

Transitions through avoided crossings in diatomic molecules

Benjamin Goddard^[1],
Volker Betz^[2], and Stefan Teufel^[3]

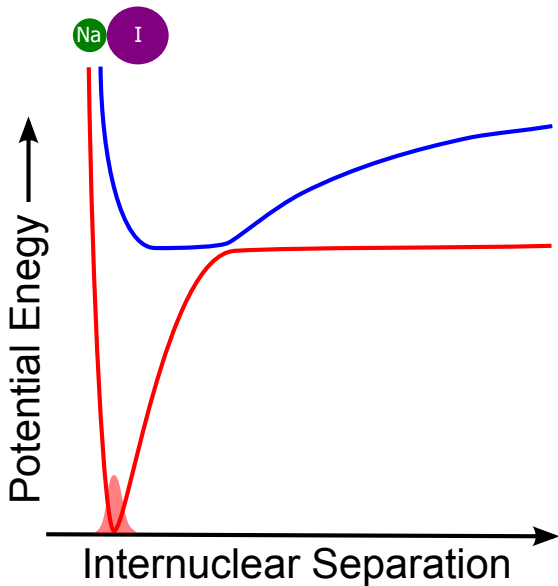
^[1]Department of Chemical Engineering, Imperial College London

^[2]Fachbereich Mathematik, Technische Universität Darmstadt

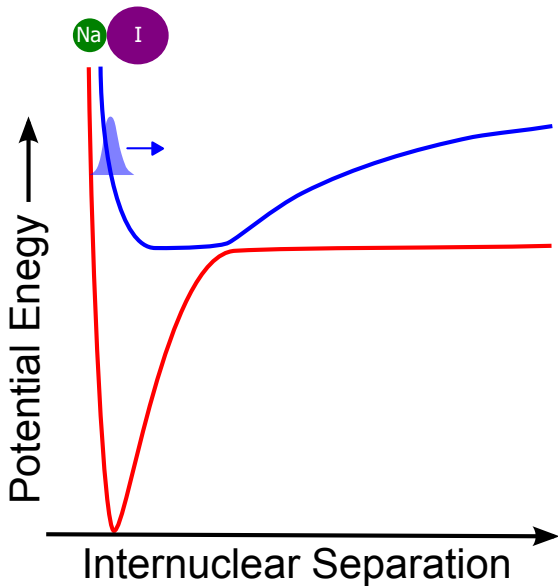
^[3]Mathematisches Institut, Universität Tübingen

