

# Optimal proof systems and acceptors: Distributional proving problems, and beyond

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## Optimal proof systems

- ▶ A proof system  $\Sigma$  **simulates** a proof system  $\Omega$  iff  $\Sigma$ -proofs are at most as long as  $\Omega$ -proofs (up to a polynomial  $p$ ):

$$\forall F \in L \quad |\text{shortest } \Sigma\text{-proof of } F| \leq p(|\text{shortest } \Omega\text{-proof of } F|, |F|).$$

- ▶  **$p$ -simulation** is a constructive version: For any  $w$ -size  $\Omega$ -proof, one can compute a  $p(w)$ -size  $\Sigma$ -proof in polynomial time.
- ▶  **$(p)$ -optimal** proof system  $(p)$ -simulates any other proof system.
- ▶ **Does it exist?..**

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## Theorem

$\exists$   **$p$ -optimal proof system**  $\iff \exists$  **optimal acceptor**.

For **TAUT**: [Krajíček, Pudlák].

For paddable languages: [Messner].

For **co-NP**-complete languages: [Chen, Flüm, Müller].

# Simulations

▶ **pointwise** simulation  $\mathcal{A} \prec \mathcal{B}$ :

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- ▶ (weaker) **average-case** simulation  $\mathcal{A} \prec_D \mathcal{B}$  w.r.t.  $D$ :

$\forall \epsilon > 0 \exists c > 0$

$$\mathbf{E}_{x \leftarrow D_n} [t_{\mathcal{A}}^c(x)] = O(n \mathbf{E}_{y \leftarrow D_n} [t_{\mathcal{B}}^\epsilon(y)])$$

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simulate everywhere except for the set of  $D$ -prob.  $1/2d$ .

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## Problems and complexities

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  - ▶ **worst-case optimal acceptor for NP-complete problems:**  
**Levin's universal search + self-to-decision reduction:**  
On input  $x$ , run  $|x|$  algorithms in parallel:
    1.  $A_1(x)$  (brute-force search); output the result;
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**Not** a pointwise optimal acceptor for **co-NP** problems;

**Not** a pointwise optimal acceptor for **NP** problems;

**Main obstacle:** how to verify a 1-bit answer to a decision problem?

Worst-case optimal acceptor for **NP**-complete problems:

extract satisfying assignment for  $F$  by queries to  $F[v = 0]$ ,  $F[v = 1]$ ,  $\dots$

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  - ▶ worst-case (and stronger) optimal randomized acceptor for GNI:  
verification by Goldwasser-Micali-Sipser protocol.

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  - ▶ pointwise-optimal acceptor for Time( $f$ )-immune sets [Messner],  
pointwise-optimal algorithm for bi-immune sets [Chen, Flum, Müller].

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Complexity measure =  $\text{time}(n, d)$ .  
Errorless average-case complexity: count  $\mathbf{E}$  or give up with  $D$ -prob.  $1/d$ .
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  - ▶ "scheme-optimal" deterministic *algorithm* for  $-\text{Im}$ .
- ▶ **Distributional proving** problem  $(D, L)$ :  $\text{supp } D \subseteq \bar{L}$ .  
Solved by heuristic acceptors, may allow false positives only.
  - ▶ pointwise optimal randomized heuristic acceptor for p.-t.s.  $D$ , r.e.  $L$ .



# Heuristic acceptors

**Distributional proving problem**  $(D, L)$  consists of a language  $L$  of “theorems” and a polynomial-time samplable distribution  $D = \{D_n\}_{n \in \mathbb{N}}$  on  $\bar{L}$ .

## Definition

Heuristic acceptor  $A$  for  $(D, L)$ :

(completeness)  $\forall x \in L \forall d \in \mathbb{N} \quad A(x, d) = 1.$

(correctness)  $\Pr_{r \leftarrow D_n} \{ \Pr_A \{ A(r, d) = 1 \} > \frac{1}{8} \} < \frac{1}{d}.$

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- ▶ Time  $\tau_A(x, d)$  is a random variable.
- ▶ For random variable  $X$ , define  $\mu^{(p)}[X] = \min\{T : \Pr[X \geq T] \geq p\}.$
- ▶  $t_A(x) = \mu^{(1/2)}[\tau_A(x, d)]$  is the median running time of  $A(x, d).$

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## Theorem

$\exists$  polynomial-time samplable  $D \exists L \in \mathbf{co-NP} \nexists$  polynomial-time heuristic acceptor for  $(D, L) \iff \exists$  infinitely-often one-way function.

