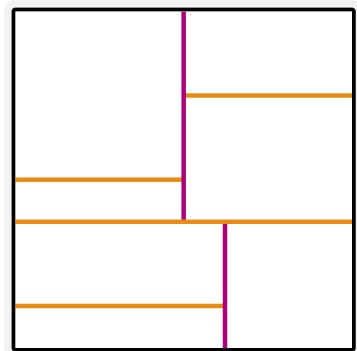
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Goal in pictures

Goal: 1 \approx 2

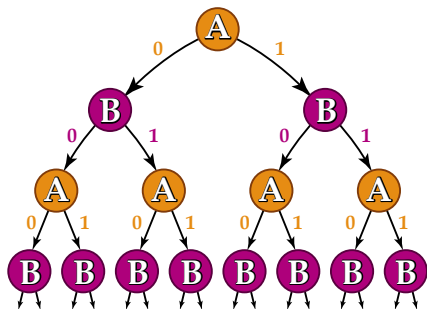
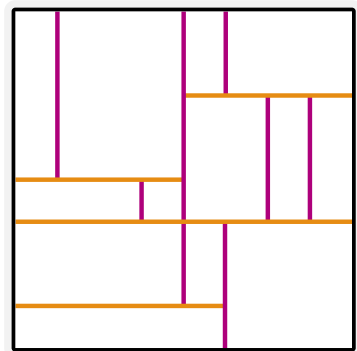
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Goal in pictures

Goal: **1** \approx **2**

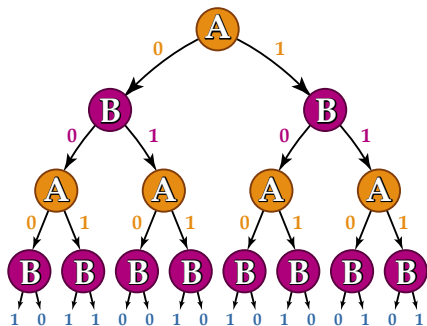
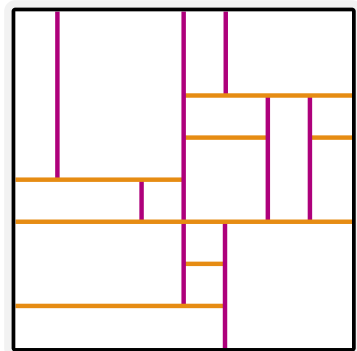
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Goal in pictures

Goal: 1 \approx 2

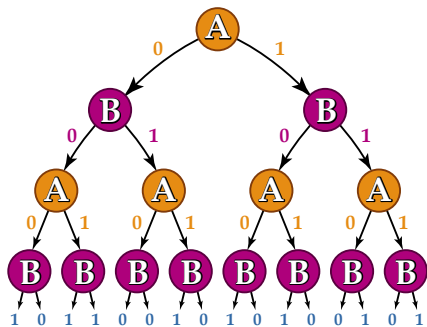
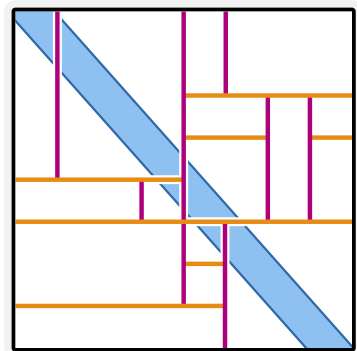
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Goal in pictures

Goal: **1** \approx **2**

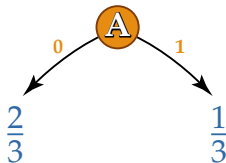
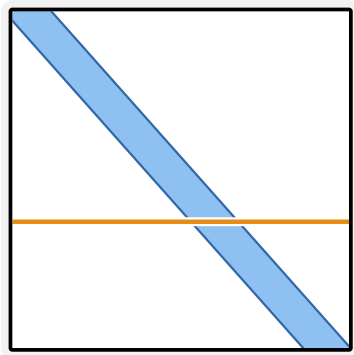
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Goal in pictures

Goal: 1 \approx 2

- 1 output of randomised decision tree on input z
- 2 transcript generated by Π on input $(x, y) \sim (g^n)^{-1}(z)$



Idea:

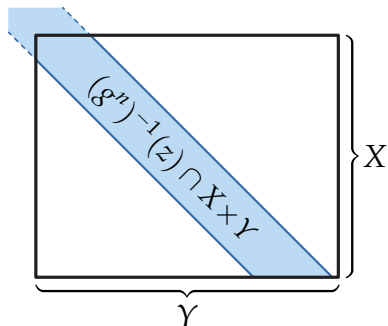
Pretend marginals are uniform!