Workshop on Mathematical Methods in
Quantum Molecular Dynamics
3rd May 2013

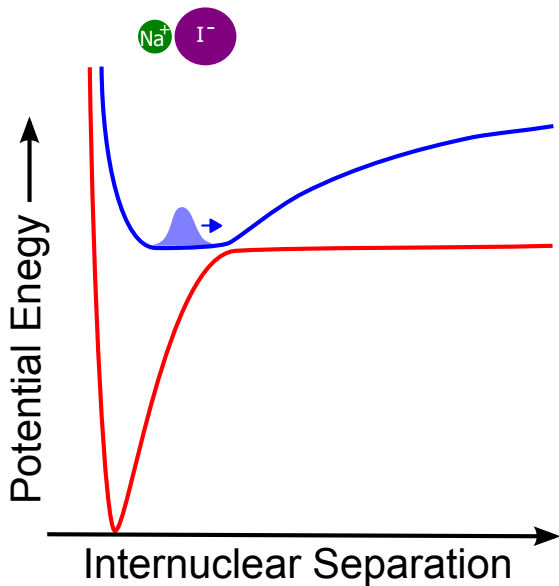
Example: Photo-Dissociation of NaI



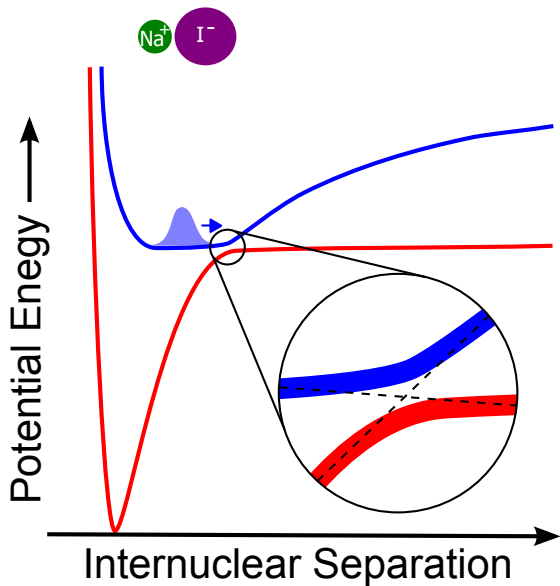
Example: Photo-Dissociation of NaI



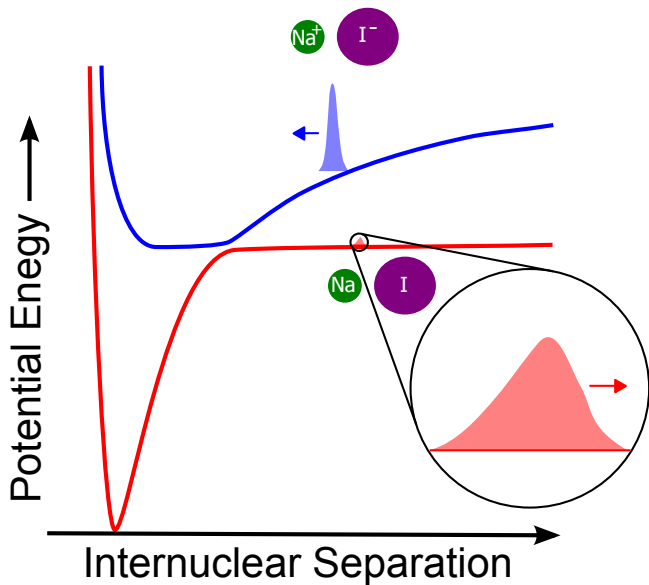
Example: Photo-Dissociation of NaI



Example: Photo-Dissociation of NaI



Example: Photo-Dissociation of NaI



Questions and some answers

Assume initial wavefunction lies in upper adiabatic subspace.

- ① How large is the transition probability into the lower adiabatic subspace?
- ② What is the precise form of the transmitted wavefunction?

Assume initial wavefunction lies in upper adiabatic subspace.

- 1 How large is the transition probability into the lower adiabatic subspace?
- 2 What is the precise form of the transmitted wavefunction?
 - Transitions between components of ψ_a are order ε globally.
 - Usually exponentially small in the scattering regime.
 - Under suitable assumptions, there exist unitaries U_n such that the components of the corresponding ψ_n decouple up to errors of $\mathcal{O}(\varepsilon^{n+1})$.

This is the n -th superadiabatic representation.

- Optimizing over n allows decoupling up to exponentially small (in ε) errors.
- If V becomes constant sufficiently quickly for $|x| \rightarrow \infty$, U_n agrees with U_0 up to errors involving the derivative of V .

The two-band Schrödinger equation

Two-level system with one degree of freedom:

$$i\varepsilon\partial_t \begin{pmatrix} \psi_1(x, t) \\ \psi_2(x, t) \end{pmatrix} = \left(-\frac{\varepsilon^2}{2}\partial_x^2\mathbf{I} + V(x) + d(x)\mathbf{I} \right) \begin{pmatrix} \psi_1(x, t) \\ \psi_2(x, t) \end{pmatrix}, \text{ with}$$

$$V(x) = \rho(x) \begin{pmatrix} \cos \theta(x) & \sin \theta(x) \\ \sin \theta(x) & -\cos \theta(x) \end{pmatrix}.$$

\mathbf{I} is the 2×2 unit matrix, x is the nuclear position, $\varepsilon > 0$ is the square root of the mass ratio, and $\psi \in L^2(dx, \mathbb{C}^2)$.

The two-band Schrödinger equation

Two-level system with one degree of freedom:

$$i\varepsilon\partial_t \begin{pmatrix} \psi_1(x, t) \\ \psi_2(x, t) \end{pmatrix} = \left(-\frac{\varepsilon^2}{2}\partial_x^2\mathbf{I} + V(x) + d(x)\mathbf{I} \right) \begin{pmatrix} \psi_1(x, t) \\ \psi_2(x, t) \end{pmatrix}, \text{ with}$$

$$V(x) = \rho(x) \begin{pmatrix} \cos \theta(x) & \sin \theta(x) \\ \sin \theta(x) & -\cos \theta(x) \end{pmatrix}.$$

\mathbf{I} is the 2×2 unit matrix, x is the nuclear position, $\varepsilon > 0$ is the square root of the mass ratio, and $\psi \in L^2(dx, \mathbb{C}^2)$.

