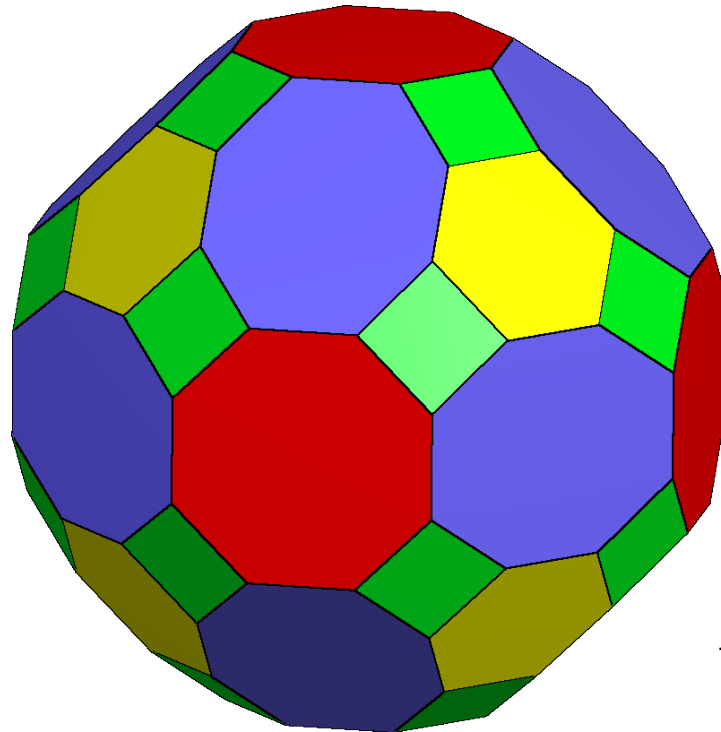
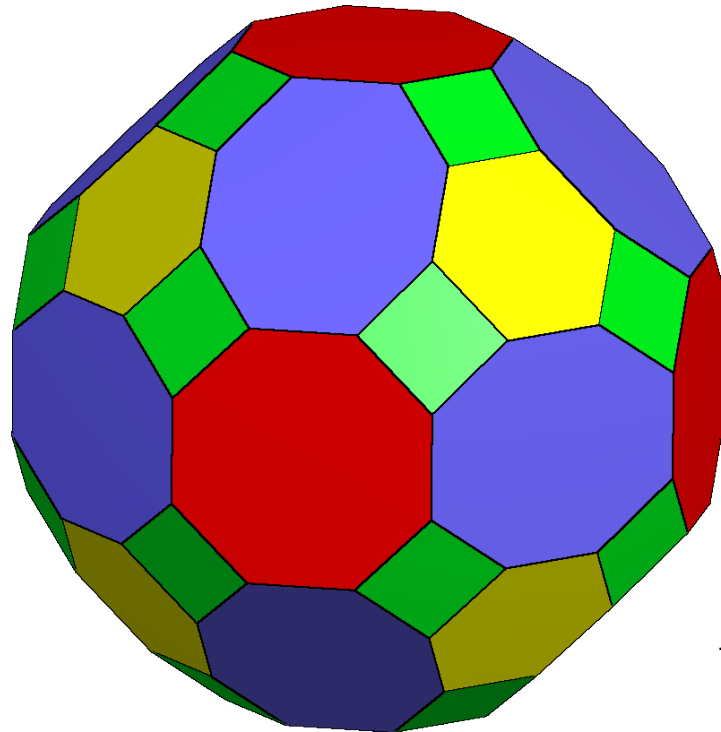


Improved upper bounds on the diameter of lattice polytopes



Antoine Deza, McMaster
based on a joint work with
Lionel Pournin, Paris XIII

Primitive lattice polytopes and convex matroid optimization



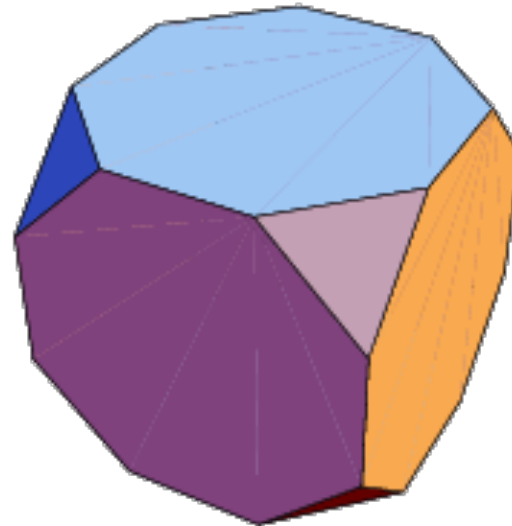
lattice polytopes with large diameter

lattice (d, k) -polytope : convex hull of points drawn from $\{0, 1, \dots, k\}^d$

diameter $\delta(P)$ of polytope P : smallest number such that **any two vertices** of P can be connected by a **path with at most $\delta(P)$ edges**

$\delta(d, k)$: largest diameter over all **lattice** (d, k) -polytopes

ex. $\delta(3, 3) = 6$ and is achieved
by a ***truncated cube***



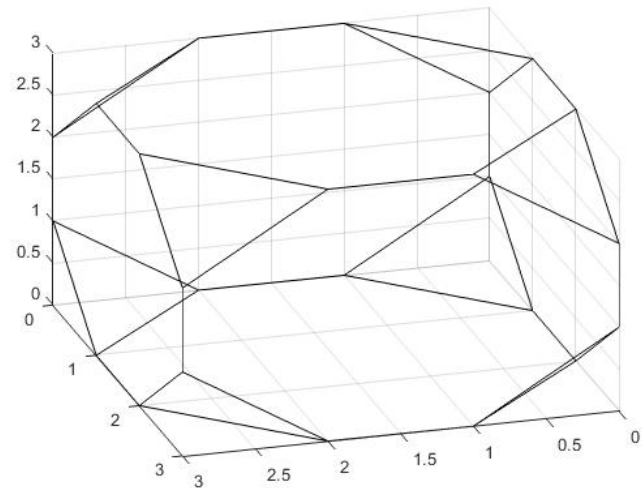
lattice polytopes with large diameter

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lattice polytopes with large diameter

$\delta(d, k)$: largest **diameter** of a convex hull of points drawn from $\{0, 1, \dots, k\}^d$

upper bounds :

$$\delta(d, 1) \leq d \quad [\text{Naddef 1989}]$$

$$\delta(2, k) = O(k^{2/3}) \quad [\text{Balog-Bárány 1991}]$$

$$\delta(2, k) = 6(k/2\pi)^{2/3} + O(k^{1/3} \log k) \quad [\text{Thiele 1991}]$$

[Acketa-Žunić 1995]

$$\delta(d, k) \leq kd \quad [\text{Kleinschmid-Onn 1992}]$$

$$\delta(d, k) \leq kd - \lceil d/2 \rceil \quad \text{for } k \geq 2 \quad [\text{Del Pia-Michini 2016}]$$

$$\delta(d, k) \leq kd - \lceil 2d/3 \rceil \quad \text{for } k \geq 3 \quad [\text{Deza-Pournin 2016}]$$

$$\delta(d, k) \leq kd - \lceil 2d/3 \rceil - (k - 2) \quad \text{for } k \geq 4 \quad [\text{Deza-Pournin 2016}]$$

lattice polytopes with large diameter

$\delta(\mathbf{d}, \mathbf{k})$: largest **diameter** of a convex hull of points drawn from $\{0, 1, \dots, \mathbf{k}\}^{\mathbf{d}}$

lower bounds :

$$\delta(\mathbf{d}, 1) \geq \mathbf{d} \quad [\text{Naddef 1989}]$$

$$\delta(\mathbf{d}, 2) \geq \lfloor 3\mathbf{d}/2 \rfloor \quad [\text{Del Pia-Michini 2016}]$$

$$\delta(\mathbf{d}, \mathbf{k}) = \Omega(\mathbf{k}^{2/3} \mathbf{d}) \quad [\text{Del Pia-Michini 2016}]$$

$$\delta(\mathbf{d}, \mathbf{k}) \geq \lfloor (\mathbf{k}+1)\mathbf{d}/2 \rfloor \quad \text{for } \mathbf{k} < 2\mathbf{d} \quad [\text{Deza-Manoussakis-Onn 2016}]$$

lattice polytopes with large diameter

$\delta(d, k)$		k								
		1	2	3	4	5	6	7	8	9
d	2	2	3	4	4	5	6	6	7	8
	3	3	4	6	7+	9+	?	?	?	?
	4	4	6	8	10+	12+	14+	16+	?	?
	5	5	7	10+	12+	15+	17+	20+	22+	25+

$$\delta(d, 1) = d$$

$$\delta(2, k) = \text{close form}$$

$$\delta(d, 2) = \lfloor 3d/2 \rfloor$$

$$\delta(4, 3) = 8$$

[Naddef 1989]