Pseudorandomness

Uniform Marginals Lemma:

Suppose $X \subseteq [m]^n$ is **dense**
 $Y \subseteq (\{0,1\}^m)^n$ is “large”

Then $\forall z \in \{0,1\}^n$ the uniform
distribution on $(g^n)^{-1}(z) \cap X \times Y$
has both marginal distributions
close to uniform on X and Y

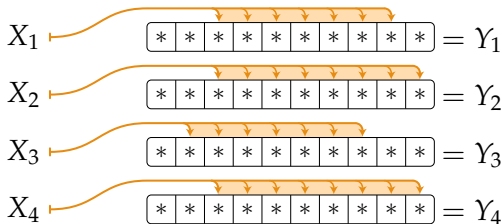


Dense: $H_\infty(\mathbf{X}_I) \geq 0.9 \cdot |I| \log m$ for all $I \subseteq [n]$
[GLMWZ15]

Simulation

When **density** is lost, restore it!

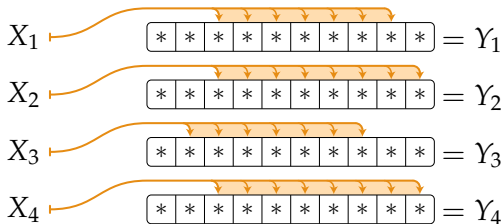
- 1 Compute partition $X = \cup_i X^i$ where each X^i [GLMWZ15] is fixed on some $I \subseteq [n]$ and **dense** on \bar{I}
- 2 Update $X \leftarrow X^i$ with probability $|X^i|/|X|$
- 3 Query $z_I \in \{0,1\}^I$
- 4 Restrict Y so that $g^I(X_I, Y_I) = z_I$
- 5 Update $Y \leftarrow Y_{\bar{I}}$ and $X \leftarrow X_{\bar{I}}$ (which is **dense**)



Simulation

When **density** is lost, restore it!

- 1 Compute partition $X = \cup_i X^i$ where each X^i is fixed on some $I \subseteq [n]$ and **dense** on \bar{I} [GLMWZ15]
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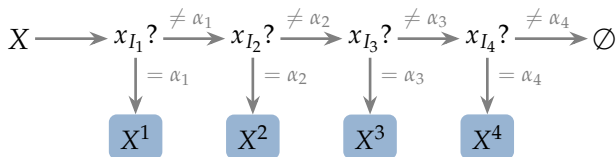


Density-restoring partition

[GLMWZ15]

While X is nonempty:

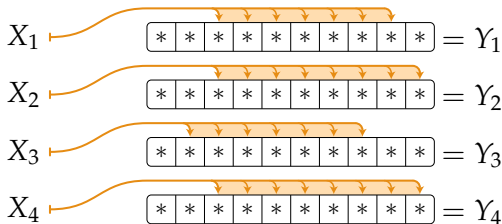
- 1 Let $I \subseteq [n]$ be **maximal** such that for some α
 $\Pr[\mathbf{X}_I = \alpha] > 2^{-0.9|I| \log m}$
- 2 Output part $X' = \{x \in X : x_I = \alpha\}$
- 3 Update $X \leftarrow X \setminus X'$



Simulation

When **density** is lost, restore it!

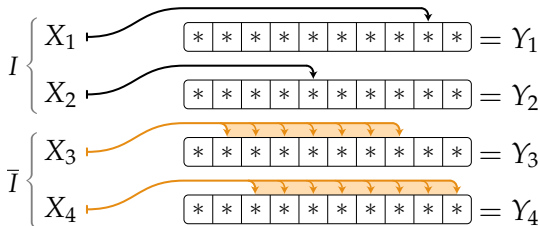
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Simulation

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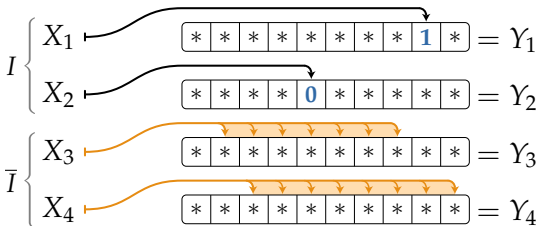
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Simulation

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Simulation

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Correctness

- 1 #queries $\leq |\Pi|$ (whp)
- 2 Resulting transcript is close to that generated by random input from $(g^n)^{-1}(z)$

Application (via P^{NP} lifting)

with Prithish Kamath, Toniann Pitassi & Thomas Watson

Monochromatic rectangles

$$\text{mon}(F) := \min_{R \text{ mono}} \log \frac{1}{\mu(R)}$$

1	0	0	1	1	1
0	1	0	1	1	1
0	0	1	1	1	1
0	1	1	0	0	0
0	0	1	1	1	1
1	0	1	1	0	1

Monochromatic rectangles

$$\text{mon}(F) := \max_{\mu \text{ product}} \min_{R \text{ mono}} \log \frac{1}{\mu(R)}$$