Corresponds to an avoided crossing with gap at least 2δ .

The time scale ensures that the nuclei move a distance of order one in a time of order one.

Adiabatic representation

For

$$U_0(x) = \begin{pmatrix} \cos(\theta(x)/2) & \sin(\theta(x)/2) \\ \sin(\theta(x)/2) & -\cos(\theta(x)/2) \end{pmatrix}, \quad \psi_a(x, t) = U_0(x)\psi(x, t),$$

we obtain

$$i\varepsilon\partial_t\psi_a(x, t) = H_0\psi_a(x, t), \quad \text{with}$$

$$H_0 = U_0 H U_0^* = -\frac{\varepsilon^2}{2}\partial_x^2 \mathbf{I} + \begin{pmatrix} \rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} & -\varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon \partial_x) - \varepsilon^2 \frac{\theta''(x)}{4} \\ \varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon \partial_x) + \varepsilon^2 \frac{\theta''(x)}{4} & -\rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} \end{pmatrix}.$$

Adiabatic representation

For

$$U_0(x) = \begin{pmatrix} \cos(\theta(x)/2) & \sin(\theta(x)/2) \\ \sin(\theta(x)/2) & -\cos(\theta(x)/2) \end{pmatrix}, \quad \psi_a(x, t) = U_0(x)\psi(x, t),$$

we obtain

$$i\varepsilon\partial_t\psi_a(x, t) = H_0\psi_a(x, t), \quad \text{with}$$

$$H_0 = U_0 H U_0^* = -\frac{\varepsilon^2}{2}\partial_x^2 \mathbf{I} + \begin{pmatrix} \rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} & -\varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) - \varepsilon^2 \frac{\theta''(x)}{4} \\ \varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) + \varepsilon^2 \frac{\theta''(x)}{4} & -\rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} \end{pmatrix}.$$

To leading order, the dynamics decouple: Born-Oppenheimer approximation.

Adiabatic representation

For

$$U_0(x) = \begin{pmatrix} \cos(\theta(x)/2) & \sin(\theta(x)/2) \\ \sin(\theta(x)/2) & -\cos(\theta(x)/2) \end{pmatrix}, \quad \psi_a(x, t) = U_0(x)\psi(x, t),$$

we obtain

$$i\varepsilon\partial_t\psi_a(x, t) = H_0\psi_a(x, t), \quad \text{with}$$

$$H_0 = U_0 H U_0^* = -\frac{\varepsilon^2}{2}\partial_x^2 \mathbf{I} + \begin{pmatrix} \rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} & -\varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) - \varepsilon^2 \frac{\theta''(x)}{4} \\ \varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) + \varepsilon^2 \frac{\theta''(x)}{4} & -\rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} \end{pmatrix}.$$

To leading order, the dynamics decouple: Born-Oppenheimer approximation.

Couplings given to first order by the first off-diagonal terms, since semiclassical wavefunctions oscillate with frequency $1/\varepsilon$.

Adiabatic representation

For

$$U_0(x) = \begin{pmatrix} \cos(\theta(x)/2) & \sin(\theta(x)/2) \\ \sin(\theta(x)/2) & -\cos(\theta(x)/2) \end{pmatrix}, \quad \psi_a(x, t) = U_0(x)\psi(x, t),$$

we obtain

$$i\varepsilon\partial_t\psi_a(x, t) = H_0\psi_a(x, t), \quad \text{with}$$

$$H_0 = U_0 H U_0^* = -\frac{\varepsilon^2}{2}\partial_x^2 \mathbf{I} + \begin{pmatrix} \rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} & -\varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) - \varepsilon^2 \frac{\theta''(x)}{4} \\ \varepsilon \frac{\theta'(x)}{2} \cdot (\varepsilon\partial_x) + \varepsilon^2 \frac{\theta''(x)}{4} & -\rho(x) + d(x) + \varepsilon^2 \frac{\theta'(x)^2}{8} \end{pmatrix}.$$

To leading order, the dynamics decouple: Born-Oppenheimer approximation.