[Thiele 1991] [Acketa-Žunić 1995]

[Del Pia-Michini 2016]

[Deza-Pournin 2016]

lattice polytopes with large diameter

$\delta(d, k)$		k								
		1	2	3	4	5	6	7	8	9
d	2	2	3	4	4	5	6	6	7	8
	3	3	4	6	7+	9+	?	?	?	?
	4	4	6	8	10+	12+	14+	16+	?	?
	5	5	7	10+	12+	15+	17+	20+	22+	25+

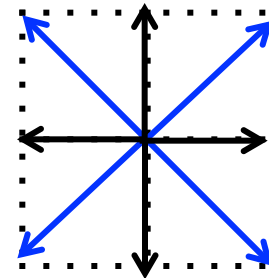
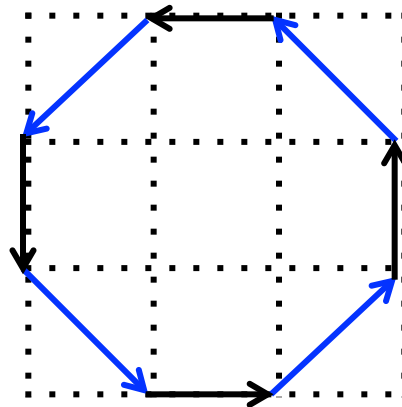
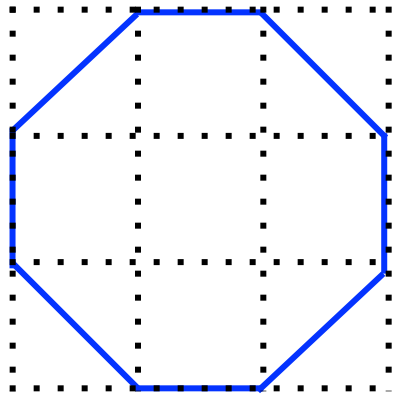
All known entries of $\delta(d, k)$ are achieved, up to translation, by a *Minkowski sum of primitive lattice vectors* (some uniquely)

Conjecture: $\delta(d, k) \leq \lfloor (k+1)d/2 \rfloor$ [Deza-Manoussakis-Onn 2016]

lattice polygons with many vertices

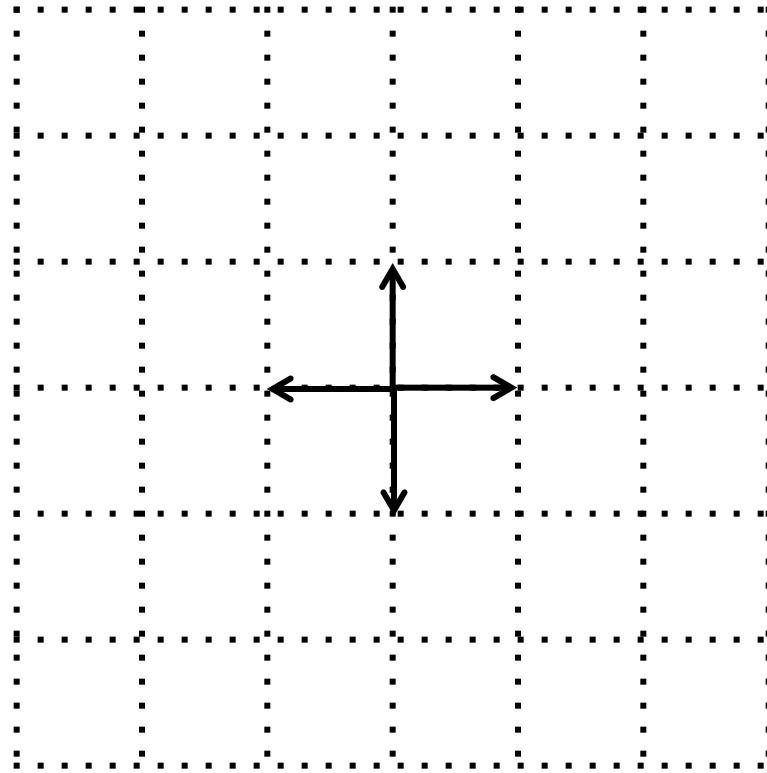
Q. What is $\delta(2, k)$: largest diameter of a polygon which vertices are drawn from the $k \times k$ grid?

A polygon can be associated to a set of vectors (edges) *summing up to zero*, and *without a pair of positively multiple vectors*



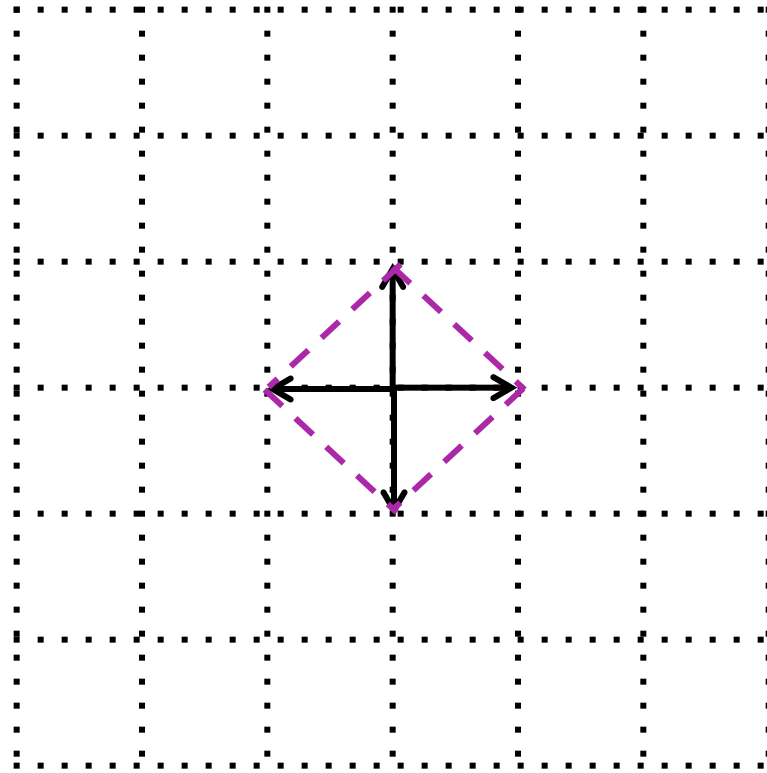
$\delta(2,3) = 4$ is achieved by the 8 vectors : $(\pm 1,0)$, $(0,\pm 1)$, $(\pm 1,\pm 1)$