# Optimal heuristic acceptor

## Definition

Heuristic acceptor  $S$  **simulates**  $W$  if there are polynomials  $p$  and  $q$  such that  $\forall x \in L, \forall d \in \mathbb{N},$

$$t_S(x, d) \leq \max_{d' \leq q(d \cdot |x|)} p(t_W(x, d')) \cdot |x| \cdot d.$$

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- ▶ For each  $i \leq \log |x|$  in parallel:
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  2. If it accepts (in  $T_i$  steps), test its correctness:  
let  $E_i = 0$  and execute  $k$  times:
    - ▶  $r \leftarrow D_{|x|}$ ,
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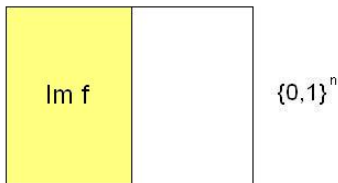
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  3. If  $E_i < \delta k$ , output “1”.

Here  $d' = 4d|x|$ ,  $k = 2d^3|x|^3$ ,  $\delta = \frac{1}{2d|x|}$ .

# Derandomization

Deterministic *scheme*-optimal acceptor for  $(U, \overline{\text{Im } f})$ , where...



- ▶  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ ,
- ▶  $|f(x)| = |x| + 1$ ,
- ▶  $f$  is injective,
- ▶  $f$  is polynomial-time computable.



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Deterministic *scheme*-optimal acceptor for  $(U, \overline{\text{Im } f})$ ,

- ▶ Use pseudorandom graph based on expanders.
- ▶ The input is a source of randomness!
- ▶ Not optimal when the simulated algorithm is erroneously disqualified.

## Definition

Simulation **scheme** of  $A$  by  $A'$ :

Simulate everywhere except for the fraction  $\frac{1}{2d}$ :

$\exists$  polynomials  $p, q \forall n, d \in \mathbb{N}$

$$\Pr_{x \leftarrow D_n} [t_A(x, d) \leq p(n \cdot d \cdot t_{A'}(x, q(n, d)))] \geq 1 - \frac{1}{2d},$$

$$q(n, d) \geq 2d.$$

# Graph nonisomorphism

$$\text{GNI} = \{(G_1, G_2) \mid G_1 \not\cong G_2, |V(G_1)| = |V(G_2)|\},$$

- ▶  $n$  is the number of vertices,
- ▶  $G^\pi$  is the result of permuting  $V(G)$  by  $\pi \in S_n$ .

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Recall **two-round interactive protocol** for GNI

[Goldreich, Micali, Wigderson, 1987]:

- ▶ Prover claims that  $G_1 \not\cong G_2$ ;
- ▶ Verifier picks random  $i \in \{1, 2\}$ ,  $\pi \in S_n$  and sends  $G_i^\pi$ ;
- ▶ Prover sends  $j$ ;
- ▶ Verifier accepts if  $i = j$ .

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- ▶ Prover sends  $j$ ;
- ▶ Verifier accepts if  $i = j$ .

If the claim is wrong, Verifier rejects with probability  $\geq 1/2$ .

## Correcting a GNI algorithm

$\text{SelfCorrect}_{A,N}$ , corrects any (randomized) algorithm  $A$ :

- ▶ Run  $N + 1$  instances of  $A$  in parallel for random  $\pi_{ij} \in S_n$ :
  - ▶  $A(G_1^{\pi_{11}}, G_1^{\pi_{12}})$
  - ▶  $A(G_1^{\pi_{21}}, G_1^{\pi_{22}})$
  - ▶ ...
  - ▶  $A(G_1^{\pi_{N1}}, G_1^{\pi_{N2}})$
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- ▶ Return 1 if the last instance was the fastest; otherwise diverge.

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## Lemma

- ▶ If  $G_1 \simeq G_2$ , then  $\Pr[\text{accept}] \leq \frac{1}{N+1}$ .
- ▶ If  $G_1 \not\approx G_2$  and  $A$  errs with probability  $\leq \frac{1}{2^n}$ , then  $\Pr[\text{accept}] \geq 1 - \frac{N+1}{2^n}$ .

# Optimal acceptor for GNI

Algorithm  $Opt(G_1, G_2)$ :

- ▶ Execute in parallel:
  - ▶  $A_1(G_1, G_2)$  (brute-force search),
  - ▶ 3 times  $SelfCorrect_{A_2, 30n}(G_1, G_2)$ ,
  - ▶ 3 times  $SelfCorrect_{A_3, 30n}(G_1, G_2)$ ,
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- ▶ Accept if any of the  $3n + 1$  parallel threads accepts.



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Lemma (correctness)

If  $G_1 \simeq G_2$ , then  $\Pr[Opt(G_1, G_2) = 1] \leq \frac{3n}{30n+1} < \frac{1}{10}$ .

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For any randomized acceptor  $A$  for GNI  $\exists$  polynomial  $p$  such that

$\forall x \in GNI, t_{Opt}(x) \leq p\left(\mu_{y \leftarrow U(C_x)}[\tau_A(y)]\right)$ , where

$C_{(G_1, G_2)} = \{(G_1^{\pi_1}, G_2^{\pi_2}) \mid \pi_1, \pi_2 \in S_n\}$  is a *cluster* of  $(G_1, G_2)$ .