Couplings given to first order by the first off-diagonal terms, since semiclassical wavefunctions oscillate with frequency $1/\varepsilon$.

$$\theta'(x) = \frac{i\gamma}{x - iq_c} - \frac{i\gamma}{x + iq_c} + \theta_r(x), \quad \tau_\delta = 2 \int_0^{q_c} \rho(z) dz.$$

Superadiabatic representations

To leading order in ε ,

$$i\varepsilon\partial_t\psi_n = \begin{pmatrix} -\frac{\varepsilon^2}{2}\partial_x^2 + \rho(x) + d(x) & \varepsilon^{n+1}K_{n+1}^+ \\ \varepsilon^{n+1}K_{n+1}^- & -\frac{\varepsilon^2}{2}\partial_x^2 - \rho(x) + d(x) \end{pmatrix} \psi_n.$$

Coupling elements K_n given by a complicated recursion.

Hence

$$\psi_{-,n}(x,t) = -i\varepsilon^n \int_{-\infty}^t \left(e^{-\frac{i}{\varepsilon}(t-s)H^-} K_{n+1}^- e^{-\frac{i}{\varepsilon}sH^+} \psi_{+,0} \right) (x) ds$$

or in Fourier space

$$\widehat{\psi_{-,n}}^\varepsilon(k,t) = -\varepsilon^n \frac{i}{\sqrt{2\pi\varepsilon}} \int_{-\infty}^t \left(e^{-\frac{i}{\varepsilon}(t-s)\hat{H}^-} J_{n+1}^- e^{-\frac{i}{\varepsilon}s\hat{H}^+} \widehat{\psi_{+,0}}^\varepsilon \right) (k) ds.$$

Notation: $\widehat{f}^\varepsilon(k) = \frac{1}{\sqrt{2i\varepsilon}} \int_{\mathbb{R}} e^{-\frac{i}{\varepsilon}kq} f(q) dq = \frac{1}{\sqrt{\varepsilon}} \widehat{f}\left(\frac{k}{\varepsilon}\right).$

Integral Formulation

For ϕ on upper level, well away from the crossing, the transmitted wave packet in the n -th superadiabatic basis ψ_n^- satisfies

$$\widehat{\psi_n^-}^\varepsilon(k, t) \approx -\frac{1}{4\pi\varepsilon} e^{-\frac{i}{\varepsilon}t\hat{H}^-(k)} \int_{-\infty}^t ds \int_{\mathbb{R}} d\eta (k + \eta) \left(1 - \frac{2\lambda s}{k + \eta}\right)^{n+1} \left(\frac{k^2 - \eta^2}{4\delta}\right)^n \\ \times e^{-\frac{\tau_c}{2\delta\varepsilon}|k - \eta|} e^{-\frac{i\tau_r}{2\delta\varepsilon}(k - \eta)} e^{\frac{i}{2\varepsilon}((k^2 - \eta^2 - 4\delta)s - (k - \eta)\lambda s^2)} \widehat{\phi}^\varepsilon(\eta),$$

where $\hat{H}^-(k)$ is the B-O propagator in the lower level, $\tau_\delta =: \tau_r + i\tau_c$ and $d(x) = d_0 + \lambda x + \mathcal{O}(x^2)$.

Idea: The integrand is quickly oscillating so we use a stationary phase argument around $s = 0$, $\eta = \eta^* = \sqrt{k^2 - 4\delta}$.

Plausible assumptions on the width of the wavepacket ($\mathcal{O}(\varepsilon^{1/2})$) lead to explicit Gaussian integrals.

Main Result for Gaussian wave packets

For $\widehat{\phi}^\varepsilon(\eta) = \exp(-c(\eta - p_0)^2/\varepsilon + ix_0\eta/\varepsilon)$,

$$\widehat{\psi}_n^\varepsilon(k, t) \approx \frac{e^{-\frac{i}{\varepsilon}t\widehat{H}^-}}{2\sqrt{4\alpha_{2,0}\alpha_{0,2} - \alpha_{1,1}^2}} \exp\left[\frac{\alpha_{2,0}\alpha_{0,1}^2 + \alpha_{0,2}\alpha_{1,0}^2 - \alpha_{1,0}\alpha_{0,1}\alpha_{1,1}}{\alpha_{1,1}^2 - 4\alpha_{2,0}\alpha_{0,2}}\right]$$