lattice polygons with many vertices



$\delta(2,2) = 2$; vectors : $(\pm 1, 0)$, $(0, \pm 1)$

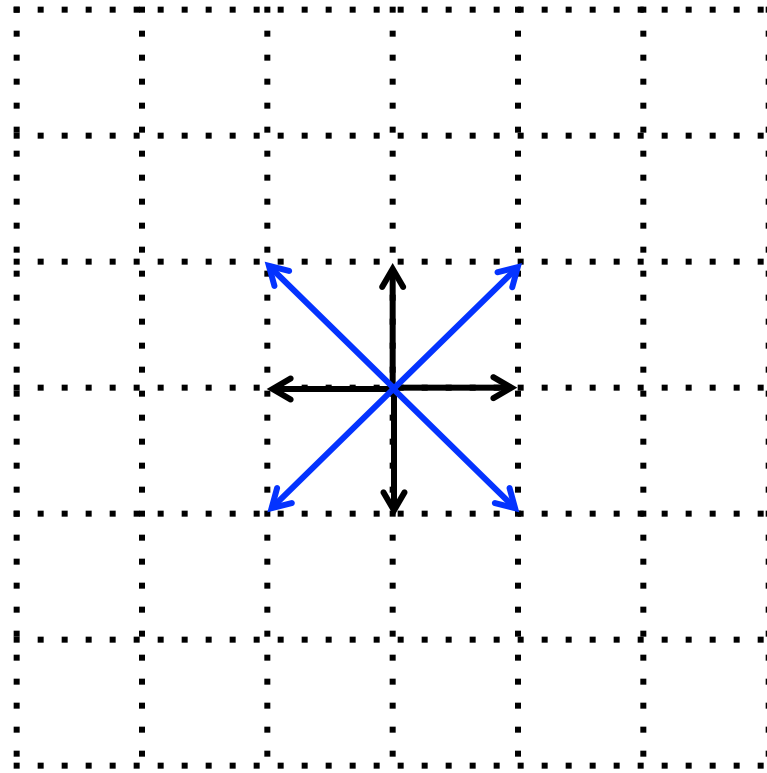
lattice polygons with many vertices



$$\|x\|_1 \leq 1$$

$\delta(2,2) = 2$; vectors : $(\pm 1, 0), (0, \pm 1)$

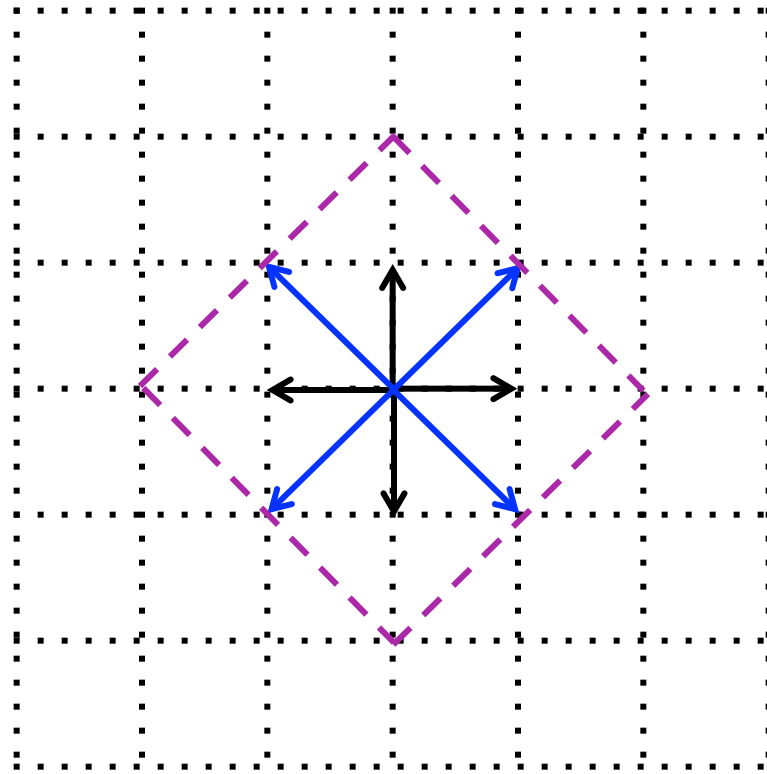
lattice polygons with many vertices



$\delta(2,2) = 2$; vectors : $(\pm 1, 0)$, $(0, \pm 1)$

$\delta(2,3) = 4$; vectors : $(\pm 1, 0)$, $(0, \pm 1)$, $(\pm 1, \pm 1)$

lattice polygons with many vertices

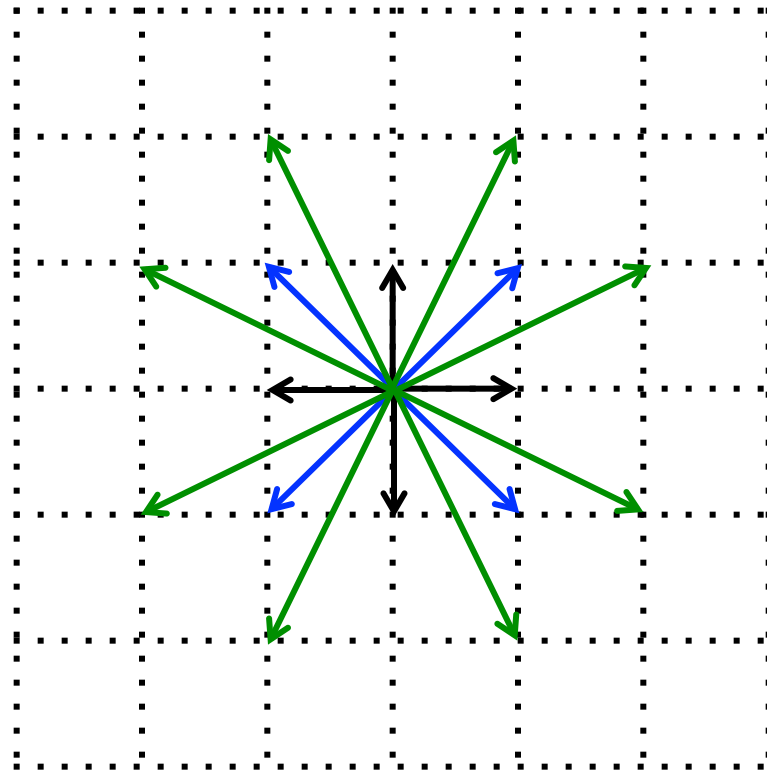


$$\|x\|_1 \leq 2$$

$\delta(2,2) = 2$; vectors : $(\pm 1,0), (0,\pm 1)$

$\delta(2,3) = 4$; vectors : $(\pm 1,0), (0,\pm 1), (\pm 1,\pm 1)$

lattice polygons with many vertices

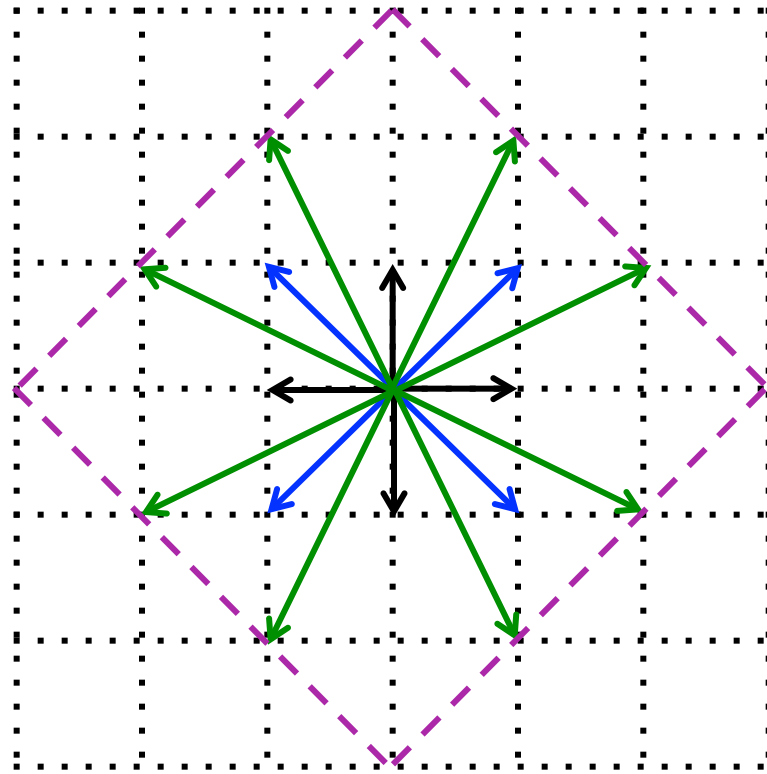


$\delta(2,2) = 2$; vectors : $(\pm 1, 0), (0, \pm 1)$

$\delta(2,3) = 4$; vectors : $(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1)$

$\delta(2,9) = 8$; vectors : $(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1), (\pm 1, \pm 2), (\pm 2, \pm 1)$

lattice polygons with many vertices



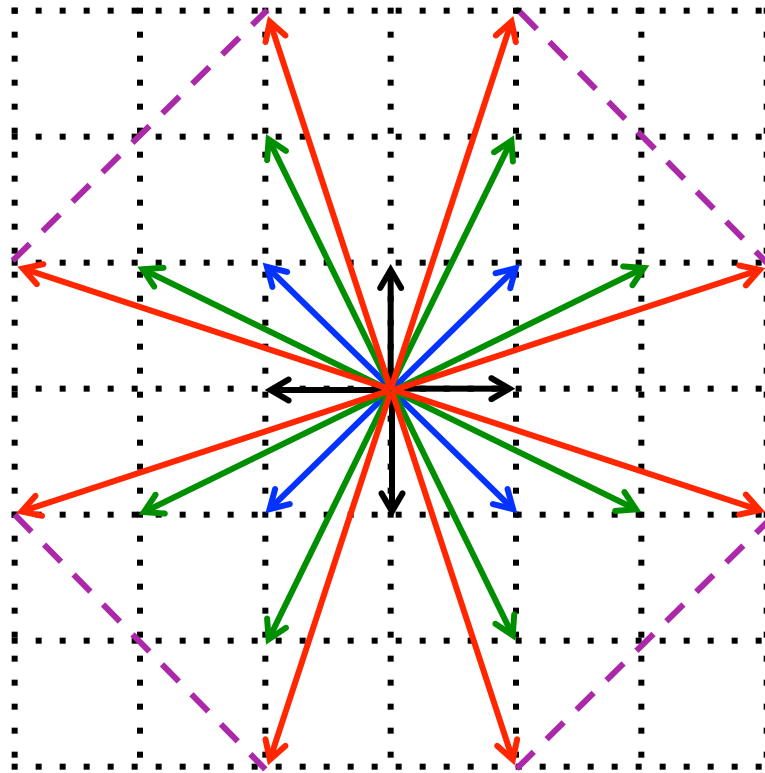
$$\|x\|_1 \leq 3$$

$\delta(2,2) = 2$; vectors : $(\pm 1, 0), (0, \pm 1)$

$\delta(2,3) = 4$; vectors : $(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1)$