1	0	0	1	1	1
0	1	0	1	1	1
0	0	1	1	1	1
0	1	1	0	0	0
0	0	1	1	1	1
1	0	1	1	0	1

Monochromatic rectangles

$$\text{mon}(F) := \max_{\mu \text{ product}} \min_{R \text{ mono}} \log \frac{1}{\mu(R)}$$

Basic questions

- Log-rank conjecture? $\iff \forall F: \text{mon}(F) \leq \log^{O(1)} \text{rk}(F)$
- Protocols from mon? $\iff \forall F: \text{PSPACE}^{\text{cc}}(F) \leq \text{mon}(F)^{O(1)}$

Monochromatic rectangles

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Basic questions

- Log-rank conjecture? $\iff \forall F: \text{mon}(F) \leq \log^{O(1)} \text{rk}(F)$
- Protocols from mon? $\iff \forall F: \text{PSPACE}^{\text{cc}}(F) \leq \text{mon}(F)^{O(1)}$

Known

- $\forall F: \text{non-product-mon}(F) = \text{P}^{\text{cc}}(F)^{\Theta(1)}$ [AUY83,KKN95]
- $\forall F: \text{mon}(F) \leq \text{P}^{\text{NP}^{\text{cc}}}(F)$ [IW10,PSS14]
- $\exists F: \text{P}^{\text{NP}^{\text{cc}}}(F) \leq \log n \lll n^{\Omega(1)} \leq \text{PP}^{\text{cc}}(F)$ [BVdW07]

Monochromatic rectangles

$$\text{mon}(F) := \max_{\mu \text{ product}} \min_{R \text{ mono}} \log \frac{1}{\mu(R)}$$

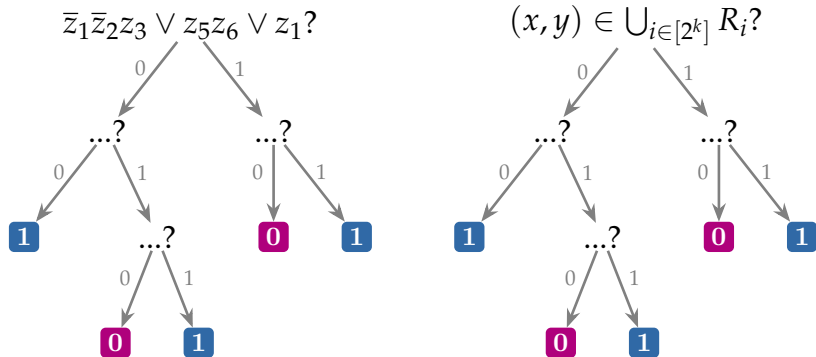
Lifting application:

$$\exists F: \text{mon}(F) \leq \log^{O(1)} n \lll n^{\Omega(1)} \leq P^{\text{NP}^{\text{cc}}}(F)$$

Known

- $\forall F: \text{non-product-mon}(F) = P^{\text{cc}}(F)^{\Theta(1)}$ [AUY83,KKN95]
- $\forall F: \text{mon}(F) \leq P^{\text{NP}^{\text{cc}}}(F)$ [IW10,PSS14]
- $\exists F: P^{\text{NP}^{\text{cc}}}(F) \leq \log n \lll n^{\Omega(1)} \leq PP^{\text{cc}}(F)$ [BVdW07]

P^{NP} decision trees / protocols



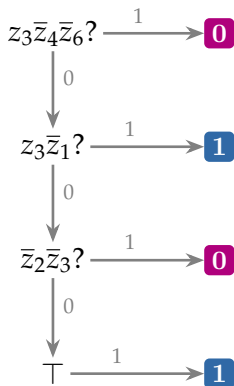
Oracle query cost:

$NP^{dt} = \text{DNF width}$ **vs.** $NP^{cc} = \log \# \text{rectangles}$

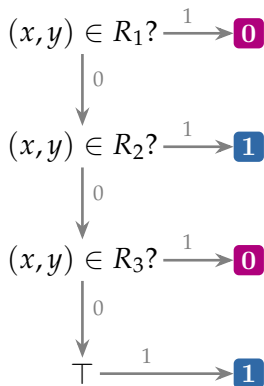
Decision lists: DL^{dt} and DL^{cc}

Equivalent (up to quadratic factors):

[Riv87,PSS14]



Conjunction width



$\log \#rectangles$

vs.