$$\times (\eta^* + k) e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} e^{-i\varphi(p_0)} \widehat{\phi}^\varepsilon(\eta^*) \chi_{k^2 > 4\delta},$$

with

$$\alpha_{2,0} = -\frac{n_0\varepsilon}{4\delta} - \frac{n_0\eta^{*2}\varepsilon}{8\delta^2} - c$$

$$\alpha_{1,0} = -\frac{n_0\eta^*\varepsilon^{1/2}}{2\delta} - \frac{2c(\eta^* - p_0)}{\varepsilon^{1/2}} + \frac{\text{sgn}(k)\tau_c}{2\delta\varepsilon^{1/2}} + i\frac{\tau_r}{2\delta\varepsilon^{1/2}} + i\frac{x_0}{\varepsilon^{1/2}}$$

$$\alpha_{1,1} = -i\eta^* + \frac{2(n_0+1)\lambda\varepsilon}{(k+\eta^*)^2}$$

$$\alpha_{0,1} = -\frac{2(n_0+1)\varepsilon^{1/2}\lambda}{k+\eta^*}$$

$$\alpha_{0,2} = -i\frac{2\delta\lambda}{(k+\eta^*)} - \frac{2(n_0+1)\lambda^2\varepsilon}{(k+\eta^*)^2}$$

$$\varphi(p_0) = -\frac{(n_0+1)^2\varepsilon\lambda a_0\delta}{2(n_0+1)^2\lambda^2\varepsilon^2 + 2\delta^2 a_0^2} - \frac{1}{2} \arctan\left(\frac{a_0\delta}{(n_0+1)\varepsilon\lambda}\right) + \text{sgn}(\lambda p_0) \frac{\pi}{4}$$

with n_0 given by the solution of three quadratic equations.

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^{\varepsilon}(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^{\varepsilon}(\eta^*) \chi_{k^2 > 4\delta}$$

- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^{\varepsilon}(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^{\varepsilon}(\eta^*) \chi_{k^2 > 4\delta}$$

- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.
- $\eta^* = \text{sgn}(k)\sqrt{k^2 - 4\delta}$ is the classical incoming momentum for outgoing momentum k due to energy conservation.

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^{\varepsilon}(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^\varepsilon(\eta^*) \chi_{k^2 > 4\delta}$$

- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.
- $\eta^* = \text{sgn}(k)\sqrt{k^2 - 4\delta}$ is the classical incoming momentum for outgoing momentum k due to energy conservation.
- $\chi_{k^2 > 4\delta}$ is also from energy conservation

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^-{}^\varepsilon(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^\varepsilon(\eta^*) \chi_{k^2 > 4\delta}$$

- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.
- $\eta^* = \text{sgn}(k)\sqrt{k^2 - 4\delta}$ is the classical incoming momentum for outgoing momentum k due to energy conservation.
- $\chi_{k^2 > 4\delta}$ is also from energy conservation
- The complex part of τ contributes a Landau-Zener factor, causing the exponential smallness in ε .

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^{\varepsilon}(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^{\varepsilon}(\eta^*) \chi_{k^2 > 4\delta}$$

- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.
- $\eta^* = \text{sgn}(k)\sqrt{k^2 - 4\delta}$ is the classical incoming momentum for outgoing momentum k due to energy conservation.
- $\chi_{k^2 > 4\delta}$ is also from energy conservation
- The complex part of τ contributes a Landau-Zener factor, causing the exponential smallness in ε .
- $k - \sqrt{k^2 - 4\delta} \approx 2\delta/k^2$, so larger momentum wavepackets are more likely to make the transition.