$\delta(2,9) = 8$; vectors : $(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1), (\pm 1, \pm 2), (\pm 2, \pm 1)$

lattice polygons with many vertices



$$\|x\|_1 \leq 4$$

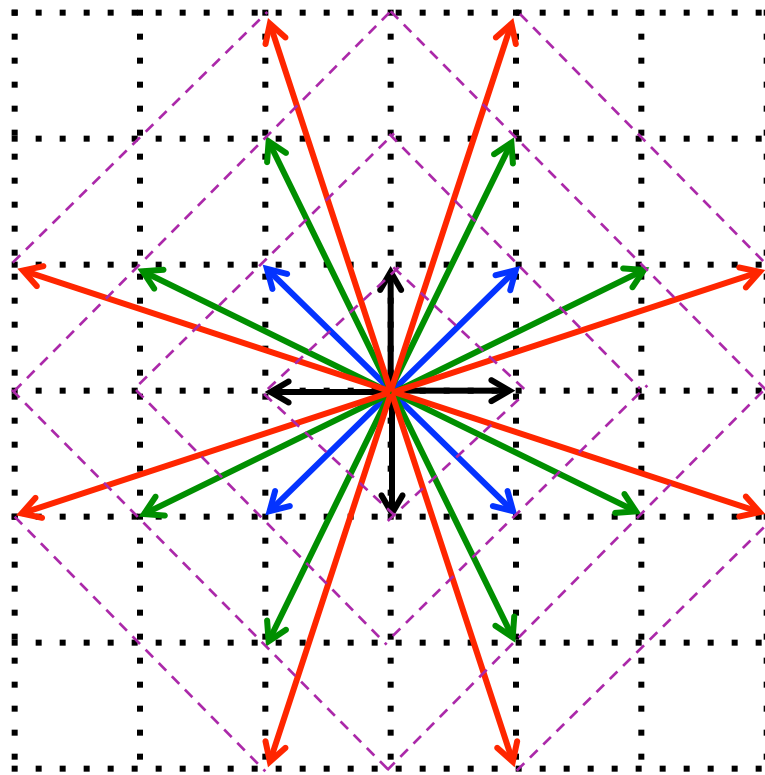
$\delta(2,2) = 2$; vectors : $(\pm 1,0), (0,\pm 1)$

$\delta(2,3) = 4$; vectors : $(\pm 1,0), (0,\pm 1), (\pm 1,\pm 1)$

$\delta(2,9) = 8$; vectors : $(\pm 1,0), (0,\pm 1), (\pm 1,\pm 1), (\pm 1,\pm 2), (\pm 2,\pm 1)$

$\delta(2,17) = 12$; vectors : $(\pm 1,0), (0,\pm 1), (\pm 1,\pm 1), (\pm 1,\pm 2), (\pm 2,\pm 1), (\pm 1,\pm 3), (\pm 3,\pm 1)$

lattice polygons with many vertices



$$\|x\|_1 \leq p$$

$$\delta(2, \mathbf{k}) = 2 \sum_{i=1}^p \varphi(i) \text{ for } \mathbf{k} = \sum_{i=1}^p i\varphi(i)$$

$\varphi(p)$: **Euler totient function** counting positive integers less or equal to p relatively prime with p
 $\varphi(1) = \varphi(2) = 1, \varphi(3) = \varphi(4) = 2, \dots$

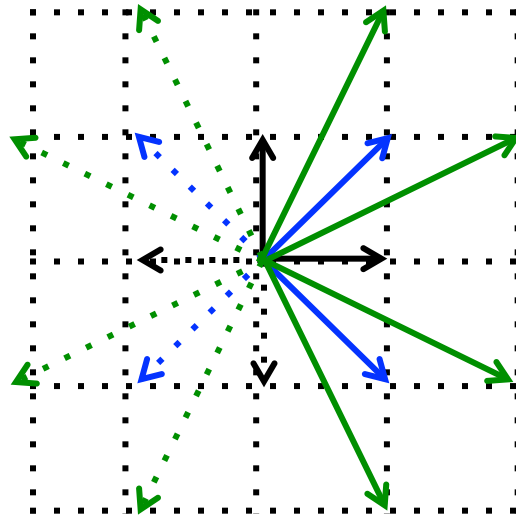
lattice polygons with many vertices

$\delta(2, \mathbf{k})$		\mathbf{k}								
		1	2	3	4	5	6	7	8	9
	\mathbf{p}	1		2						3
	\mathbf{v}	4	6	8	8	10	12	12	14	16
	δ	2	3	4	4	5	6	6	7	8

$$\delta(2, \mathbf{k}) = 2 \sum_{i=1}^{\mathbf{p}} \varphi(i) \text{ for } \mathbf{k} = \sum_{i=1}^{\mathbf{p}} i\varphi(i)$$

$\varphi(\mathbf{p})$: **Euler totient function** counting positive integers less or equal to \mathbf{p} relatively prime with \mathbf{p}
 $\varphi(1) = \varphi(2) = 1, \varphi(3) = \varphi(4) = 2, \dots$

lattice polygons with many vertices



$$\|x\|_1 \leq p$$

$H_1(2, p)$: Minkowski sum generated by $\{x \in \mathbb{Z}^2 : \|x\|_1 \leq p, \gcd(x)=1, x \geq 0\}$

$H_1(2, p)$ has diameter $\delta(2, k) = 2 \sum_{i=1}^p \varphi(i)$ for $k = \sum_{i=1}^p i\varphi(i)$

Ex. $H_1(2, 2)$ generated by $(1, 0)$, $(0, 1)$, $(1, 1)$, $(1, -1)$ (fits, up to translation, in 3x3 grid)

$x \geq 0$: first nonzero coordinate of x is nonnegative

primitive lattice polytopes

as generalization of the permutahedron of type B_d

$H_q(\mathbf{d}, \mathbf{p})$: Minkowski ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \text{gcd}(x)=1, x \geq 0$)

$Z_q(\mathbf{d}, \mathbf{p})$: Zonotope ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \text{gcd}(x)=1, x \geq 0$)

$x \geq 0$: first nonzero coordinate of x is nonnegative

Given a set G of m vectors (generators)

Minkowski (G) : convex hull of the 2^m sums of the m vectors in G

Zonotope (G) : convex hull of the 2^m **signed** sums of the m vectors in G

up to translation $Z(G)$ is the image of $H(G)$ by an homothety of factor 2

❖ ***Primitive lattice polytopes***: Minkowski sum generated by ***short integer*** vectors which are ***pairwise linearly independent***

primitive lattice polytopes

as generalization of the permutahedron of type B_d

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$x \geq 0$: first nonzero coordinate of x is nonnegative

- $Z_q(\mathbf{d}, \mathbf{p})$: invariant under symmetries induced by coordinate permutations and reflections induced by sign flips
- Coordinates of the vertices of $Z_q(\mathbf{d}, \mathbf{p})$ are odd, thus the number of vertices of $Z_q(\mathbf{d}, \mathbf{p})$ is a multiple of 2^d
- $H_q(\mathbf{d}, \mathbf{p})$ is, up to translation, a lattice (\mathbf{d}, \mathbf{k}) -polytope where \mathbf{k} is the sum of the first coordinates of all generators of $Z_q(\mathbf{d}, \mathbf{p})$
- diameter of $Z_q(\mathbf{d}, \mathbf{p})$ is equal to the number of its generators

primitive lattice polytopes

as generalization of the permutahedron of type B_d

$H_q(\mathbf{d}, \mathbf{p})$: Minkowski ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \gcd(x)=1, x \geq 0$)

$Z_q(\mathbf{d}, \mathbf{p})$: Zonotope ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \gcd(x)=1, x \geq 0$)