Lifting theorems

Lifting for P^{NP}

For poly-size index gadget g and every $f: \{0,1\}^n \rightarrow \{0,1\}$,

$$P^{NP_{cc}}(f \circ g^n) \geq \sqrt{P^{NP_{dt}}(f) \cdot \Omega(\log n)}$$

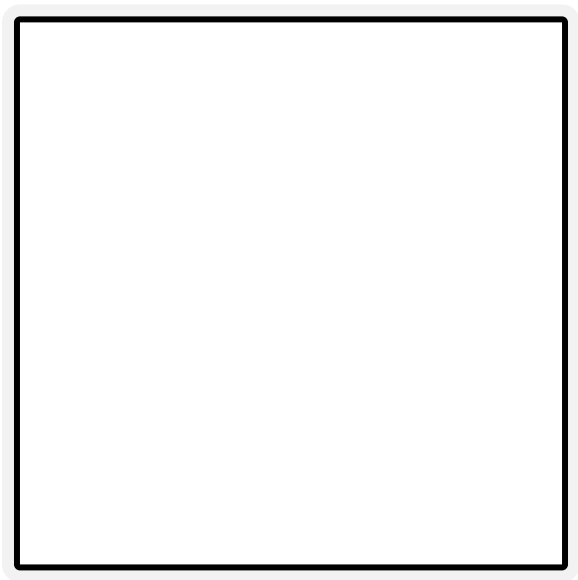
Lifting for decision lists

For poly-size index gadget g and every $f: \{0,1\}^n \rightarrow \{0,1\}$,

$$DL^{cc}(f \circ g^n) = DL^{dt}(f) \cdot \Theta(\log n)$$

$$\text{mon}(F) \leq \text{DL}^{\text{cc}}(F)$$

[IW10,PSS14]



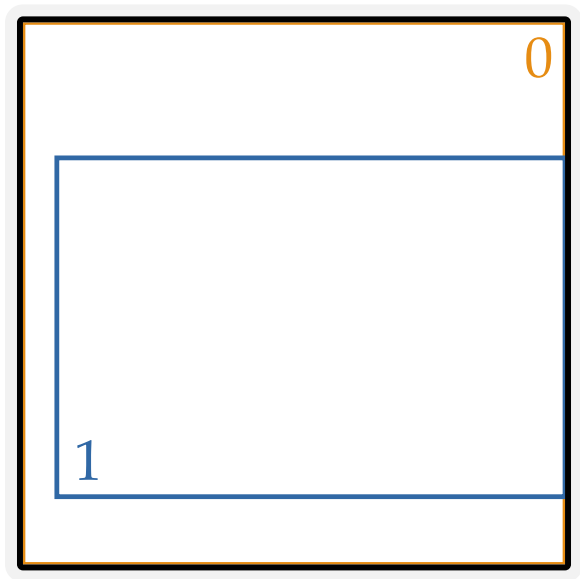
$$\text{mon}(F) \leq \text{DL}^{\text{cc}}(F)$$

[IW10,PSS14]

0

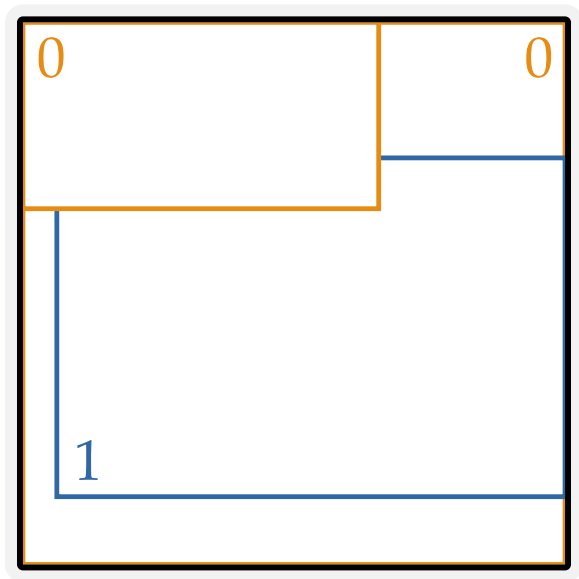
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[IW10,PSS14]



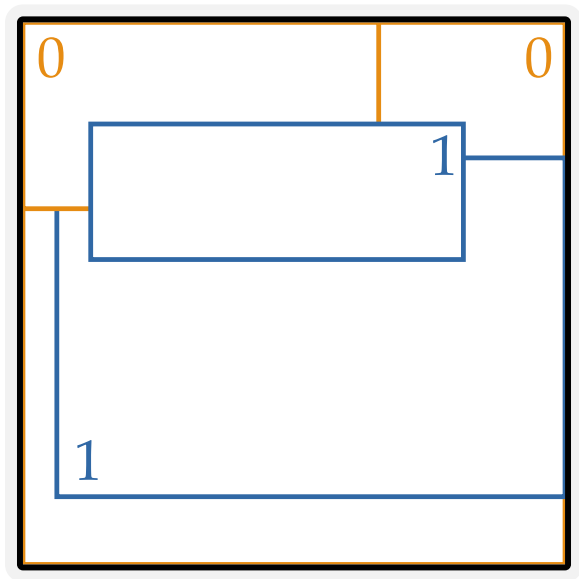
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[IW10,PSS14]