Simplification for small λ

For **any** semiclassical ϕ

$$\widehat{\psi}_n^-{}^\varepsilon(k, t) \approx e^{-\frac{i}{\varepsilon}t\hat{H}^-} \frac{\eta^* + k}{2} e^{-\frac{\tau_c}{2\delta\varepsilon}|k-\eta^*|} e^{-i\frac{\tau_r}{2\delta\varepsilon}(k-\eta^*)} \widehat{\phi}^\varepsilon(\eta^*) \chi_{k^2 > 4\delta}$$

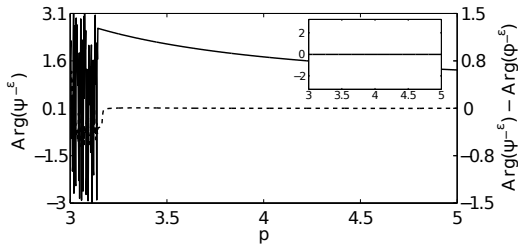
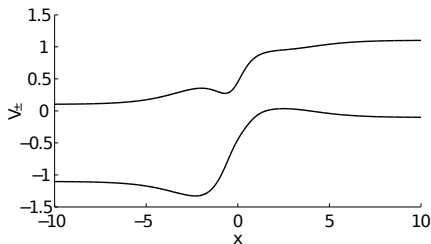
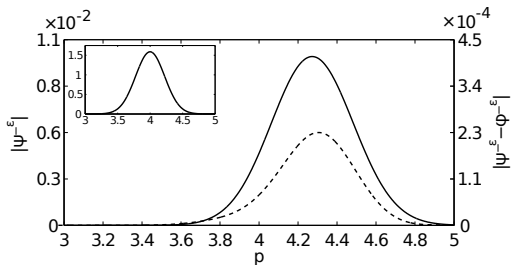
- Independent of n , uses only local information.
- Nonadiabatic transitions decouple in momentum space.
- $\eta^* = \text{sgn}(k)\sqrt{k^2 - 4\delta}$ is the classical incoming momentum for outgoing momentum k due to energy conservation.
- $\chi_{k^2 > 4\delta}$ is also from energy conservation
- The complex part of τ contributes a Landau-Zener factor, causing the exponential smallness in ε .
- $k - \sqrt{k^2 - 4\delta} \approx 2\delta/k^2$, so larger momentum wavepackets are more likely to make the transition.
- For large momentum, small momentum uncertainty, gives Landau-Zener transition probability.

- 1 Evolve initial wave packet on upper level using B-O dynamics until centre of mass reaches the transition point. [E.g. Strang splitting or Hagedorn wavepackets.]
- 2 Transform resulting wave packet into momentum space and decompose into a linear combination of complex Gaussians. [For initial Gaussian, is Gaussian with error order $\epsilon^{1/2}$. Not required if λ small.]
- 3 Apply formula to each complex Gaussian and take the corresponding linear combination.
- 4 Evolve resulting transmitted wave packet using B-O dynamics on lower level, until the centre of mass reaches the scattering region. [As in (1)].

Numerics 1: Gaussian Wavepacket

$$\widehat{\phi}^\varepsilon(\eta) = \exp\left(-c(\eta - p_0)^2/\varepsilon + ix_0\eta/\varepsilon\right)$$

$$\varepsilon = 1/40; p_0 = 4; c = 1/2; x_0 = 0; \tau = -0.15992 + 0.52951i$$



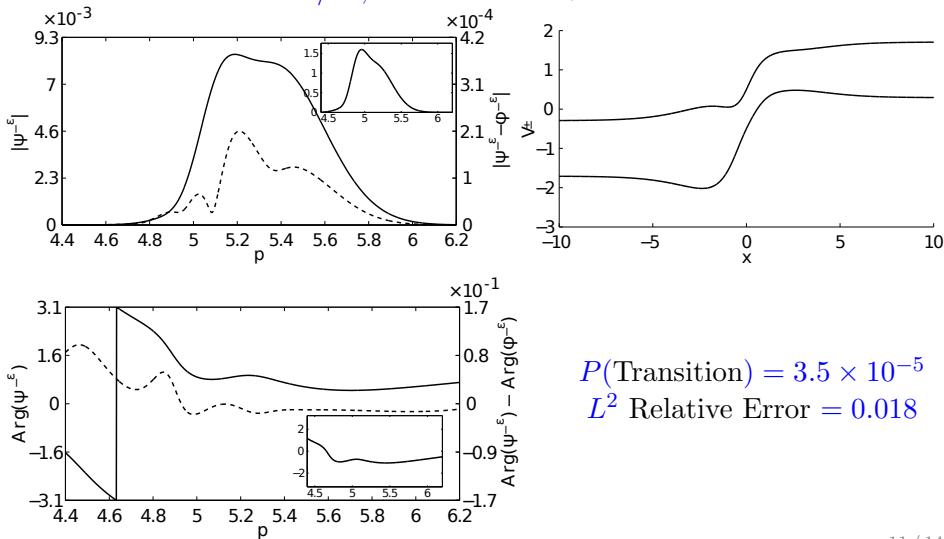
$$P(\text{Transition}) = 3.9 \times 10^{-5}$$