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➤ $H_q(\mathbf{d}, 1) : [0, 1]^d$ cube for finite q

primitive lattice polytopes

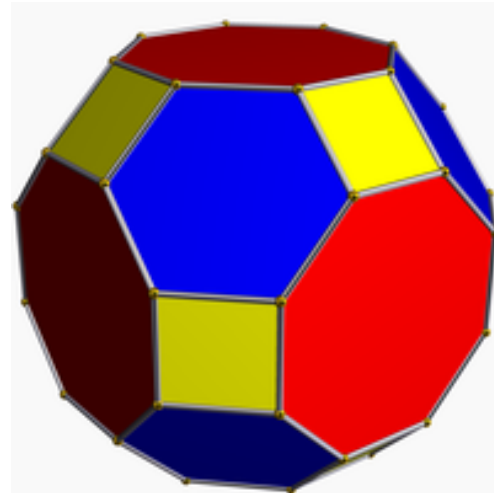
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$x \geq 0$: first nonzero coordinate of x is nonnegative

- $H_1(3,2)$: truncated cuboctahedron
(great rhombicuboctahedron)



primitive lattice polytopes

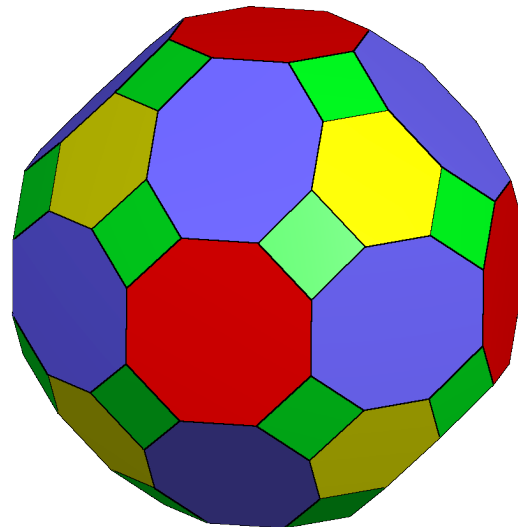
as generalization of the permutahedron of type B_d

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$Z_q(\mathbf{d}, \mathbf{p})$: Zonotope ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \gcd(x)=1, x \geq 0$)

$x \geq 0$: first nonzero coordinate of x is nonnegative

- $H_\infty(3,1)$: truncated small rhombicuboctahedron



primitive lattice polytopes

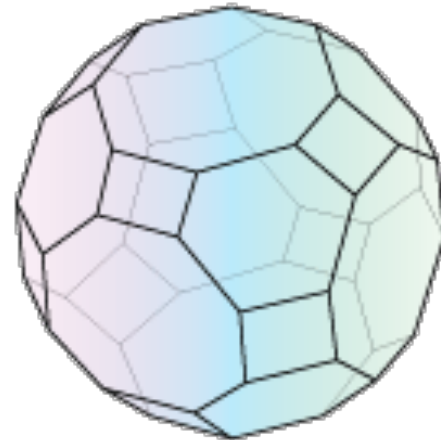
as generalization of the permutahedron of type B_d

$H_q(\mathbf{d}, \mathbf{p})$: Minkowski ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \gcd(x)=1, x \geq 0$)

$Z_q(\mathbf{d}, \mathbf{p})$: Zonotope ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \gcd(x)=1, x \geq 0$)

$x \geq 0$: first nonzero coordinate of x is nonnegative

➤ $Z_1(\mathbf{d}, 2)$: permutahedron of type B_d



primitive lattice polytopes

as generalization of the permutahedron of type B_d

$H_q(\mathbf{d}, \mathbf{p})$: Minkowski ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \text{gcd}(x)=1, x \geq 0$)

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$x \geq 0$: first nonzero coordinate of x is nonnegative

H^+ / Z^+ : **positive** primitive lattice polytope $x \in \mathbb{Z}_+^d$

➤ $H_1(\mathbf{d}, 2)^+$: Minkowski sum of the permutahedron with the $\{0, 1\}^d$

primitive lattice polytopes

as generalization of the permutahedron of type B_d

$H_q(\mathbf{d}, \mathbf{p})$: Minkowski ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \text{gcd}(x)=1, x \geq 0$)

$Z_q(\mathbf{d}, \mathbf{p})$: Zonotope ($x \in \mathbb{Z}^d : \|x\|_q \leq \mathbf{p}, \text{gcd}(x)=1, x \geq 0$)

$x \geq 0$: first nonzero coordinate of x is nonnegative

H^+ / Z^+ : **positive** primitive lattice polytope $x \in \mathbb{Z}_+^d$

- $H_1(\mathbf{d}, 2)^+$: Minkowski sum of the permutahedron with the $\{0, 1\}^d$, i.e., graphical zonotope obtained by the \mathbf{d} -clique with a loop at each node

graphical zonotope Z_G : Minkowski sum of segments $[e_i, e_j]$

for all *edges* $\{i, j\}$ of a given graph G

primitive lattice polygons *as lattice $(2, \mathbf{k})$ -polygons with large diameter*

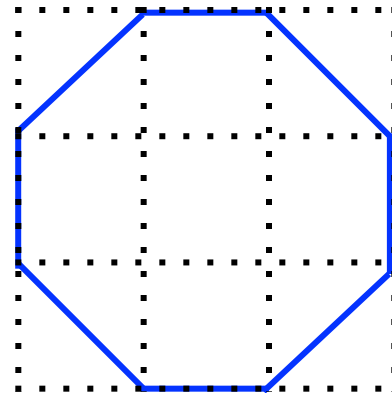
Q. (revisit) What is $\delta(2, \mathbf{k})$: largest diameter of a polygon which vertices are drawn from the $\mathbf{k} \times \mathbf{k}$ grid?

For any \mathbf{k} , there exists \mathbf{p} so that $\delta(2, \mathbf{k})$ is achieved, up to translation, by the Minkowski sum of a subset of the generators of $H_1(2, \mathbf{p})$.

Moreover, for any \mathbf{p} , and for $\mathbf{k} = \sum_{i=1}^{\mathbf{p}} i\varphi(i)$, $\delta(2, \mathbf{k})$ is uniquely achieved, up to translation, by $H_1(2, \mathbf{p})$ (φ : Euler's totient function)

Ex. $\mathbf{p} = 2$

$H_1(2, 2)$: lattice $(2, 3)$ -polygon
with diameter 4



primitive lattice polytopes

as lattice (d,k) -polytopes with large diameter

For $k < 2d$, Minkowski sum of a subset of the generators of $H_1(d,2)$ is, up to translation, a lattice (d,k) -polytope with diameter $\lfloor (k+1)d/2 \rfloor$

Proof sketch. Assume d even (odd case similar).

$H_1(d,2)$: lattice $(d,2d-1)$ -polytope with diameter d^2 (permutahedron of type B_d)

removing the $d/2$ generators $(0, \dots, 0, 1, 0, \dots, 0, -1, 0, \dots, 0)$ forming one of the $d-1$ *perfect matchings of the d -clique* [Berge 1983] yields a lattice $(d,k-1)$ -polytope with diameter decreasing by $d/2$. After d removal, one obtains $H_1(d,2)^+$ a lattice (d,d) -polytope with diameter $d(d+1)/2$

primitive lattice polytopes

as lattice (d,k) -polytopes with large diameter

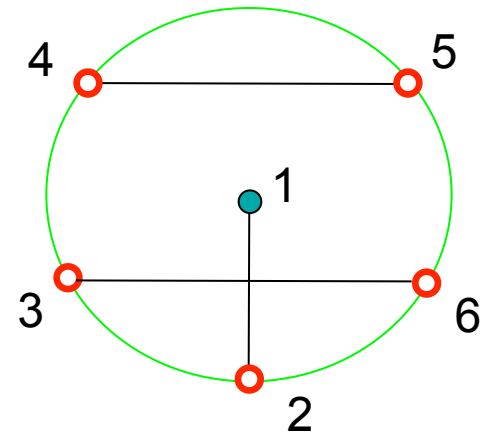
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$(1, -1, 0, 0, 0, 0), (0, 0, 1, 0, 0, -1), (0, 0, 0, 1, -1, 0)$



primitive lattice polytopes

as lattice (d,k) -polytopes with large diameter

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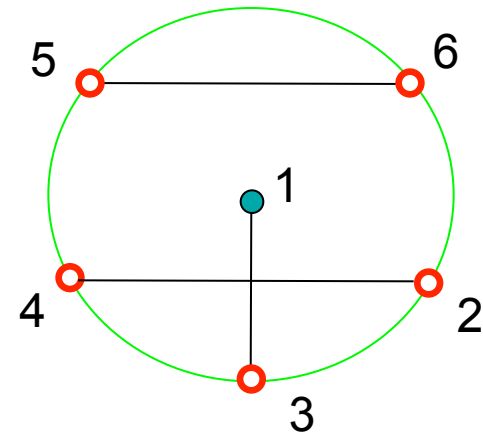
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$(1, -1, 0, 0, 0, 0), (0, 0, 1, 0, 0, -1), (0, 0, 0, 1, -1, 0)$