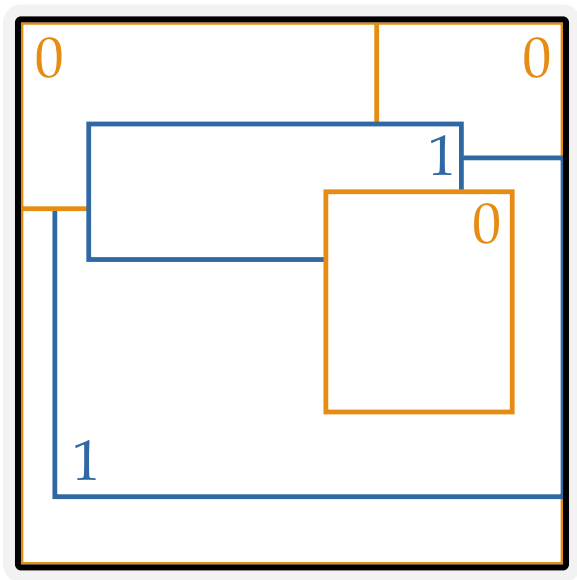
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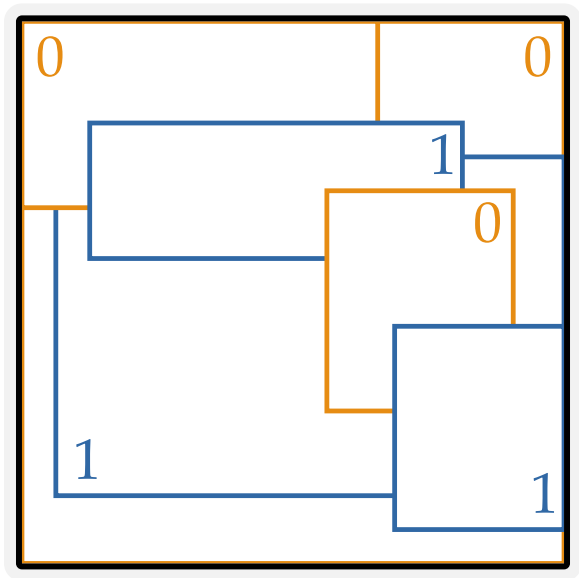
[IW10,PSS14]



$$\text{mon}(F) \leq \text{DL}^{\text{cc}}(F)$$

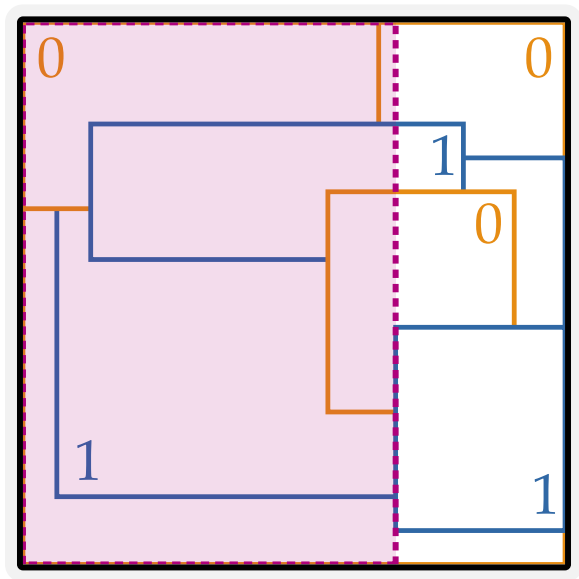
[IW10,PSS14]





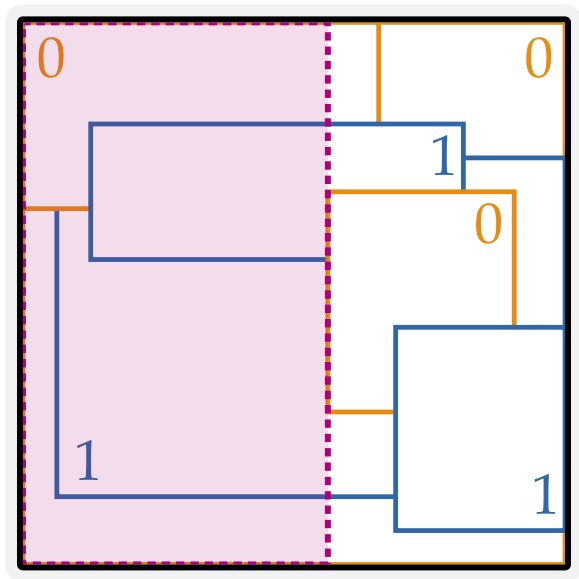
$$\text{mon}(F) \leq \text{DL}^{\text{cc}}(F)$$