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For any randomized acceptor  $A$  for GNI  $\exists$  polynomial  $p$  such that  $\forall x \in GNI, t_{Opt}(x) \leq p\left(\mu_{y \leftarrow U(C_x)}^{(1/4)}[\tau_A(y)]\right)$ , where  $C_{(G_1, G_2)} = \{(G_1^{\pi_1}, G_2^{\pi_2}) \mid \pi_1, \pi_2 \in S_n\}$  is a *cluster* of  $(G_1, G_2)$ .

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Algorithm  $Opt(G_1, G_2)$ :

- ▶ Execute in parallel:
  - ▶  $A_1(G_1, G_2)$  (brute-force search),
  - ▶ 3 times  $SelfCorrect_{A_2, 30n}(G_1, G_2)$ ,
  - ▶ 3 times  $SelfCorrect_{A_3, 30n}(G_1, G_2)$ ,
  - ▶ ...
  - ▶ 3 times  $SelfCorrect_{A_n, 30n}(G_1, G_2)$ .
- ▶ Accept if any of the  $3n + 1$  parallel threads accepts.

## Lemma (simulation)

For any randomized acceptor  $A$  for GNI  $\exists$  polynomial  $p$  such that  $\forall x \in GNI, t_{Opt}(x) \leq p\left(\mu_{y \leftarrow U(C_x)}^{(1/4)}[\tau_A(y)]\right)$ , where  $C_{(G_1, G_2)} = \{(G_1^{\pi_1}, G_2^{\pi_2}) \mid \pi_1, \pi_2 \in S_n\}$  is a *cluster* of  $(G_1, G_2)$ .

## Corollary

$Opt$  is average-case optimal provided  $D$  is uniform on every cluster.

## Definition

$L$  is **paddable** if there is an injective non-length-decreasing polynomial-time padding function  $\text{pad}_L: \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^*$  that is polynomial-time invertible on its image and such that  $\forall x, w (x \in L \iff \text{pad}_L(x, w) \in L)$ .

Optimal proof [Messner, 99]:

- ▶ A proof  $\pi$  of  $x$  in some system  $\Pi$ ;
- ▶ padding.

Verification:

- ▶ run optimal acceptor on  $\text{pad}_L(x, \pi)$ ;
- ▶ for a correct proof  $\pi$ , it accepts in a polynomial time because for a correct system  $\Pi$ , the set  $\{\text{pad}_L(x, \pi) \mid x \in L, \Pi(x, \pi) = 1\} \subseteq L$  can be accepted in a polynomial time.

# From acceptors to proof systems

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**Applicability:**

- ▶ Messner's proof goes for randomized algorithms.
- ▶ Does not go for heuristic, average-case algorithms.

## Heuristic proof systems

- ▶ Allow probabilistic proof verification (with bounded error).
- ▶ Allow small number of false theorems (unbounded error there) according to  $D$ .
- ▶ Heuristic computation: gets  $d$  on input and makes at most  $\frac{1}{d}$  errors.



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## Definition

**Heuristic proof system** for  $(D, L)$  is a polynomial-time  $\Pi$  such that

**(completeness)**  $\forall x \in L \forall d \in \mathbb{N} \exists w \Pr\{\Pi(x, w, d) = 1\} > \frac{1}{2}$ .

(Such  $w$  is a  $\Pi$ -proof with confidence  $d$ .)

**(correctness)**  $\Pr_{r \leftarrow D_n} \{\exists w \{\Pr\{\Pi(r, w, d) = 1\} > \frac{1}{8}\} < \frac{1}{d}\}$ .

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**Open question:** Devise an interesting heuristic p.s.,  
i.e., distinguish between distributions hard for heuristic acceptors and  
heuristic proof systems.

## Open questions

- ▶  $\exists$  optimal proof system  $\iff$   $\exists$  optimal heuristic acceptor;
- ▶  $\exists$  optimal heuristic proof system  $\stackrel{?}{\iff}$   $\exists$  optimal heuristic acceptor;
- ▶  $\exists$  optimal proof system with advice  $\stackrel{?}{\iff}$   $\exists$  optimal acceptor with advice;
- ▶  $\exists$  average-case optimal acceptor?
- ▶  $\exists$  optimal acceptor for GNI or any other  $\mathbf{co-NP} \setminus \mathbf{P}$  problem?
- ▶  $\exists$  optimal proof system for any problem outside  $\mathbf{P}$ ?
- ▶  $\exists (D, L) \in (\mathbf{co-NP}, \text{PSamplable})$  with no polynomially-bounded heuristic proof system  $\iff$  ?