$(1, 0, -1, 0, 0, 0), (0, 1, 0, -1, 0, 0), (0, 0, 0, 0, 1, -1)$



primitive lattice polytopes

as lattice (d,k) -polytopes with large diameter

For $k < 2d$, Minkowski sum of a subset of the generators of $H_1(d,2)$ is, up to translation, a lattice (d,k) -polytope with diameter $\lfloor (k+1)d/2 \rfloor$

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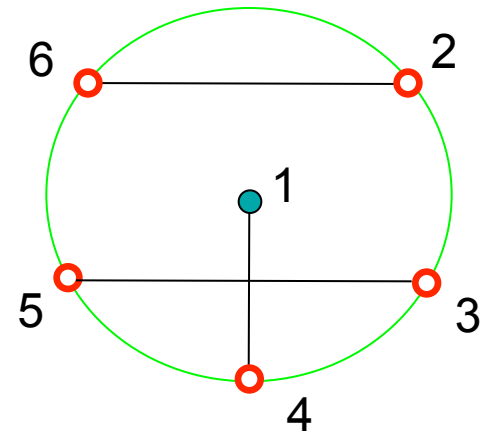
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$(1, -1, 0, 0, 0, 0), (0, 0, 1, 0, 0, -1), (0, 0, 0, 1, -1, 0)$

$(1, 0, -1, 0, 0, 0), (0, 1, 0, -1, 0, 0), (0, 0, 0, 0, 1, -1)$

$(1, 0, 0, -1, 0, 0), (0, 0, 1, 0, -1, 0), (0, 1, 0, 0, 0, -1)$

.....



primitive lattice polytopes

as lattice (d,k) -polytopes with large diameter

For $k < 2d$, Minkowski sum of a subset of the generators of $H_1(d,2)$ is, up to translation, a lattice (d,k) -polytope with diameter $\lfloor (k+1)d/2 \rfloor$

Proof sketch. Assume d even (odd case similar).

$H_1(d,2)$: lattice $(d,2d-1)$ -polytope with diameter d^2 (permutahedron of type B_d)

removing the $d/2$ generators $(0, \dots, 0, 1, 0, \dots, 0, -1, 0, \dots, 0)$ forming one of the $d-1$ *perfect matchings of the d -clique* [Berge 1983] yields a lattice $(d,k-1)$ -polytope with diameter decreasing by $d/2$. After d removal, one obtains $H_1(d,2)^+$ a lattice (d,d) -polytope with diameter $d(d+1)/2$

removing the $d/2$ generators $(0, \dots, 0, 1, 0, \dots, 0, 1, 0, \dots, 0)$ forming one of the $d-1$ *perfect matchings of the d -clique* yields a lattice $(d,k-1)$ -polytope with diameter decreasing by $d/2$. After d removal, one obtains $H_1(d,1)$ a lattice $(d,1)$ -polytope with diameter d

lattice polytopes with large diameter

$\delta(d, k)$: largest **diameter** of a convex hull of points drawn from $\{0, 1, \dots, k\}^d$

upper bounds :

$$\delta(d, 1) \leq d \quad \text{[Naddef 1989]}$$

$$\delta(d, k) \leq kd \quad \text{[Kleinschmid-Onn 1992]}$$

$$\delta(d, k) \leq kd - \lceil d/2 \rceil \quad \text{for } k \geq 2 \quad \text{[Del Pia-Michini 2016]}$$

$$\delta(d, k) \leq kd - \lceil 2d/3 \rceil \quad \text{for } k \geq 3 \quad \text{[Deza-Pournin 2016]}$$

lattice polytopes with large diameter

$\delta(\mathbf{d}, \mathbf{k})$: largest **diameter** of a convex hull of points drawn from $\{0, 1, \dots, \mathbf{k}\}^{\mathbf{d}}$

Lemma. (Del Pia-Michini 2016) Consider lattice (\mathbf{d}, \mathbf{k}) -polytope P , u vertex of P , and vector $c \in \mathbb{R}^{\mathbf{d}}$ with integer coordinates, then $d(u, F) \leq c \cdot u - \beta$ where $\beta = \min\{c \cdot x : x \in P\}$ and $F = \{x \in P : c \cdot x = \beta\}$

Lemma. Consider lattice (\mathbf{d}, \mathbf{k}) -polytope P , $I \subseteq \{1, \dots, \mathbf{d}\}$ such that $l_i \leq x_i \leq h_i$ for $x \in P$ and $i \in I$, then :

$$\delta(P) \leq \delta(\mathbf{d}-|I|, \mathbf{k}) + \sum_{i \in I} (h_i - l_i)$$

Lemma. Consider lattice (\mathbf{d}, \mathbf{k}) -polytope P , u, v vertices of P , $I \subseteq \{1, \dots, \mathbf{d}\}$ with $|I| \leq 3$ such that $u_i + v_i \leq \mathbf{k}$ when $i \in I$, then

$$d(u, v) \leq \delta(\mathbf{d}-|I|, \mathbf{k}) + \sum_{i \in I} (u_i + v_i)$$

$$|I| = 1 : \delta(\mathbf{d}, \mathbf{k}) \leq \mathbf{k}\mathbf{d} \quad [\text{Kleinschmid-Onn 1992}]$$

$$|I| = 2 : \delta(\mathbf{d}, \mathbf{k}) \leq \mathbf{k}\mathbf{d} - \lceil \mathbf{d}/2 \rceil \quad \text{for } \mathbf{k} \geq 2 \quad [\text{Del Pia-Michini 2016}]$$

$$|I| = 3 : \delta(\mathbf{d}, \mathbf{k}) \leq \mathbf{k}\mathbf{d} - \lceil 2\mathbf{d}/3 \rceil \quad \text{for } \mathbf{k} \geq 3 \quad [\text{Deza-Pournin 2016}]$$

lattice polytopes with large diameter

$\delta(d, k)$: largest **diameter** of a convex hull of points drawn from $\{0, 1, \dots, k\}^d$

Consider lattice (d, k) -polytope P with $d \geq 3$, $k \geq 3$, u, v vertices of P , then one of the following inequalities holds:

(i) $d(u, v) \leq \delta(d-1, k) + k - 1$

(ii) $d(u, v) \leq \delta(d-2, k) + 2k - 2$

(iii) $d(u, v) \leq \delta(d-3, k) + 3k - 2$

$\Rightarrow \delta(d, k) \leq kd - \lceil 2d/3 \rceil$ for $k \geq 3$

lattice polytopes with large diameter

$\delta(d, k)$: largest *diameter* of a convex hull of points drawn from $\{0, 1, \dots, k\}^d$

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$\Rightarrow \delta(d, k) \leq kd - \lceil 2d/3 \rceil$ for $k \geq 3$

$\delta(d, k) \leq kd - \lceil 2d/3 \rceil - (k - 2)$ for $k \geq 4$

primitive lattice polytopes

related questions

[Sopruncov-Sopruncova 2016] **Minkowski length** $L(\mathbf{P})$ of a lattice polytope \mathbf{P} : largest number of lattice segments which Minkowski sum is contained in \mathbf{P}

denote $L(\{0,1,\dots,k\}^d)$ by $L(\mathbf{d},k)$ (Minkowski length of a box)

$$L(2,k) = \delta(2,k)$$

achieved by a Minkowski sum of a proper subset of generators of $H_1(2,p)$ for some p

$$L(\mathbf{d},k) = \lfloor (k+1)\mathbf{d}/2 \rfloor \text{ for } k < 2\mathbf{d}$$

achieved by a Minkowski sum of a proper subset of generators of $H_1(\mathbf{d},2)$

Sloane OEI sequences

$H_\infty(\mathbf{d},1)^+$ vertices : A034997 = number of generalized retarded functions in quantum Field theory (determined till $\mathbf{d}=8$)

$H_\infty(\mathbf{d},1)$ vertices : A009997 = number of regions of hyperplane arrangements with $\{-1,0,1\}$ -valued normals in dimension \mathbf{d} (determined till $\mathbf{d}=7$)

THE ON-LINE ENCYCLOPEDIA OF INTEGER SEQUENCES[®]

founded in 1964 by N. J. A. Sloane

[Hints](#)

(Greetings from [The On-Line Encyclopedia of Integer Sequences!](#))

A034997 Number of Generalized Retarded Functions in Quantum Field Theory. 1
 2, 6, 32, 370, 11292, 1066044, 347326352, 419172756930 ([list](#); [graph](#); [refs](#); [listen](#); [history](#); [text](#); [internal format](#))

OFFSET 1,1

COMMENTS $a(d)$ is the number of parts into which d -dimensional space (x_1, \dots, x_d) is split by a set of $(2^d - 1)$ hyperplanes $c_1 x_1 + c_2 x_2 + \dots + c_d x_d = 0$ where c_j are 0 or +1 and we exclude the case with all $c=0$.
 Also, $a(d)$ is the number of independent real-time Green functions of Quantum Field Theory produced when analytically continuing from euclidean time/energy ($d+1$ = number of energy/time variables). These are also known as Generalized Retarded Functions.