[IW10,PSS14]



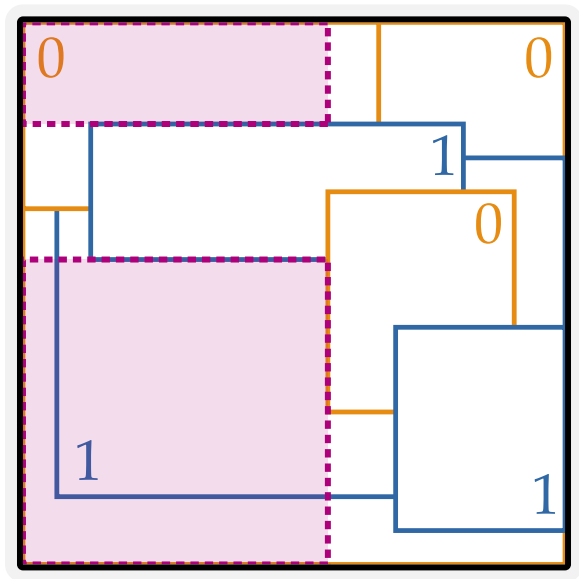
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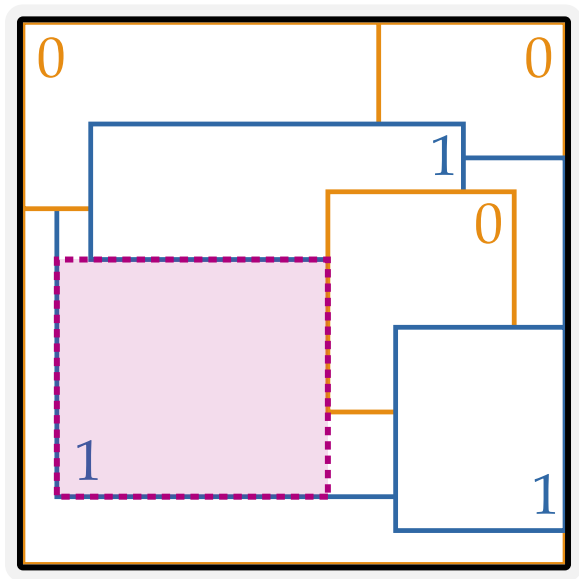
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Construction

Lifting application:

$$\exists F = f \circ g^n: \quad \text{mon}(F) \lll P^{\text{NP}^{\text{cc}}}(F)$$

\forall US-complete f

Input:

$$M \in \{0, 1\}^{\sqrt{n} \times \sqrt{n}}$$

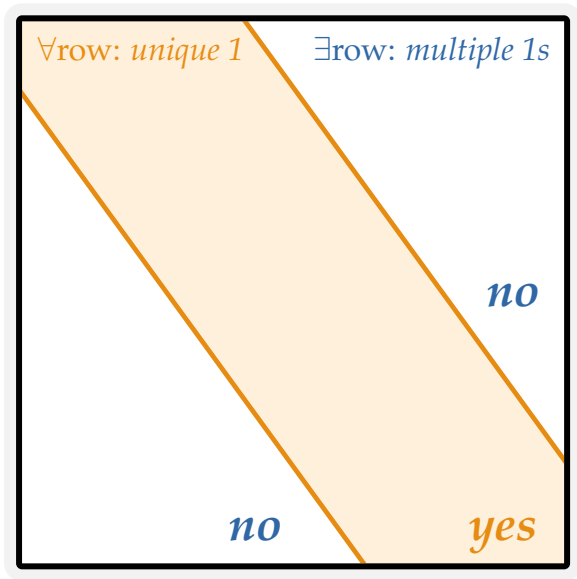
Output:

yes iff

\forall row has unique 1

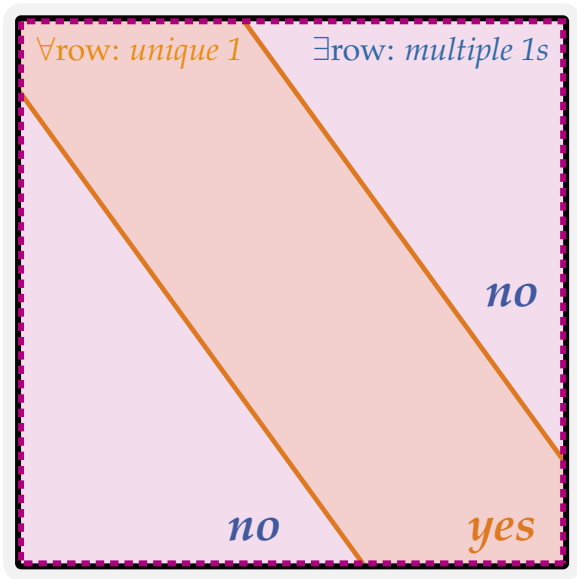
	1				
				1	
		1			
			1		
				1	
1					

$$\text{mon}(F) \leq \log^{O(1)} n$$



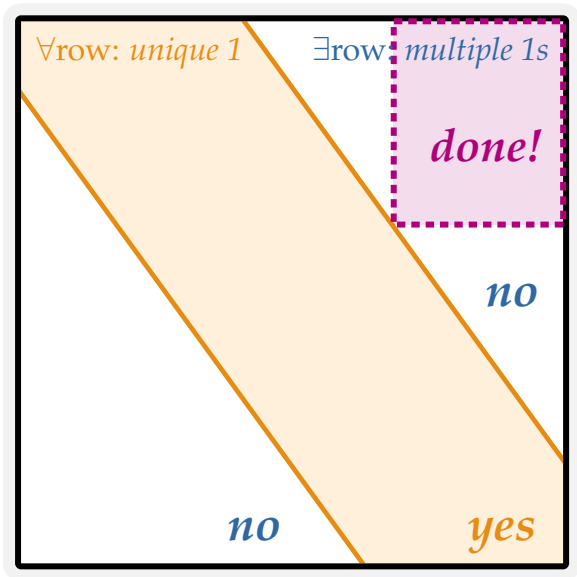
$$F \in \forall \cdot \text{US}^{\text{cc}}$$

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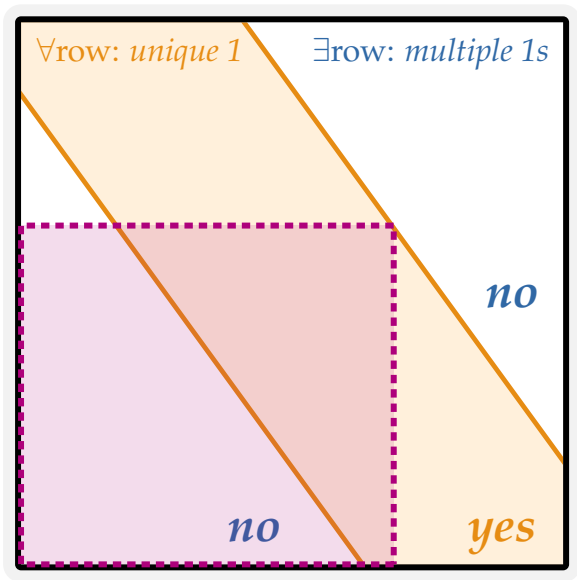
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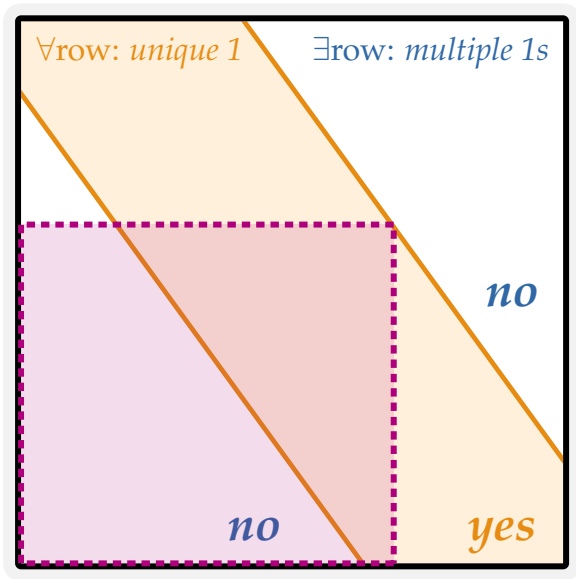


$$F \in \forall \cdot \text{US}^{\text{cc}}$$

\downarrow [IW10,PSS14]