$$L^2 \text{ Relative Error} = 0.021$$

Numerics 2: Non-Gaussian Wavepacket

$$\widehat{\phi}^\varepsilon(\eta) = \sum_{j=1}^3 (-1)^{j+1} \widehat{\phi}_j^\varepsilon(\eta), \quad \widehat{\phi}_j^\varepsilon(\eta) = \exp(-c_j(\eta-p_{0,j})^2/\varepsilon + ix_{0,j}\eta/\varepsilon)$$

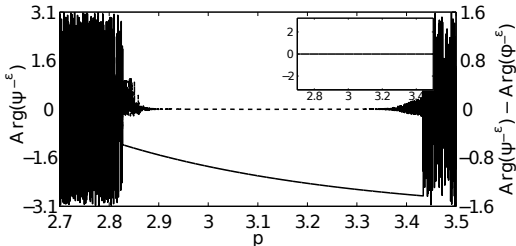
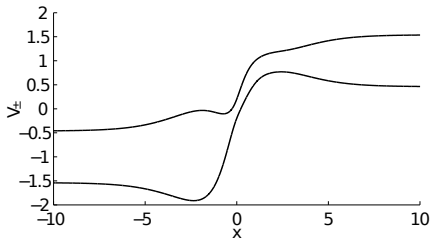
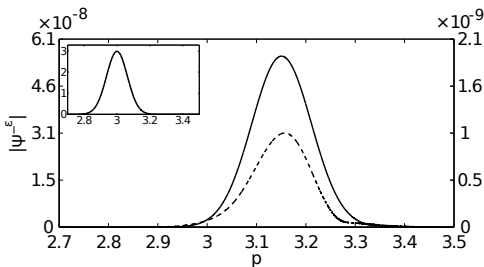
$\varepsilon = 1/50; \tau = -0.16611 + 0.537721i$



Numerics 3: Gaussian Wavepacket, small ε

$$\widehat{\phi}^\varepsilon(\eta) = \exp(-c(\eta - p_0)^2/\varepsilon + ix_0\eta/\varepsilon)$$

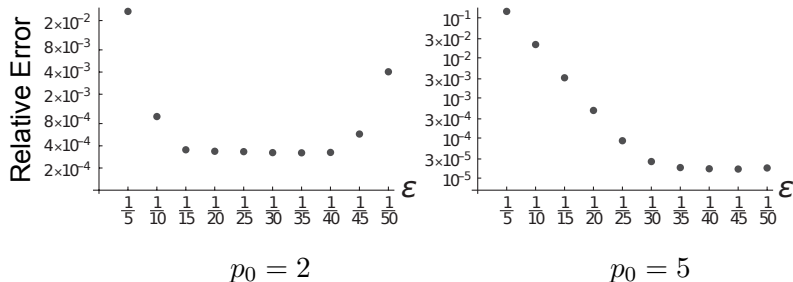
$$\varepsilon = 1/500; p_0 = 3; c = 1/2; x_0 = 0; \tau = -0.02331 + 0.11040i$$



$$P(\text{Transition}) = 3.4 \times 10^{-16}$$

$$L^2 \text{ Relative Error} = 0.018$$

Asymptotics for fixed p



- Excellent agreement for wide range of ϵ .
- Not asymptotically correct for fixed p .
- However, small ϵ and fixed p gives very small transition probability (e.g. $p_0 = 2$, $\epsilon = 1/50$ gives $\|\psi_-\|_2^2 \approx 6 \times 10^{-10}$).
- Actual error much better than we can prove with *a priori* estimates.

We have derived a closed-form approximation to the transmitted wavefunction, which is accurate for a large range of potentials and values of ε .

- Understand the heuristic phase correction and physical interpretation of the results.
- Apply the method to real-life systems.
- Extend the result to higher dimensions (work in progress).
- (Related) Understand the asymptotics of K_n^- .
- Prove rigorous error estimates.