The numbers up to $d=6$ were first produced by T. S. Evans using a Pascal program, strictly as upper bounds only. M. van Eijck wrote a C program using a direct enumeration of hyperplanes which confirmed these and produced the value for $d=7$. Kamiya et al. showed how to find these numbers and some associated polynomials using more sophisticated methods, giving results up to $d=7$. T. S. Evans added the last number on Aug 01 2011 using an updated version of van Eijck's program, which took 7 days on a standard desktop computer.

REFERENCES Björner, Anders. "Positive Sum Systems", in Bruno Benedetti, Emanuele Delucchi, and Luca Moci, editors, Combinatorial Methods in Topology and

Number of Generalized Retarded Functions in Quantum Field Theory.

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Björner, Anders. "Positive Sum Systems", in Bruno Benedetti, Emanuele Delucchi, and Luca Moci, editors, *Combinatorial Methods in Topology and Algebra*. Springer International Publishing, 2015. 157-171.

T. S. Evans, N-point finite temperature expectation values at real times, *Nuclear Physics B* 374 (1992) 340-370.

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M. van Eijck, *Thermal Field Theory and Finite-Temperature Renormalisation Group*, PhD thesis, Univ. Amsterdam, 4th Dec. 1995.

[Table of \$n\$, \$a\(n\)\$ for \$n=1..8\$.](#)

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convex matroid optimization

Melamed-Onn 2014:

The optimal solution of $\max \{ \mathbf{f}(\mathbf{W}\mathbf{x}) : \mathbf{x} \in \mathbf{S} \}$ is attained at a vertex of the projection integer polytope in \mathbf{R}^d : $\text{conv}(\mathbf{W}\mathbf{S}) = \mathbf{W}\text{conv}(\mathbf{S})$

\mathbf{S} : set of feasible point in \mathbf{Z}^n (in the talk $\mathbf{S} \in \{0,1\}^n$)

\mathbf{W} : integer $d \times n$ matrix (\mathbf{W} is mostly $\{0,1,\dots,p\}$ -valued)

\mathbf{f} : convex function from \mathbf{R}^d to \mathbf{R}

Q. What is the maximum number $v(d,n)$ of vertices of $\text{conv}(\mathbf{W}\mathbf{S})$ when $\mathbf{S} \in \{0,1\}^n$ and \mathbf{W} is a $\{0,1\}$ -valued $d \times n$ matrix ?

Obviously $v(d,n) \leq |\mathbf{W}\mathbf{S}| = O(n^d)$

In particular $v(2,n) = O(n^2)$, and $v(2,n) = \Omega(n^{0.5})$

convex matroid optimization

Melamed-Onn 2014

Given matroid \mathbf{S} of order n , $\{0, 1, \dots, p\}$ -valued $d \times n$ matrix \mathbf{W} , maximum number $m(d, p)$ of vertices of $\text{conv}(\mathbf{WS})$ is independent of n and \mathbf{S}

convex matroid optimization

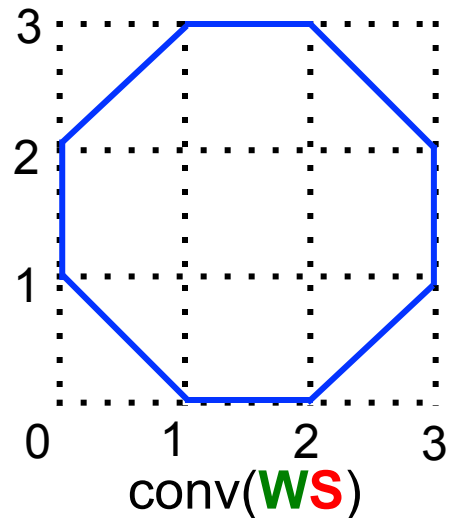
Melamed-Onn 2014

Given matroid \mathbf{S} of order n , $\{0,1\}$ -valued $d \times n$ matrix \mathbf{W} , maximum number $m(d,1)$ of vertices of $\text{conv}(\mathbf{W}\mathbf{S})$ is independent of n and \mathbf{S}

Ex: maximum number $m(2,1)$ of vertices of a planar projection $\text{conv}(\mathbf{W}\mathbf{S})$ of matroid \mathbf{S} by a binary matrix \mathbf{W} is attained by the following matrix and uniform matroid of rank 3 and order 8:

$$\mathbf{W} = \begin{pmatrix} 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

$$\mathbf{S} = U(3,8) = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$



convex matroid optimization

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convex matroid optimization

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$$d 2^d \leq m(d,1) \leq 2 \sum_{i=0}^{d-1} \binom{(3^d - 3)/2}{i}$$

$$m(2,1) = 8$$

$$24 \leq m(3,1) \leq 158$$

$$64 \leq m(4,1) \leq 19840$$

convex matroid optimization

Melamed-Onn 2014

Deza-Manoussakis-Onn 2016

Given matroid \mathbf{S} of order n , $\{0,1\}$ -valued $d \times n$ matrix \mathbf{W} , maximum number $m(d,1)$ of vertices of $\text{conv}(\mathbf{WS})$ is independent of n and \mathbf{S}

for $d \geq 3$

$$d 2^d \leq m(d,1) \leq 2 \sum_{i=0}^{d-1} \binom{(3^d - 3)/2}{i}$$

$$2+2d! \leq m(d,1) \leq 2 \sum_{i=0}^{d-1} \binom{(3^d - 3)/2}{i} - f(d)$$

$$m(2,1) = 8$$

$$24 \leq m(3,1) \leq 158$$

$$64 \leq m(4,1) \leq 19840$$

$$m(2,1) = 8$$

$$48 \leq m(3,1) \leq 96$$

$$370 \leq m(4,1) \leq 5376$$

$$11292 \leq m(5,1) \leq 1\,981\,440$$

primitive lattice polytopes

as lower and upper bound for convex matroid optimization parameter

Given matroid **S** of order n , $\{0, 1, \dots, p\}$ -valued $d \times n$ matrix **W**, maximum number $m(d, p)$ of vertices of $\text{conv}(\mathbf{WS})$ is independent of n and **S**

$$| H_{\infty}(d, p)^+ | \leq m(d, p) \leq | H_{\infty}(d, p) |$$

primitive lattice polytopes

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$$|H_{\infty}(d,1)^+| \leq m(d,1) \leq |H_{\infty}(d,1)|$$

primitive lattice polytopes

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$H_{\infty}(d,1)^+$ vertices : A034997 = number of generalized retarded functions in quantum Field theory

$H_{\infty}(d,1)$ vertices : A009997 = number of regions of hyperplane arrangements with $\{-1,0,1\}$ -valued normals in dimension d

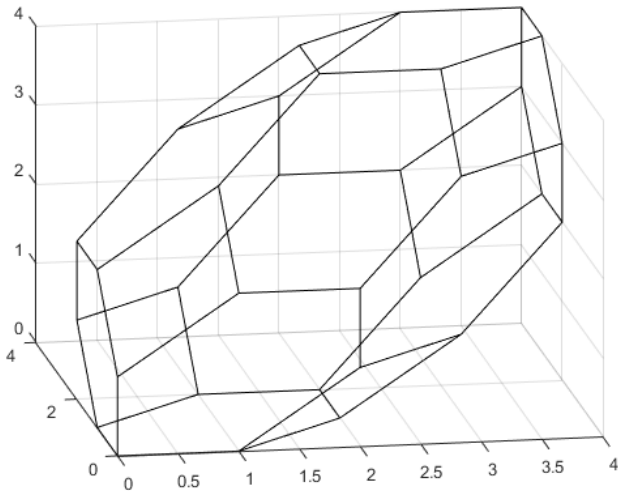
❖ $|P|$: number of vertices of P

primitive lattice polytopes

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$$|H_\infty(d,1)^+| \leq m(d,1) \leq |H_\infty(d,1)|$$