$$F|_{\mu}$$

$$\text{mon}(F) \leq \log^{O(1)} n$$

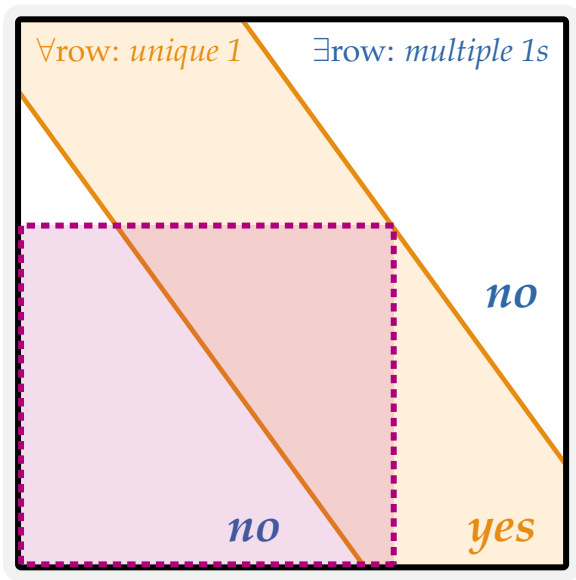


$$F \in \forall \cdot \text{US}^{\text{cc}}$$

↓ [IW10,PSS14]

$$F|_{\mu} \in \forall \cdot \text{UP}^{\text{cc}}$$

$$\text{mon}(F) \leq \log^{O(1)} n$$



$$F \in \forall \cdot \text{US}^{\text{cc}}$$

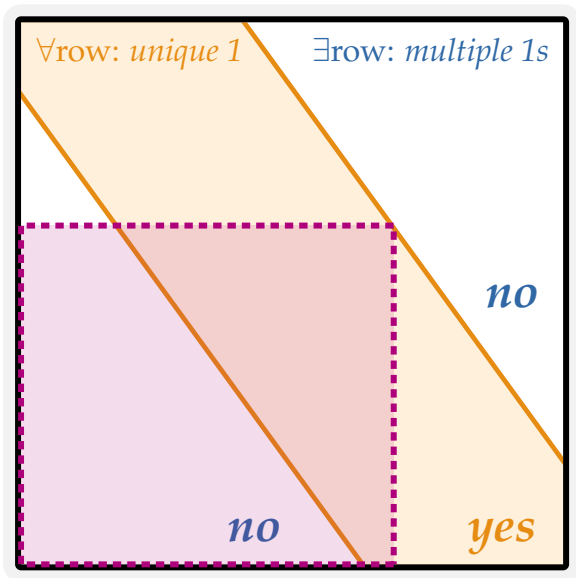
$$\downarrow \text{[IW10,PSS14]}$$

$$F|_{\mu} \in \forall \cdot \text{UP}^{\text{cc}}$$

$$\downarrow \text{[Yan89]}$$

$$F|_{\mu} \in \forall \cdot \text{P}^{\text{cc}}$$

$$\text{mon}(F) \leq \log^{O(1)} n$$



$$F \in \forall \cdot \text{US}^{\text{cc}}$$

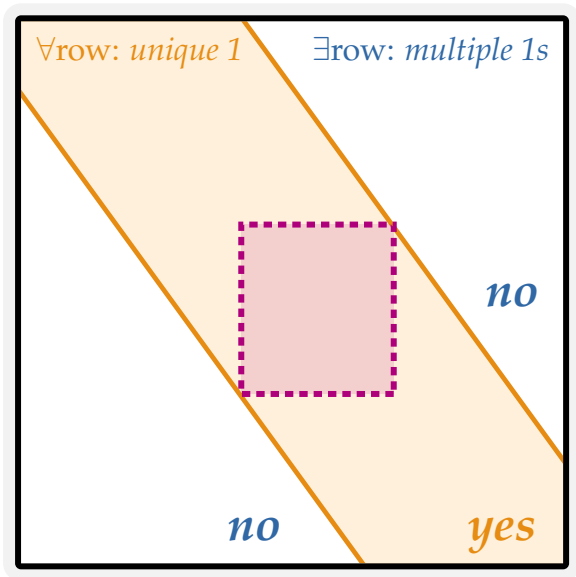
$$\downarrow \text{[IW10,PSS14]}$$

$$F|_{\mu} \in \forall \cdot \text{UP}^{\text{cc}}$$

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$$F|_{\mu} \in \forall \cdot \text{P}^{\text{cc}} = \text{coNP}^{\text{cc}}$$

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done!


Some problems

Problems

- Exhibit F with $\text{mon}(F) \lll \text{UPP}^{\text{cc}}(F)$
- Lifting using **constant-size** gadgets?
- Lifting for BQP?

[ABG⁺17]

Challenges

- Disprove the log-rank conjecture 
- Explicit lower bounds against PH^{cc} ?
Or even $\text{SZK}^{\text{cc}} \subseteq \text{AM}^{\text{cc}} \subseteq \Pi_2\text{P}^{\text{cc}}$?


[BCHTV16]

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[BCHTV16]

Cheers!