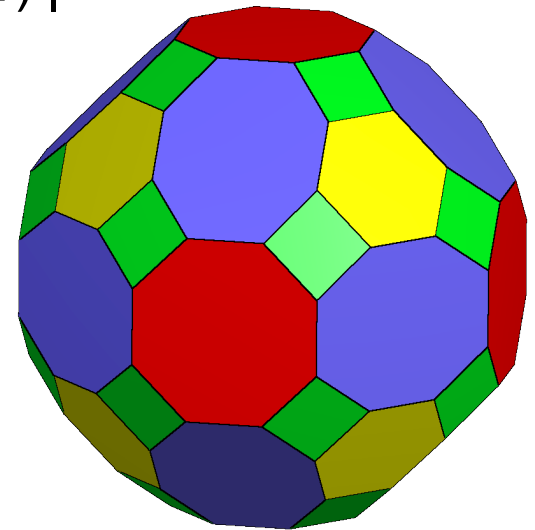


$H_\infty(3,1)^+$

$$32 \leq m(3,1) \leq 96$$

$$370 \leq m(4,1) \leq 5\,376$$

$$1\,292 \leq m(5,1) \leq 1\,981\,440$$



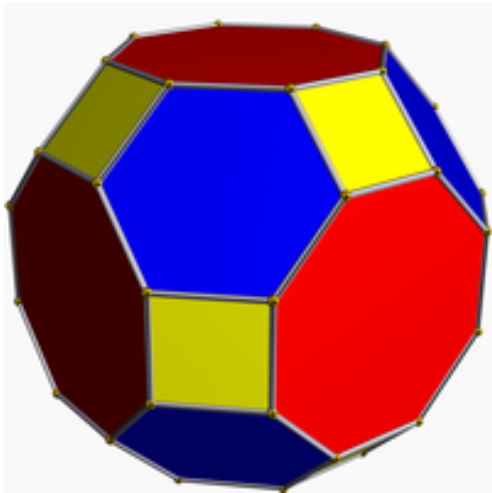
$H_\infty(3,1)$: truncated small rhombicuboctahedron

primitive lattice polytopes

as lower and upper bound for convex matroid optimization parameter

Given matroid **S** of order n , $\{0,1\}$ -valued $d \times n$ matrix **W**, maximum number $m(d,1)$ of vertices of $\text{conv}(\mathbf{WS})$ is independent of n and **S**

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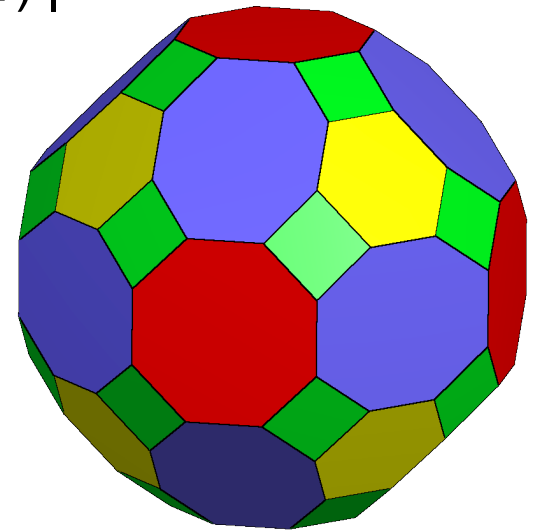


truncated cuboctahedron
(great rhombicuboctahedron)

$$48 \leq m(3,1) \leq 96$$

$$370 \leq m(4,1) \leq 5\,376$$

$$11\,292 \leq m(5,1) \leq 1\,981\,440$$



$H_{\infty}(3,1)$: truncated small
rhombicuboctahedron

❖ lower bound can be further strengthened using computer search for $\text{conv}(\mathbf{WS})$

primitive lattice polytopes

complexity questions

For **fixed** p and q , linear optimization over $Z_q(\mathbf{d}, p)$ is polynomial-time solvable, even in **variable** dimension \mathbf{d} (polynomial number of generators)

⇒ for **fixed** positive **integers** p and q , the following problems are polynomial time solvable:

- **extremality**: given $x \in \mathbb{Z}^{\mathbf{d}}$, decide if x is a vertex of $Z_q(\mathbf{d}, p)$
- **adjacency**: given $x_1, x_2 \in \mathbb{Z}^{\mathbf{d}}$, decide if $[x_1, x_2]$ is an edge of $Z_q(\mathbf{d}, p)$
- **separation**: given rational $y \in \mathbb{R}^{\mathbf{d}}$, either assert $y \in Z_q(\mathbf{d}, p)$, or find $h \in \mathbb{Z}^{\mathbf{d}}$ separating y from $Z_q(\mathbf{d}, p)$ i.e, satisfying $h^\top y > h^\top x$ for all $x \in Z_q(\mathbf{d}, p)$

primitive lattice polytopes

complexity questions

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Q. Existence of a *direct* algorithm for fixed p and q

Existence of an algorithms for fixed p and $q = \infty$

Existence of *hole* : $x \in Z_q(\mathbf{d}, p)^+ \cap \mathbb{Z}^{\mathbf{d}}$ which can not be written as a sum of a subset of generators of $Z_q(\mathbf{d}, p)^+$

primitive lattice polytopes

diameter and convex matroid optimization bounds

$\delta(\mathbf{d}, \mathbf{k})$: largest diameter over all lattice (\mathbf{d}, \mathbf{k}) -polytopes

➤ **Conjecture** (holds for all known $\delta(\mathbf{d}, \mathbf{k})$): $\delta(\mathbf{d}, \mathbf{k}) \leq \lfloor (\mathbf{k}+1)\mathbf{d}/2 \rfloor$ and $\delta(\mathbf{d}, \mathbf{k})$ is achieved, up to translation, by a Minkowski sum of primitive lattice vectors

$$\Rightarrow \delta(\mathbf{d}, \mathbf{k}) = L(\mathbf{d}, \mathbf{k}) \quad (\text{Minkowski length of cube } \{0, \dots, \mathbf{k}\}^{\mathbf{d}})$$

$$\Rightarrow \delta(\mathbf{d}, \mathbf{k}) = \lfloor (\mathbf{k}+1)\mathbf{d}/2 \rfloor \text{ for } \mathbf{k} < 2\mathbf{d}$$

➤ $|H_{\infty}(\mathbf{d}, 1)^+| \leq \mathbf{m}(\mathbf{d}, 1) \leq |H_{\infty}(\mathbf{d}, 1)|$

e.g. determination of $\mathbf{m}(3, 1)$?

$$(48 \leq \mathbf{m}(3, 1) \leq 96)$$

➤ determination of $\delta(3, \mathbf{k})$ and of $\delta(\mathbf{d}, 3)$?

$$(\delta(\mathbf{d}, 3) = 2\mathbf{d} \text{ ?})$$

➤ Complexity issues, e.g. decide whether a given point is a vertex of $Z_{\infty}(\mathbf{d}, 1)$

primitive lattice polytopes

diameter and convex matroid optimization bounds

$\delta(\mathbf{d}, \mathbf{k})$: largest diameter over all lattice (\mathbf{d}, \mathbf{k}) -polytopes

- **Conjecture** (holds for all known $\delta(\mathbf{d}, \mathbf{k})$) : $\delta(\mathbf{d}, \mathbf{k}) \leq \lfloor (\mathbf{k}+1)\mathbf{d}/2 \rfloor$ and $\delta(\mathbf{d}, \mathbf{k})$ is achieved, up to translation, by a Minkowski sum of primitive lattice vectors

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- $|H_{\infty}(\mathbf{d}, 1)^+| \leq \mathbf{m}(\mathbf{d}, 1) \leq |H_{\infty}(\mathbf{d}, 1)|$
e.g. determination of $\mathbf{m}(3, 1)$? ($48 \leq \mathbf{m}(3, 1) \leq 96$)
- determination of $\delta(3, \mathbf{k})$ and of $\delta(\mathbf{d}, 3)$? ($\delta(\mathbf{d}, 3) = 2\mathbf{d}$?)
- Complexity issues, e.g. decide whether a given point is a vertex of $Z_{\infty}(\mathbf{d}, 1)$

✓ *thank you*